

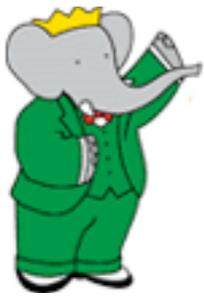
# B-decays with $\tau$ -leptons in the final state

Silke Nelson, SLAC for the *BABAR* Collaboration

Fermilab Wine and Cheese Seminar

March 7th 2008





# Outline

## Introduction

### Motivation

### Experiment and Dataset

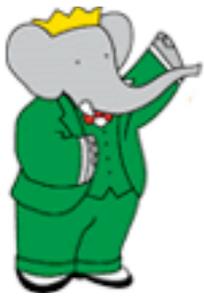
### Methods

## Measurements

### “Rare” decays: $B \rightarrow \tau \nu$ and $B \rightarrow D^{(*)} \tau \nu$

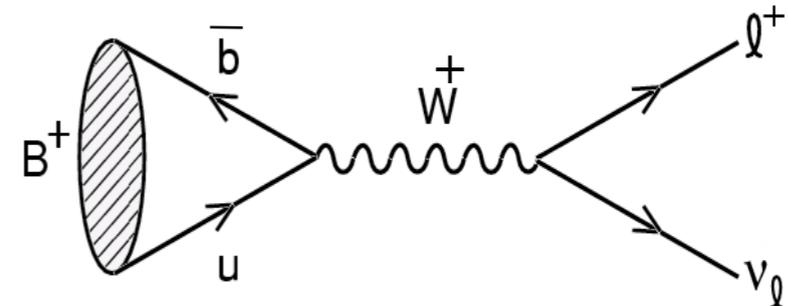
### Forbidden decays: $B \rightarrow \tau l$ and $B \rightarrow K \tau \mu$

## Summary and Outlook



# Motivation - Why Leptons?

- + relatively little hadronic uncertainties (contained in decay constant  $f_B$ )
- for pure leptonic decay: helicity suppression ( $m_l^2$ )
- + have “easy” experimental signature (Particle IDentification)
- have neutrino(s) in final state

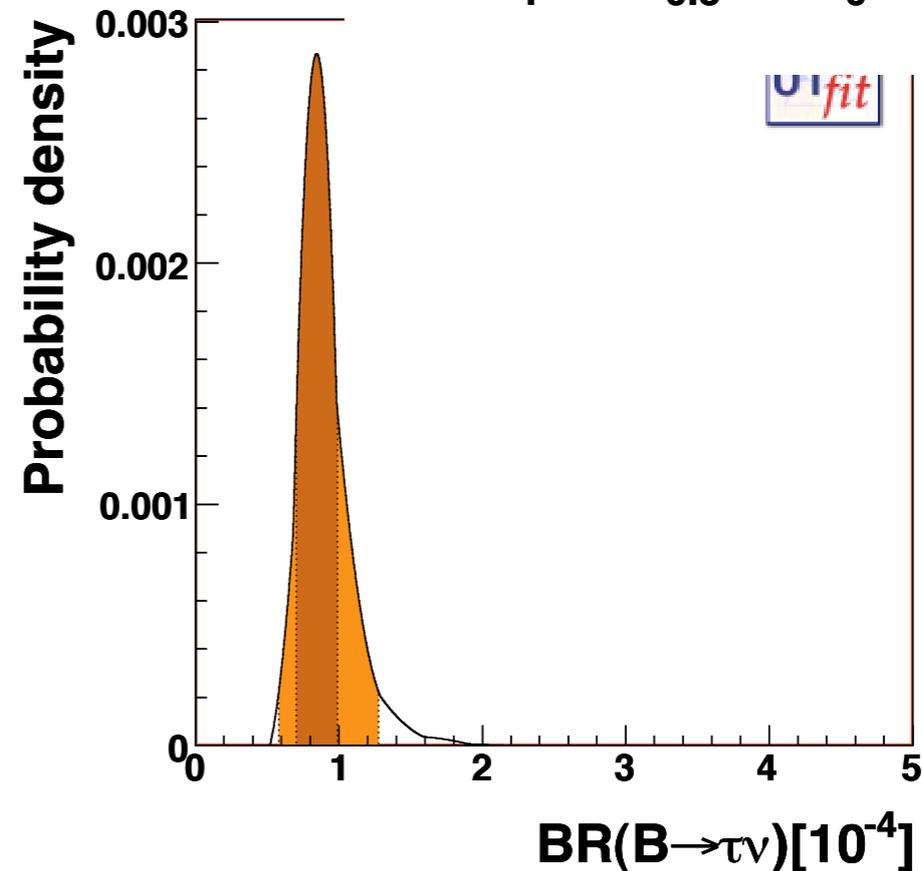
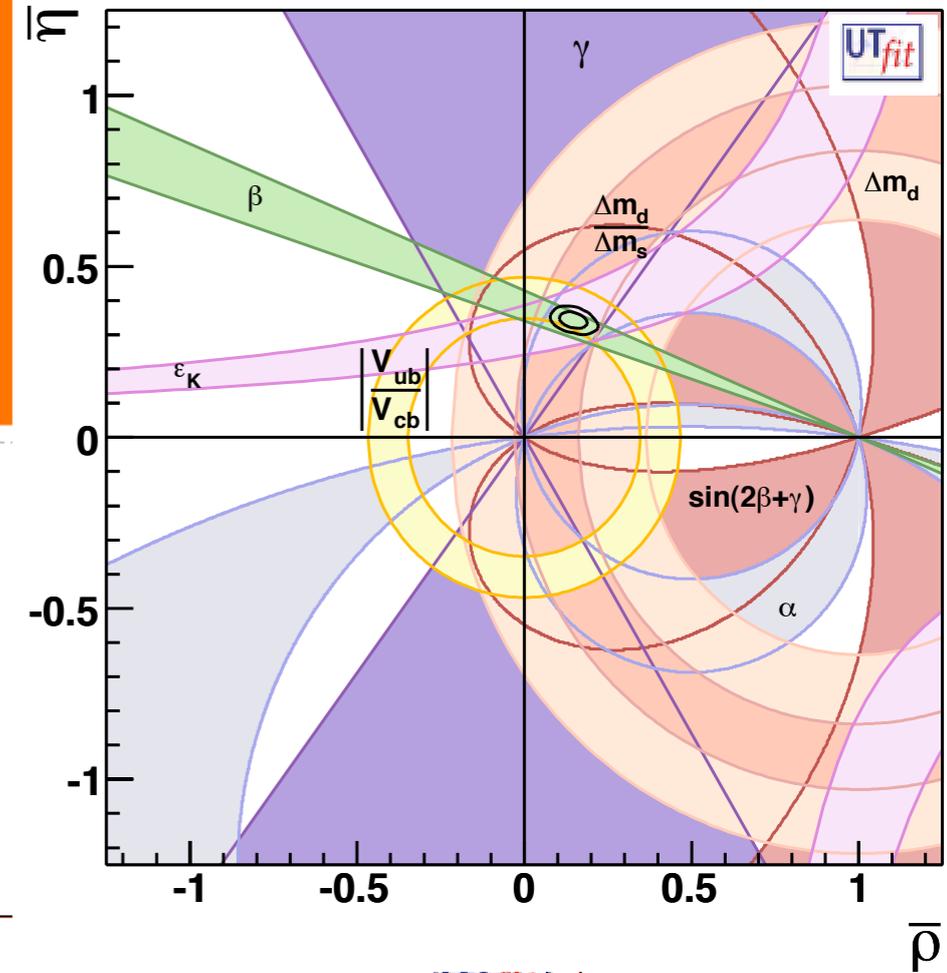


$$\mathcal{B}(B^- \rightarrow \ell^- \bar{\nu}) = \frac{G_F^2 m_B}{8\pi} m_\ell^2 \left(1 - \frac{m_\ell^2}{m_B^2}\right)^2 f_B^2 |V_{ub}|^2 \tau_B$$



# Motivation - Why Leptons?

- ⬢ independent constraint in  $\bar{\rho}, \bar{\eta}$  plane
- ⬢ other measurements deliver a prediction
- ⬢ use experimental values for  $\Delta m_s$  and  $\Delta m_d$  together with lattice value for  $f_B \sqrt{B_{Bd}}$ 
  - ⬢  $BR(B \rightarrow \tau \nu) = (0.85 \pm 0.14) \times 10^{-4}$
- ⬢ compare to lattice calculation for  $f_B$





# Motivation - Why Taus?

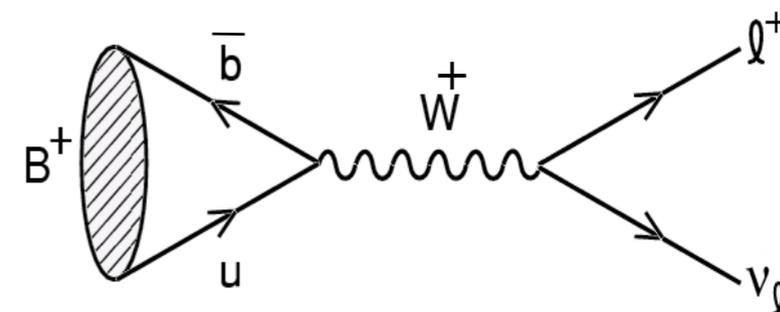
+ helicity suppression in leptonic decays relieved for more massive  $\tau$  - relatively large BF

- have (more) neutrinos in final state

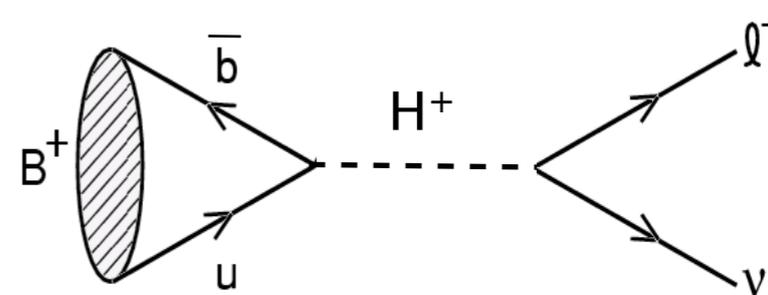
+ stronger coupling to Higgs-like particles

+ look at type II 2HDM: simplest model with extended Higgs sector

+ similar to Higgs sector in MSSM



$$\mathcal{B}(B^- \rightarrow \ell^- \bar{\nu}) = \frac{G_F^2 m_B}{8\pi} m_\ell^2 \left(1 - \frac{m_\ell^2}{m_B^2}\right)^2 f_B^2 |V_{ub}|^2 \tau_B$$



$$\mathcal{B}(B^- \rightarrow \ell^- \bar{\nu}) = \frac{G_F^2 m_B}{8\pi} m_\ell^2 \left(1 - \frac{m_\ell^2}{m_B^2}\right)^2 f_B^2 |V_{ub}|^2 \tau_B$$

W.-S. Hou, Phys. Rev. D. Brief Report 48 (1993) 2342

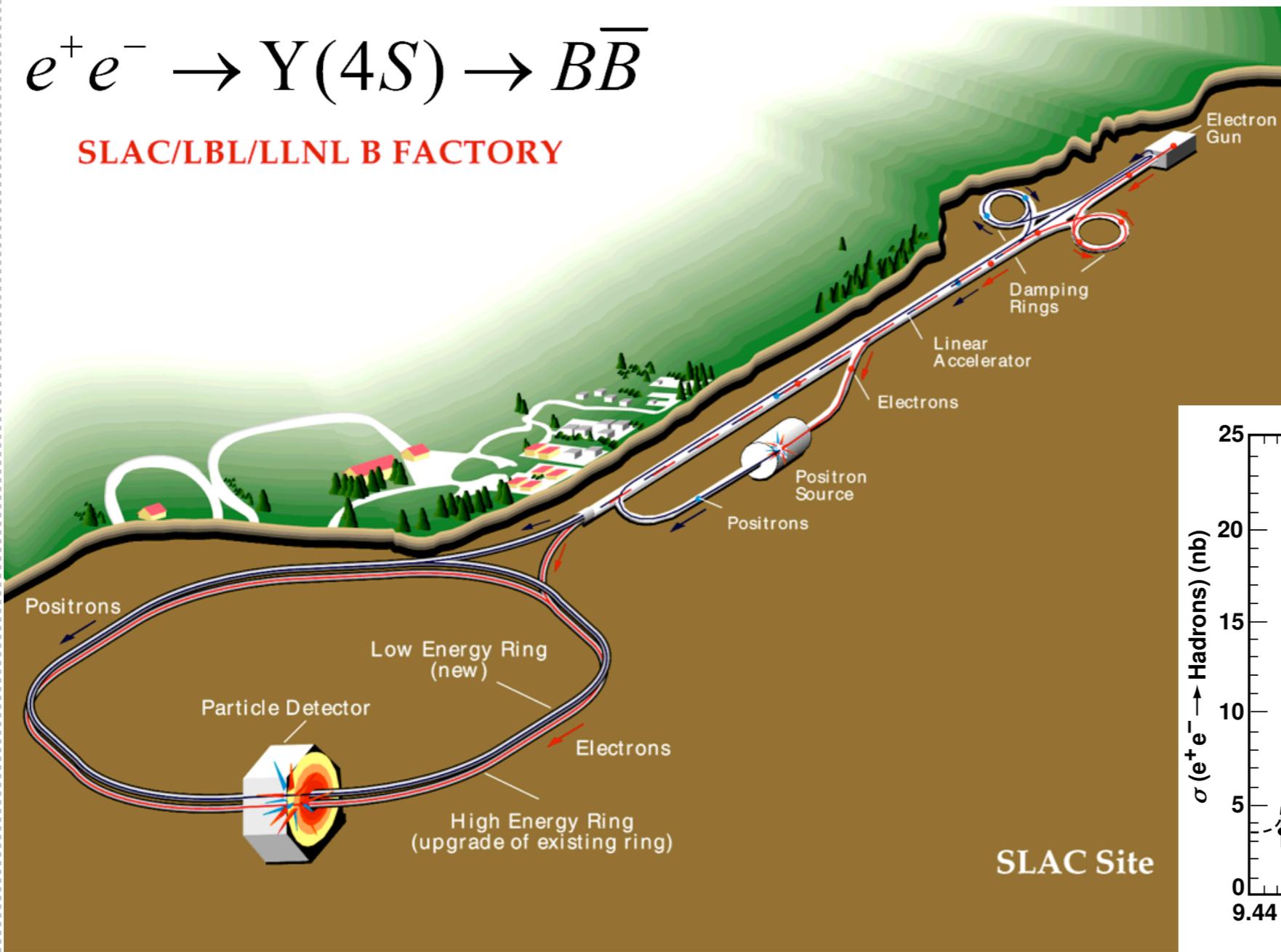
$$\times \left(1 - \tan^2 \beta \frac{m_{B^\pm}^2}{m_{H^\pm}^2}\right)^2$$



# Experimental Setup: PEP II

$$e^+e^- \rightarrow Y(4S) \rightarrow B\bar{B}$$

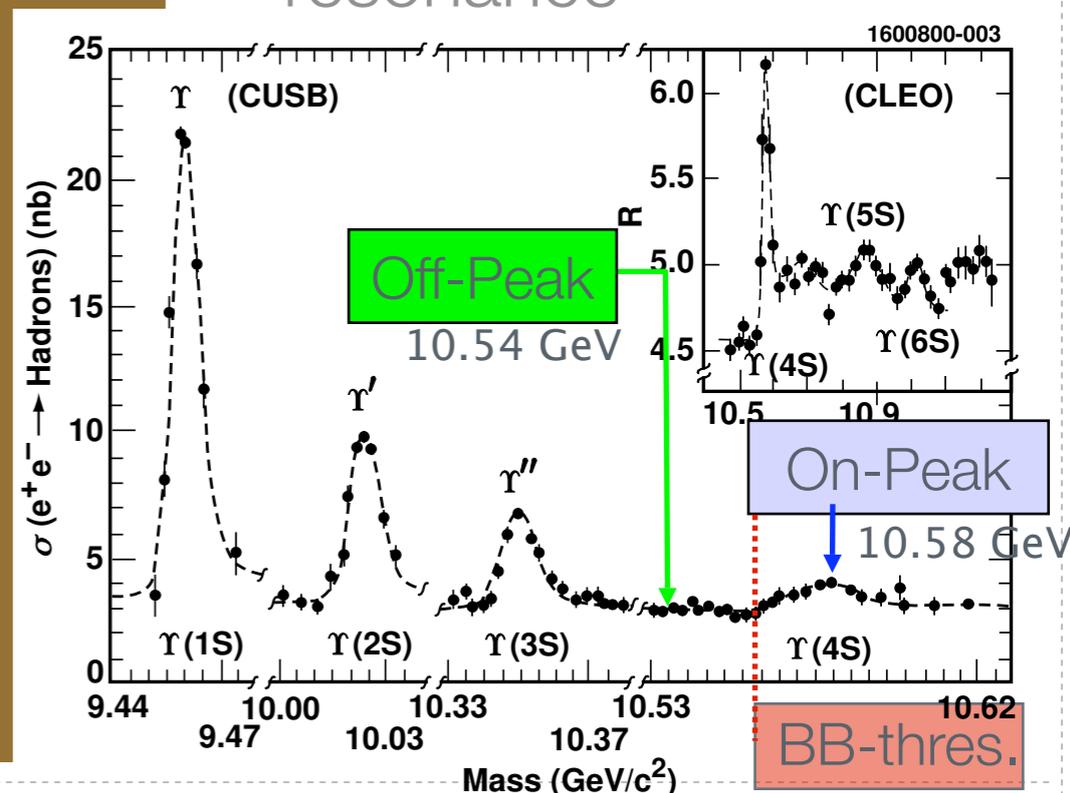
SLAC/LBL/LLNL B FACTORY

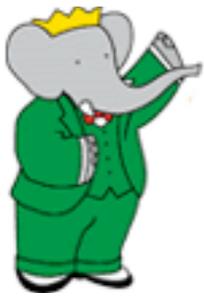


asymmetric B-factory

also a charm and  $\tau\tau$  factory

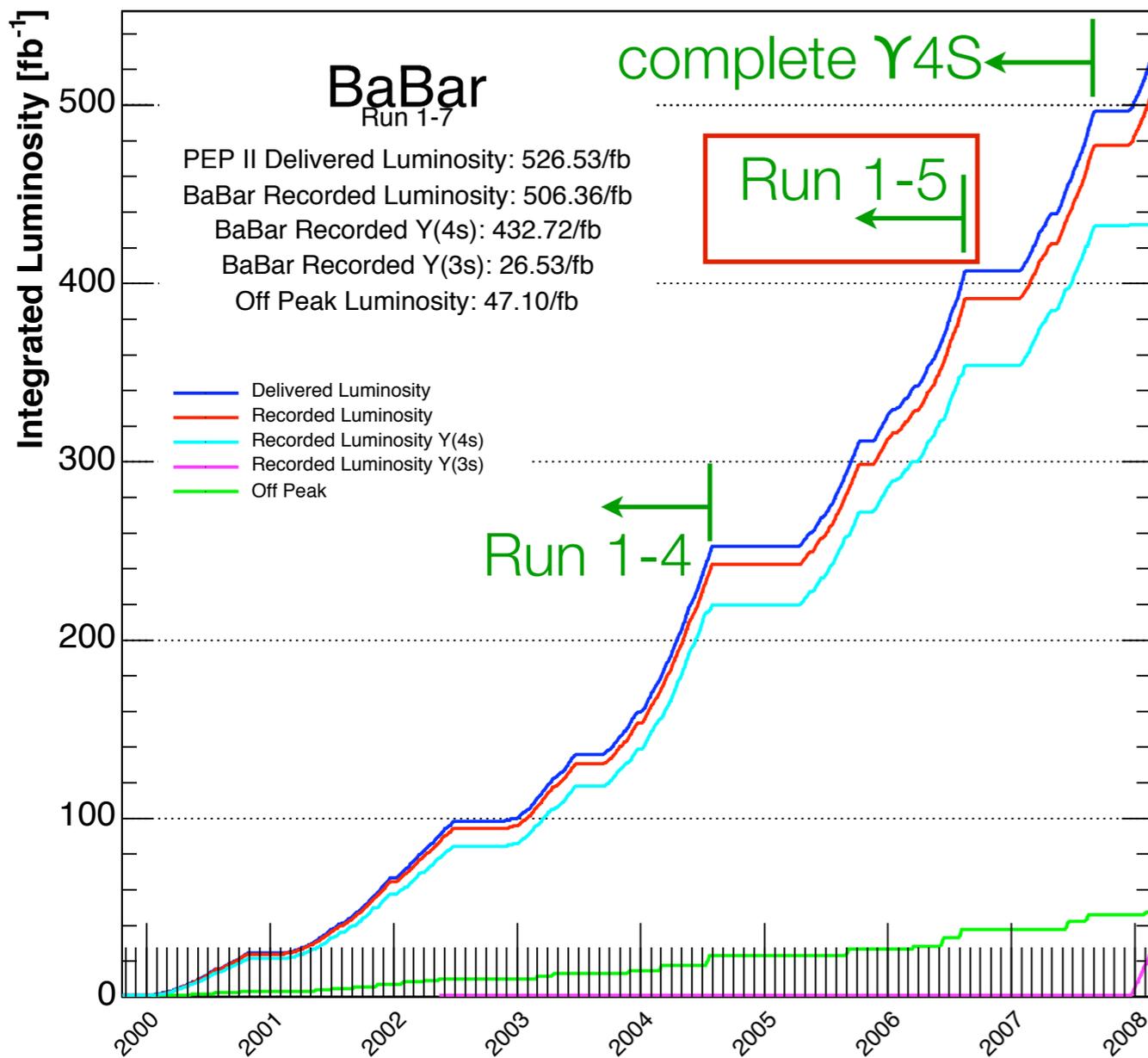
now running at the  $Y(3S)$  resonance





# Experimental Setup: Dataset

As of 2008/02/20 00:00



- ⬢ collected 433 fb<sup>-1</sup> at the  $\Upsilon(4S)$  resonance
- ⬢ complete  $\Upsilon(4S)$  dataset in hand
- ⬢ most analyses presented here use ~340 fb<sup>-1</sup>: ~380 M B-pairs (Run 1-5)
- ⬢  $B \rightarrow D^{(*)} \tau \nu$  uses 232 M BB-pairs





# Experimental Setup: BaBar - Detector

Electromagnetic Calorimeter  
6580 CsI crystals  
 $e^+$  ID,  $\pi^0$  and  $\gamma$  reco

Instrumented Flux Return  
19 layers of RPCs (+LSTs)  
 $\mu$  ID

$e^+$  [3.1 GeV]

Cherenkov Detector  
144 quartz bars  
 $K$ ,  $\pi$ ,  $p$  separation

Drift Chamber  
40 layers  
tracking +  $dE/dx$

$e^-$  [9 GeV]

Silicon Vertex Tracker  
5 layers (double-sided Si strips)  
vertexing + tracking (+  $dE/dx$ )

1.5T Magnet



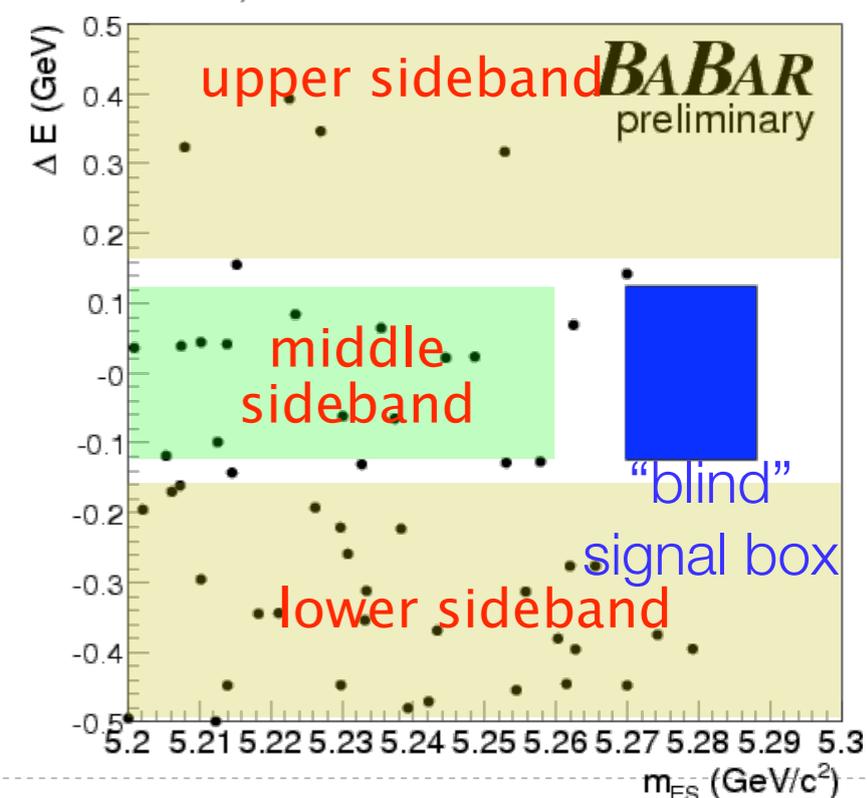
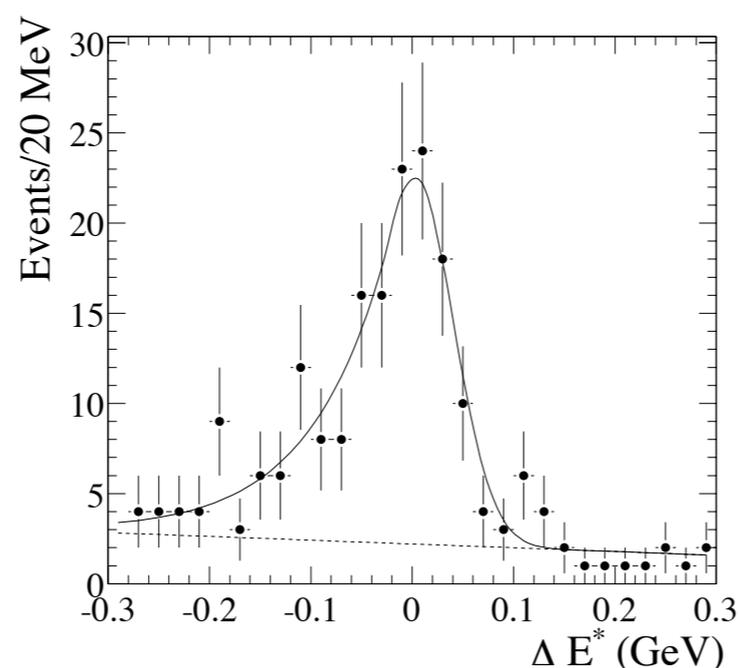
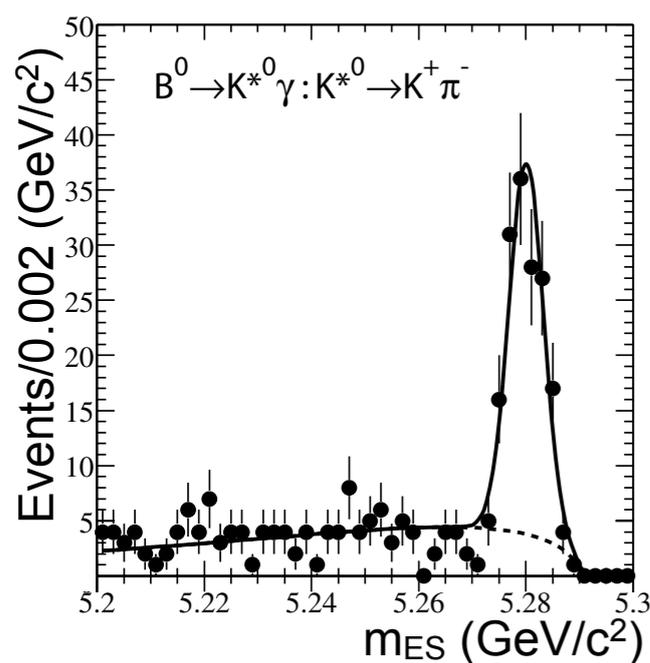
# Experimental Methods

❖  $e^+e^-$ -collider  $\rightarrow$  beam energy (and thus the center-of-mass) is known very well

❖ calculate two (almost) independent variables: energy difference  $\Delta E = E_B - E_{beam}^*$  and effective mass  $m_{ES} = \sqrt{(E_{beam}^*)^2 - p_B^2}$

❖ define signal-rich region (signal box) and background rich sidebands for cross checks

❖ keep signal box blind until analysis cuts (and systematic uncertainties) are determined



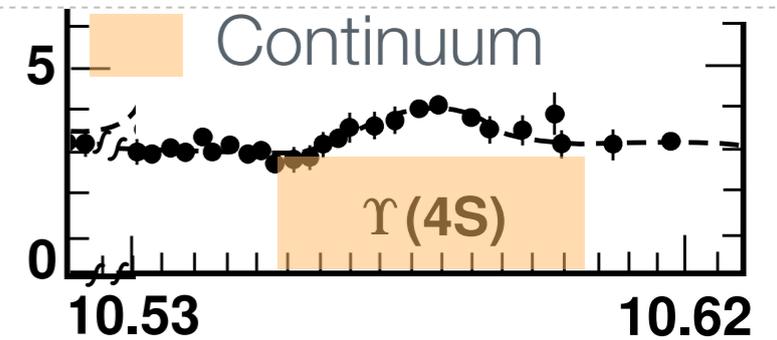


# Experimental Methods (II)

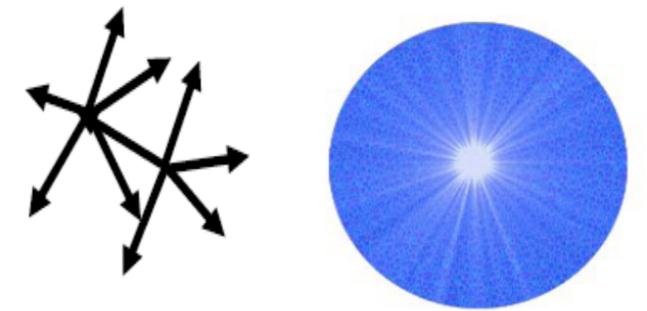
substantial fraction of events are non-BB events

light quark events more “jetty”

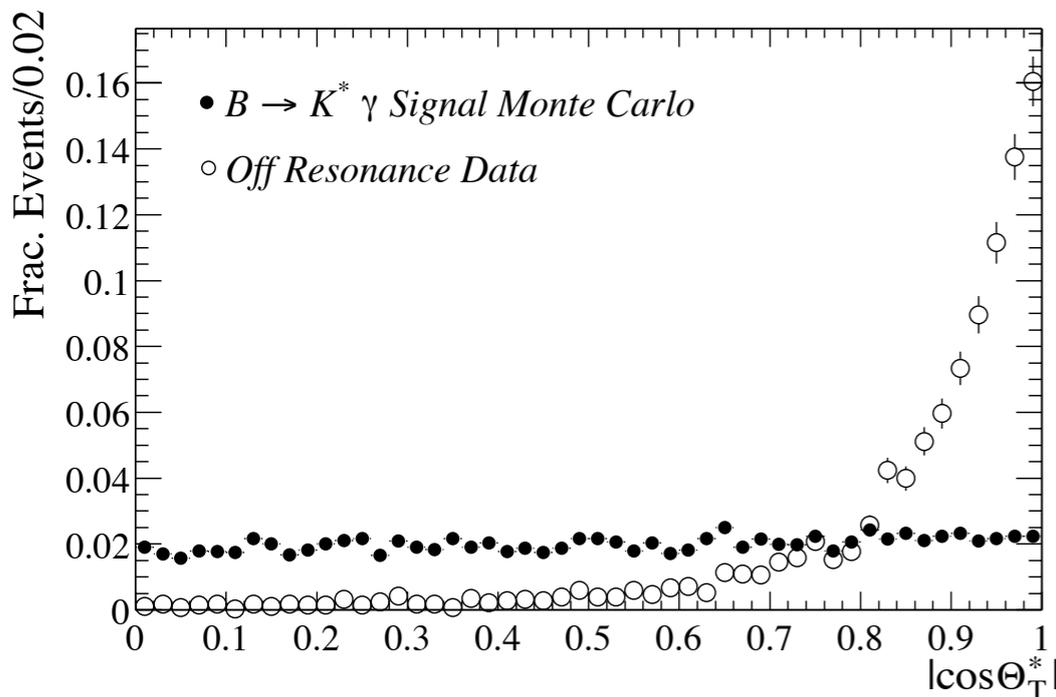
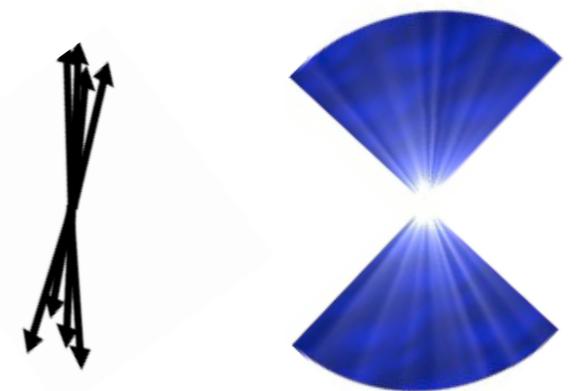
use event shape variables as thrust angles, Fox-Wolfram moments either as cut variables or input to Fisher-discriminant or Neural Net



BB - event



u,d,s,c,\tau event



angle between thrust axis of B and Rest-Of-Event

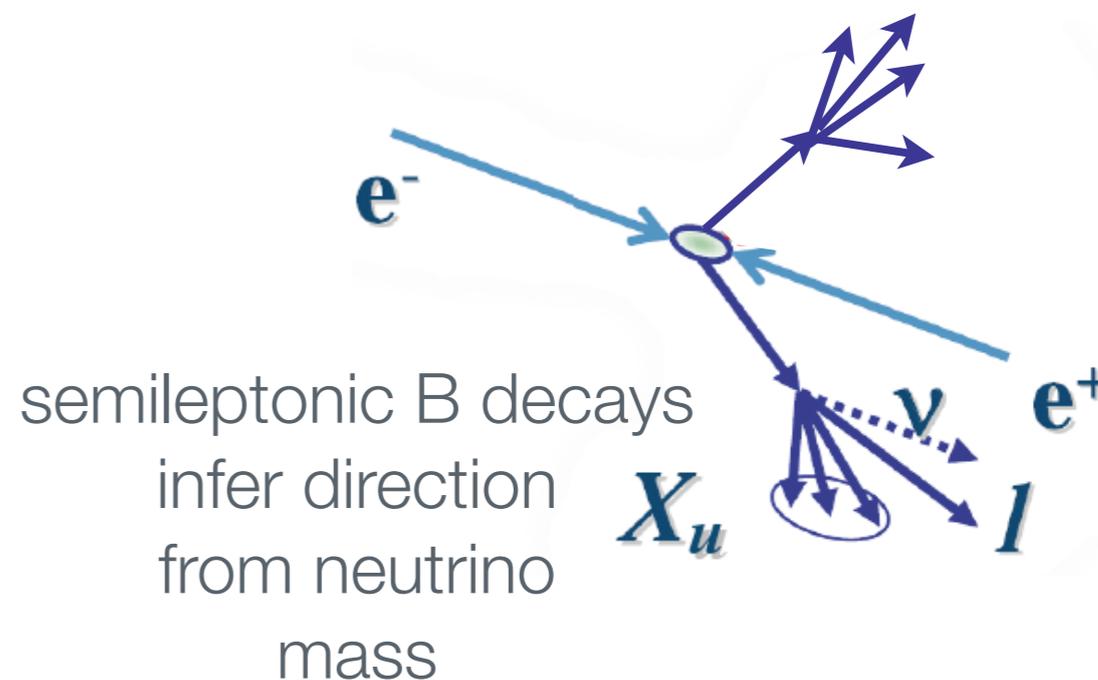
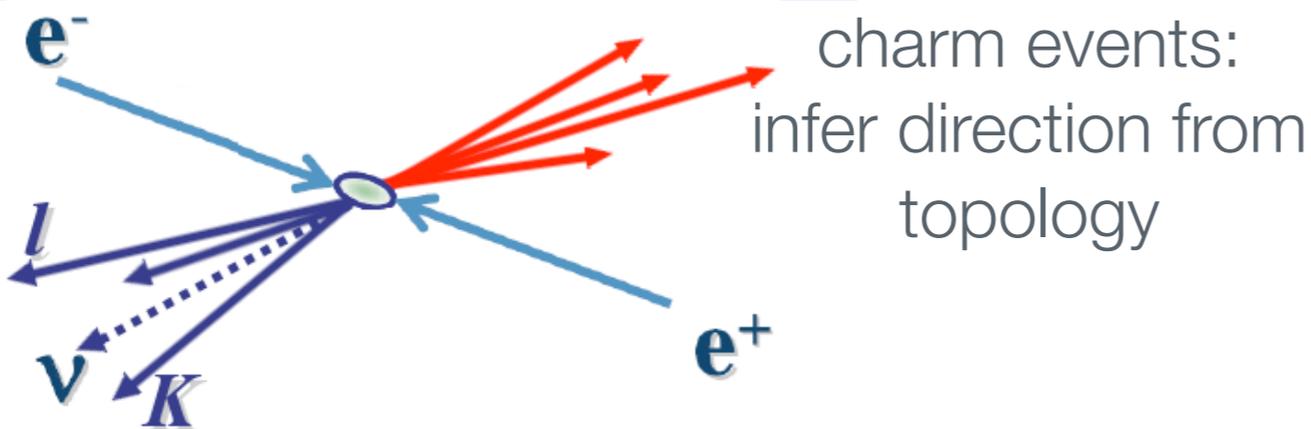


# Experimental Methods (III)

☛ neutrinos escape undetected

☛ use missing energy vector

☛ includes all missing particles (decays of both B-mesons, acceptance effects)



☛ B-decays with > 1 neutrino: neither works....



# Experimental Methods (IV): “Tagging”

## reconstruct one B: study the other (recoiling) B

$D^{(*)}l\nu$ : semileptonic tag

higher reconstruction efficiency

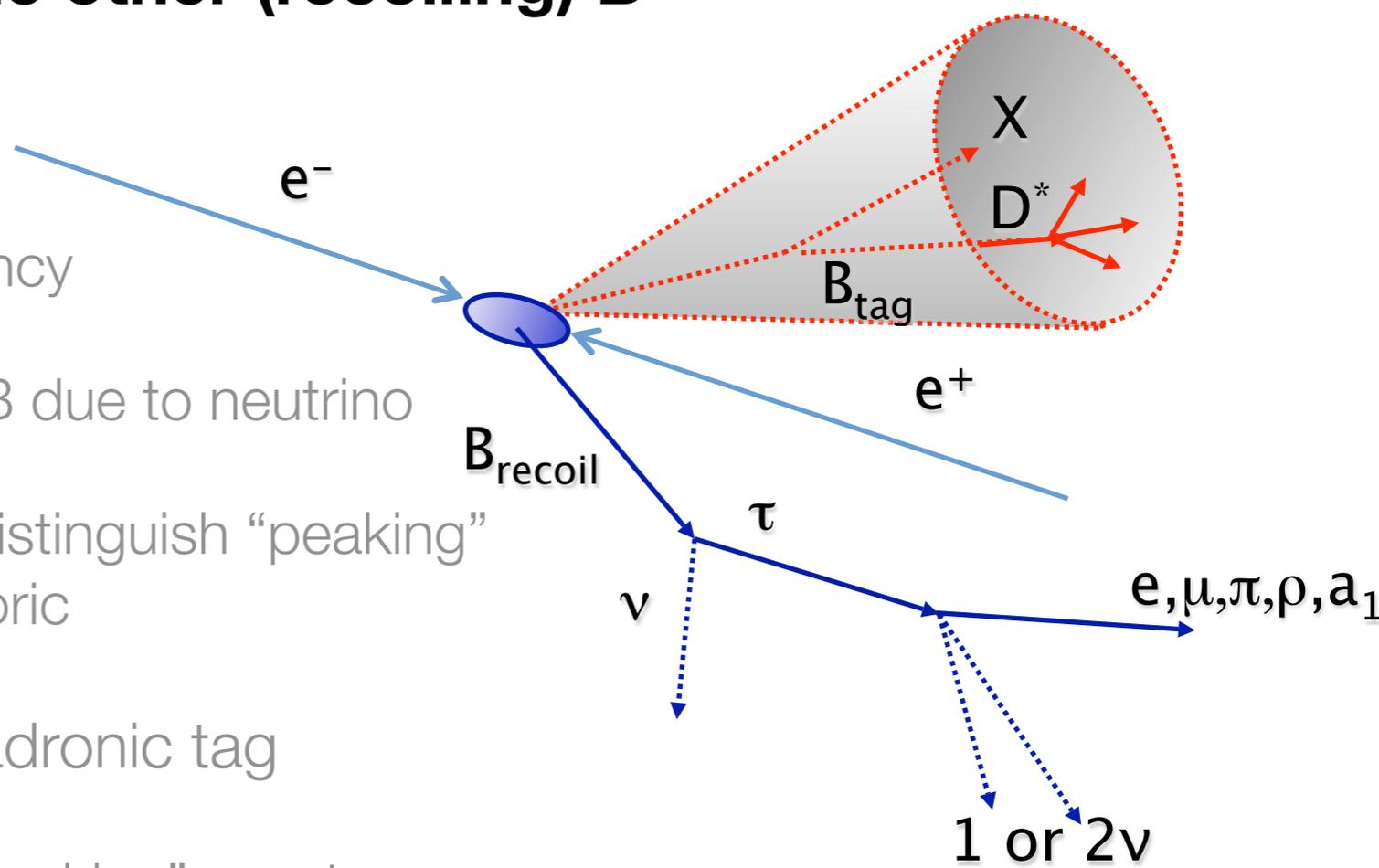
less information about Tag-B due to neutrino

use D-mass as variable to distinguish “peaking” background from combinatoric

$D^{(*)}(n\pi^\pm mK^\pm rK_S^0 q\pi^0)$ : fully hadronic tag

use  $\Delta E$  and  $m_{ES}$  to select “peaking” events

~ 1/3 of semileptonic tagging efficiency





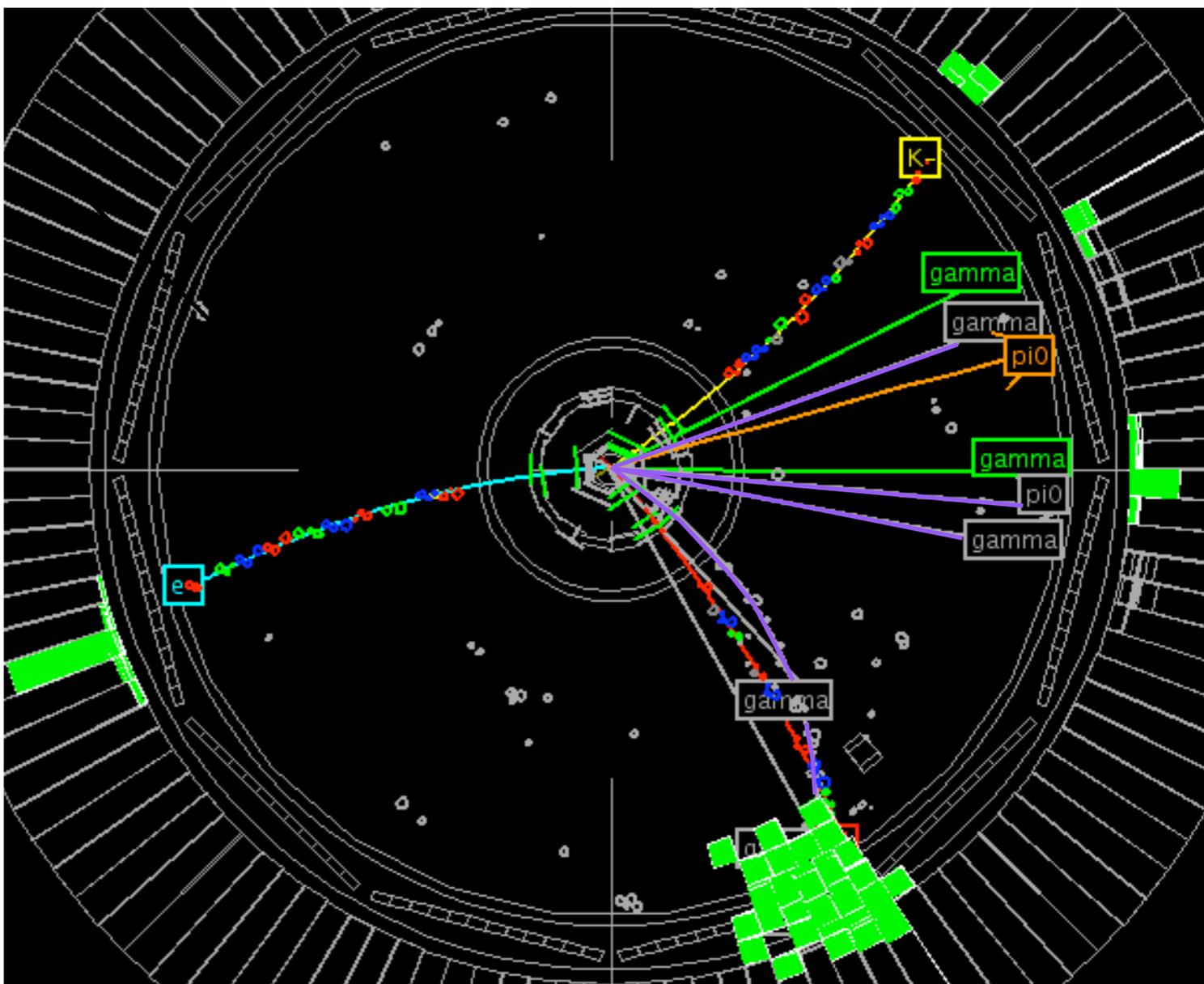
# Measurements





# Measurements: $B \rightarrow \tau \nu$

- ⬢ signal MC event
  - ⬢ with semileptonic Tag-B
    - ⬢  $B \rightarrow D^* e \nu$ ,  $D^* \rightarrow D^0 \pi^0$ ,  $D^0 \rightarrow K \pi$
  - ⬢  $\tau$  decays into  $\pi \pi^0$ 
    - ⬢ require well-identified objects as daughters
- ⬢ characterize event by  $E_{\text{extra}}$ :  
(energy not assigned to Tag-B or the  $\tau$ -daughters)





# B → τν: Tagging (II)

use D(\*)X decays

X = lν: semileptonic tag

higher reconstruction efficiency

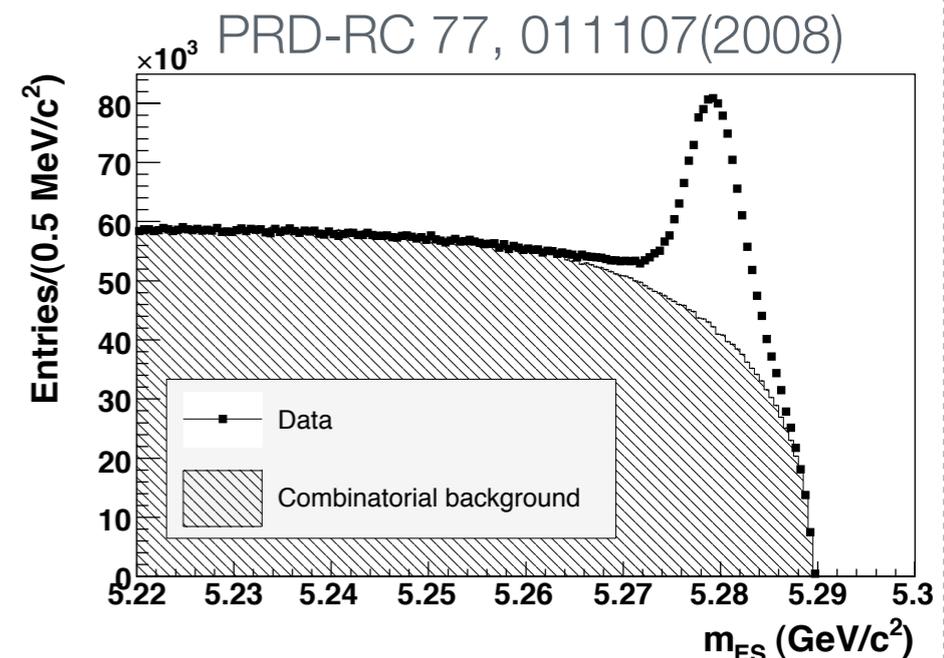
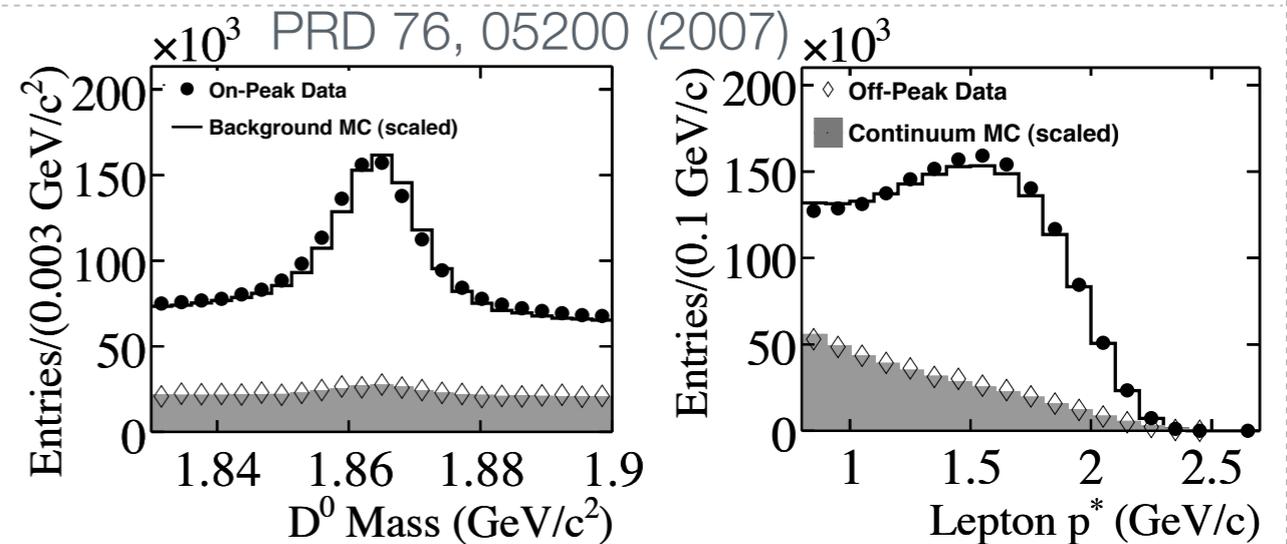
less information about Tag-D due to neutrino

use D-mass (and lepton momentum) to distinguish “peaking” background from combinatoric

X = nπ± mK± rK<sub>S</sub><sup>0</sup> qπ<sup>0</sup>: fully hadronic tag

use ΔE and m<sub>ES</sub> to select “peaking” events

~ 1/3 of semileptonic tagging efficiency





# B → τν: Tagging (III): Efficiency

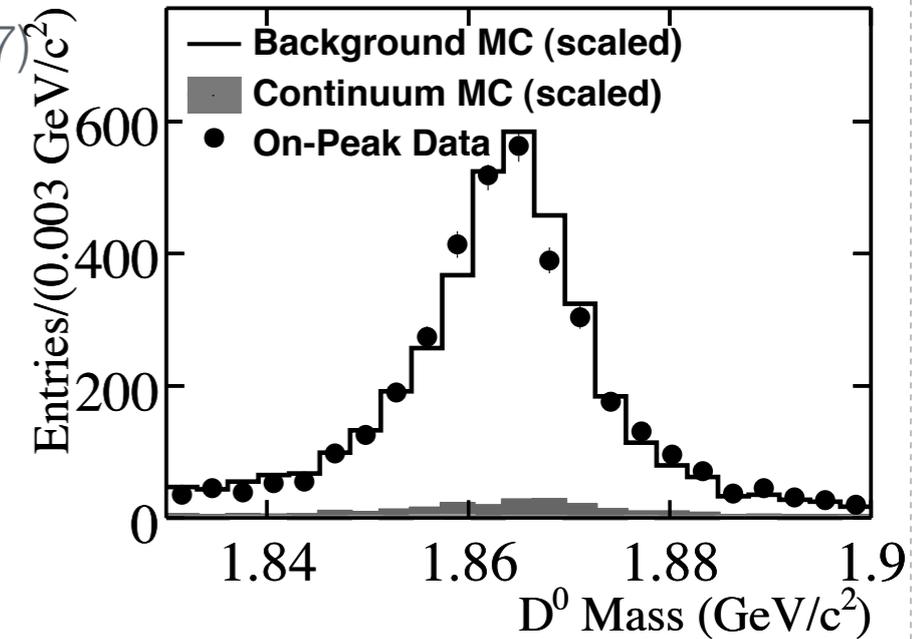
🍯 D<sup>(\*)</sup>lv: semileptonic tag

PRD 76, 05200 (2007)

🍯 efficiency is  $(6.64 \pm 0.03) \times 10^{-3}$  (signal MC)

🍯 study double tagged (2 non-overlapping tags) events in data and MC to estimate efficiency correction

🍯 systematic from statistical error

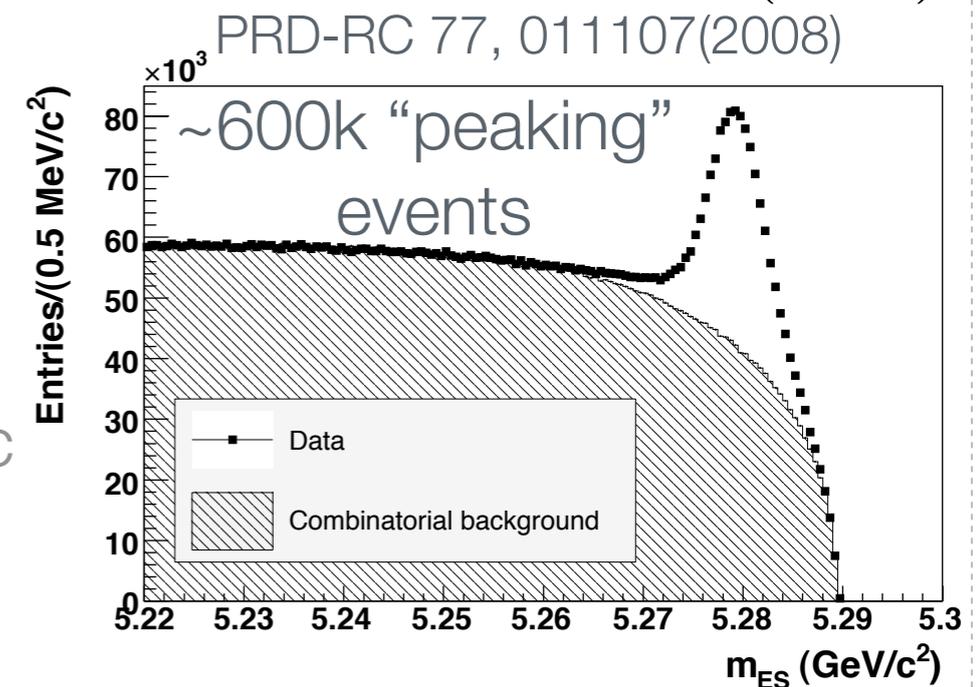


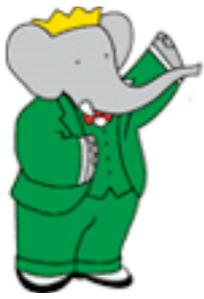
🍯 hadronic tag

🍯 count peaking event in  $m_{ES}$  by subtracting combinatorial events using a template from MC

🍯 correct for tagging efficiency difference of generic B-decay and signal events

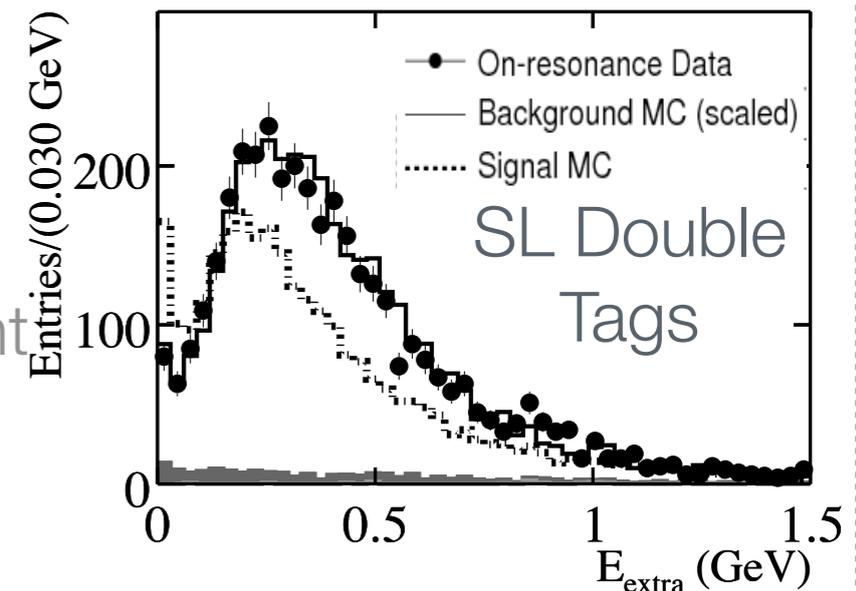
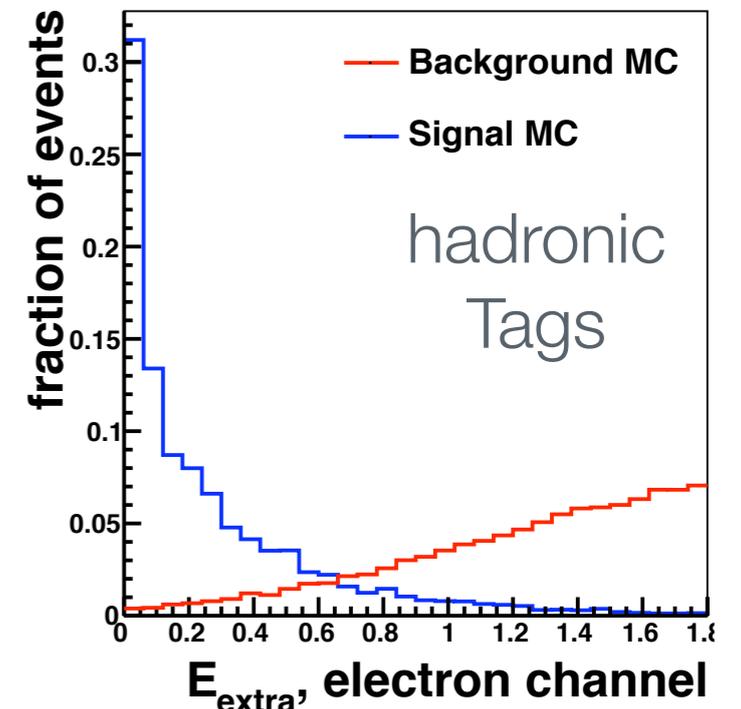
🍯 systematic estimated by changing template

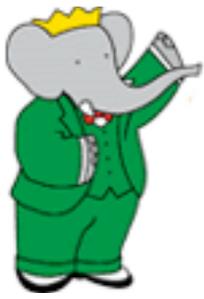




# $B \rightarrow \tau \nu: E_{\text{extra}}$

- ❏ the summed energy of “extra” particles ( $E_{\text{extra}}$ ) is the most powerful variable to distinguish signal from background
- ❏ modeling needs to describe clusters from background events, radiated photons and “split-offs”
- ❏ background event from data taken by random triggers are superimposed on MC events
- ❏ in particular “split-offs” are not well described
- ❏ use event with two tags to check data-MC agreement
- ❏ as in signal, all particles should be included in Tag-B and Signal-B





# $B \rightarrow \tau \nu$ : Event Selection

- require a good Tag-B and use the good tracks and photons in the recoil to separate events into 4 tau decay classes:  $e, \mu, \pi, \rho$ 
  - the  $a_1$  mode does not contribute to significance due to its large background
- simultaneously optimize cuts on:
  - $E_{\text{extra}}$
  - little presence of “extra” particles ( $K_L^0$ , tracks,  $\pi^0$ )
  - the momentum of the tau-daughter
  - continuum rejection
    - for the semileptonic tag, take extra care of  $\tau\tau$  events: if they enter final sample, they peak in  $E_{\text{extra}}$ !
- selection efficiencies of  $\sim 1$ -5% in each channel, total of 10(had)-13(SL)%
  - this is after tagging and signal-B reconstruction



# B → TV: Background Prediction Semileptonic Tag

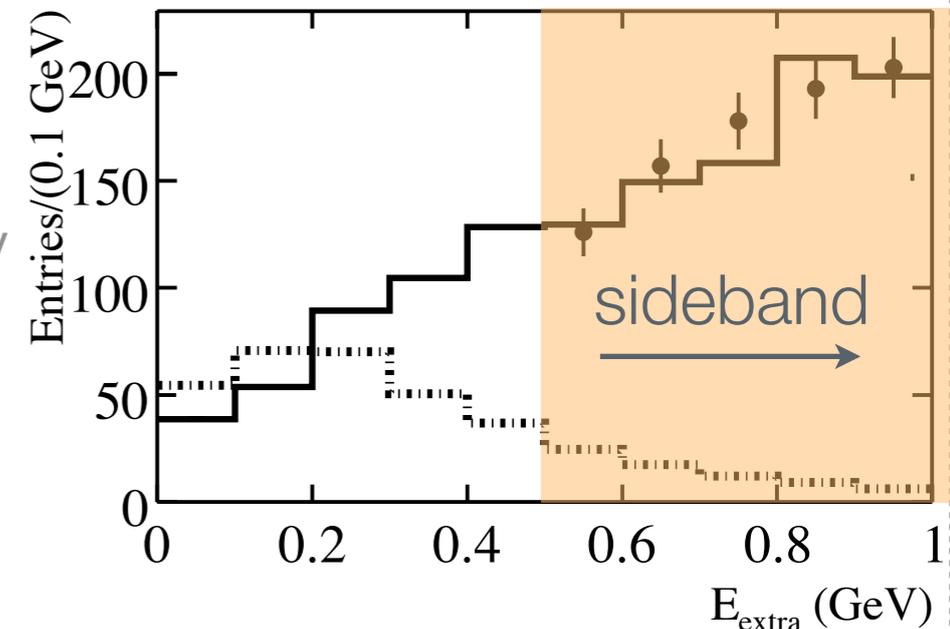
use sidebands (SB) in data

scale the amount of data in the  $E_{\text{extra}}$  SB region by the ratio of MC events in the SB and signal region:

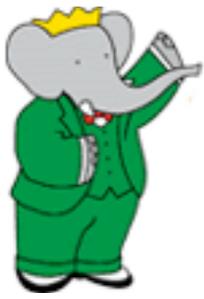
$$N_{\text{exp},\text{sig}} = N_{\text{data},\text{sb}} \times \frac{N_{\text{MC},\text{sig}}}{N_{\text{MC},\text{sb}}}$$

cross-check by using the  $m(D^0)$ -SB region in the  $E_{\text{extra}}$  signal region (SR) scaled to the  $m(D^0)$  SR (comb. background)

$$N_{\text{exp}} = N_{\text{comb}}^{\text{data}} + (N_{\text{total}}^{\text{MC}} - N_{\text{comb}}^{\text{MC}})$$



signal mode	Background Prediction			
	$e^+$	$\mu^+$	$\pi^+$	$\pi^+\pi^0$
$E_{\text{extra}}$ sideband	$44.3 \pm 5.2$	$39.8 \pm 4.4$	$120.3 \pm 10.2$	$17.3 \pm 3.3$
$D^0$ sideband	$44.2 \pm 6.4$	$42.8 \pm 6.0$	$113.4 \pm 11.6$	$16.3 \pm 4.5$

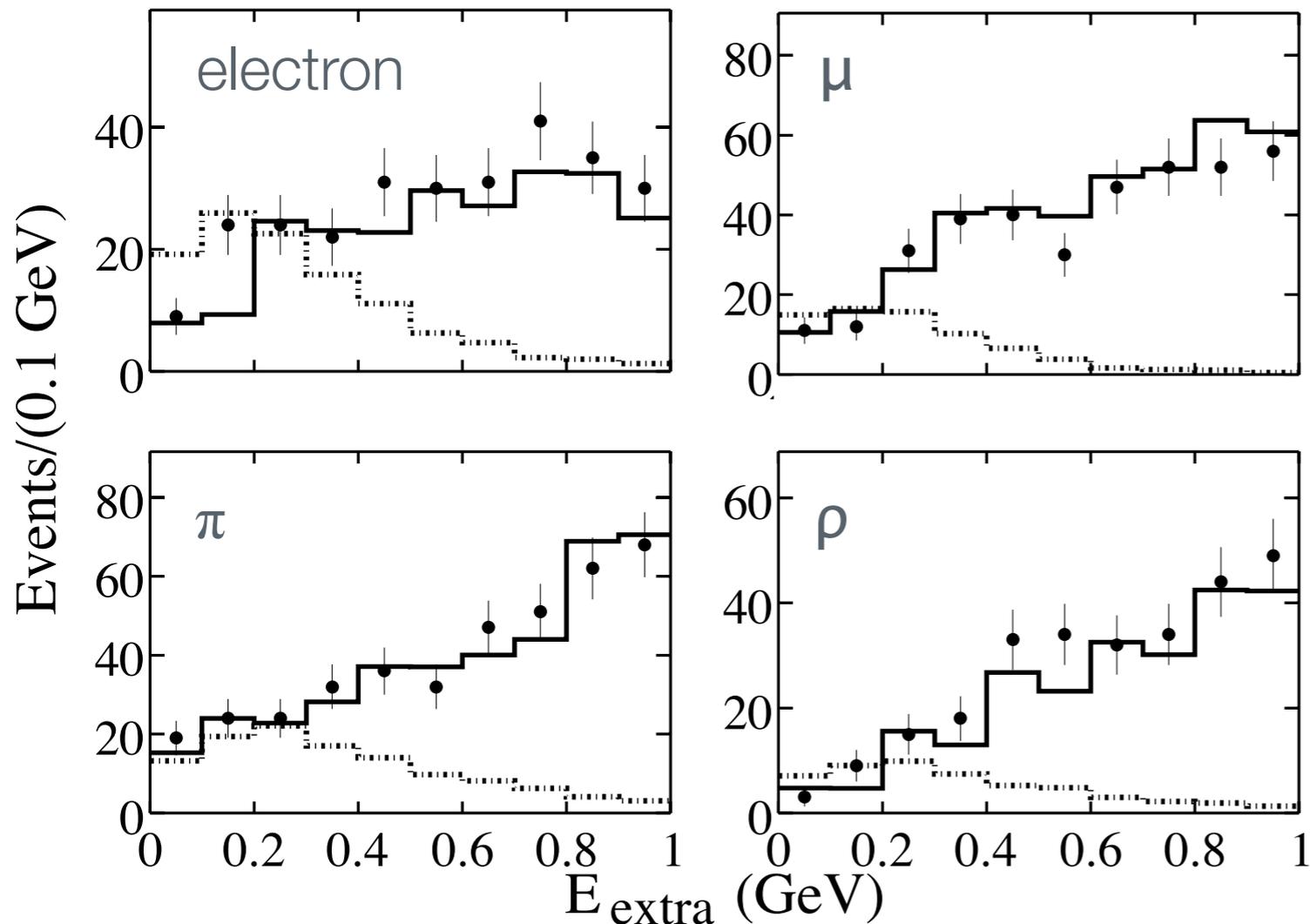


# $B \rightarrow \tau V$ : $E_{\text{extra}}$ in Data and MC

semileptonic tag

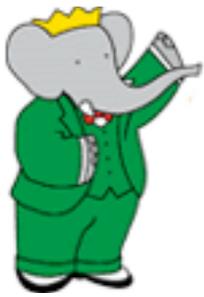
PRD 76, 052002 (2007)

● On-resonance Data  
— Background MC (scaled)  
⋯ Signal MC



$\tau$ decay mode	Expected background events	Observed events in on-resonance data
$\tau^+ \rightarrow e^+ \nu \bar{\nu}$	$44.3 \pm 5.2$	59
$\tau^+ \rightarrow \mu^+ \nu \bar{\nu}$	$39.8 \pm 4.4$	43
$\tau^+ \rightarrow \pi^+ \bar{\nu}$	$120.3 \pm 10.2$	125
$\tau^+ \rightarrow \pi^+ \pi^0 \bar{\nu}$	$17.3 \pm 3.3$	18
All modes	$221.7 \pm 12.7$	245





# B → τν: Background Prediction Hadronic tag

use sidebands (SB) in data

use  $m_{ES}$  fits in the  $E_{extra}$  SB and  $E_{extra}$  signal region at final selection stage

$$N_{exp,sig} = N_{pk}^{low,MC} \times \frac{N_{pk}^{high,data}}{N_{pk}^{high,MC}} + n_{comb}$$

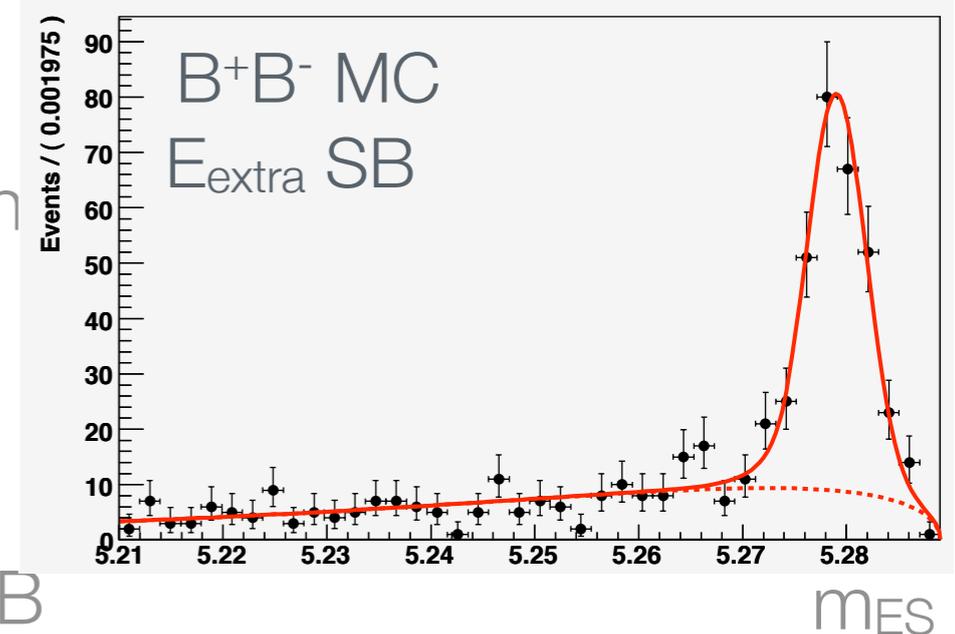
cross-check by also using  $m_{ES}$  fits in the  $E_{extra}$  SB at Preselection stage

$$n_{comb}^{sig} = n_{comb}^{sig,presel} \times \frac{N_{comb}^{high,data}}{N_{comb}^{high,data,presel}}$$

$$N_{pk}^{low,MC} = N_{tot}^{low,MC} - n_{comb}^{MC,presel} \times \frac{N_{comb}^{high,MC}}{N_{comb}^{high,MC,presel}}$$

thus avoiding fits in the  $E_{extra}$  SR (low statistics!)

average predictions, use difference as systematic

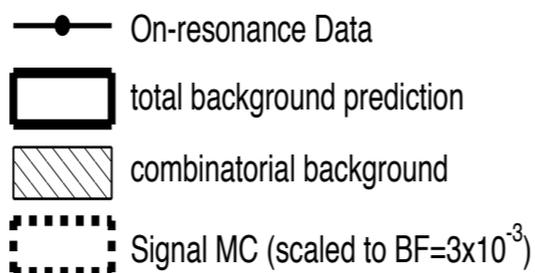




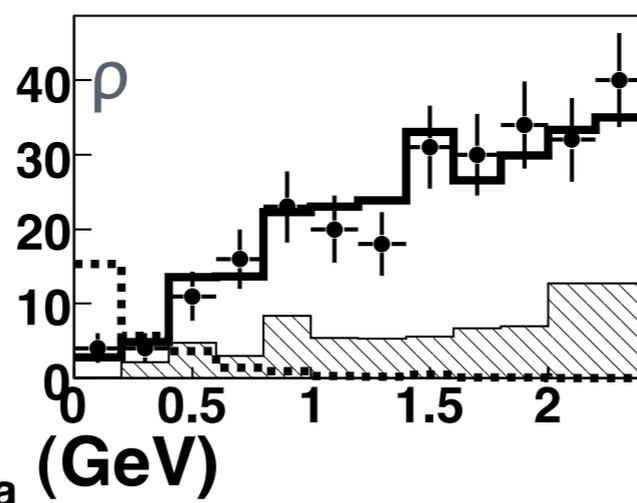
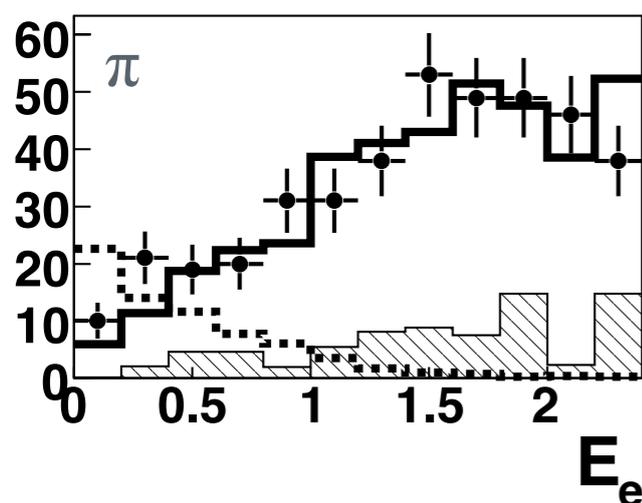
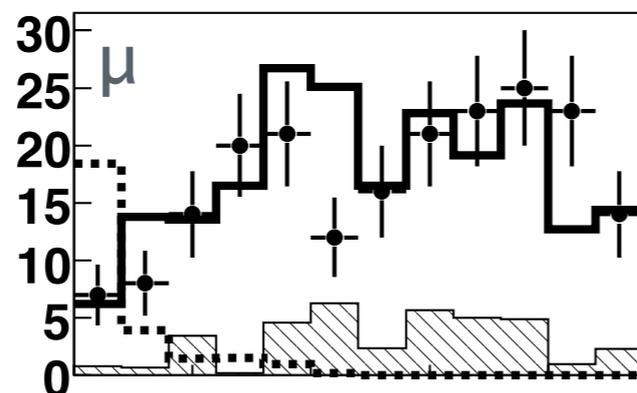
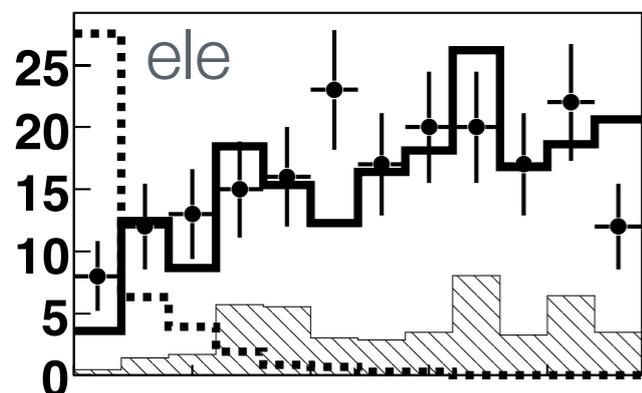
# B → τν: E<sub>extra</sub> in Data and MC

hadronic tag

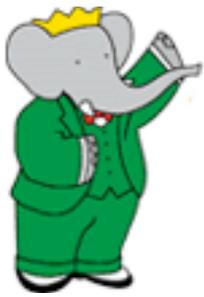
PRD-RC 77, 011107(2008)



Entries/(0.2 GeV)



$\tau$ decay mode	Expected background	Observed
$\tau^+ \rightarrow e^+ \nu \bar{\nu}$	$1.47 \pm 1.37$	4
$\tau^+ \rightarrow \mu^+ \nu \bar{\nu}$	$1.78 \pm 0.97$	5
$\tau^+ \rightarrow \pi^+ \bar{\nu}$	$6.79 \pm 2.11$	10
$\tau^+ \rightarrow \pi^+ \pi^0 \bar{\nu}$	$4.23 \pm 1.39$	5
All modes	$14.27 \pm 3.03$	24



# B → τν: Systematics

- tagging efficiency (correction)
- $E_{\text{extra}}$  modeling
- $K_L^0$  veto efficiency: use double tag sample
- tracking Efficiency
  - use ττ-events of a 1-3 topology
- Particle Identification
  - correction as functions of particle momentum and angle, determined in clean samples
- $\pi^0$  efficiency
  - use τ-decays: compare rates of  $\pi^\pm$  to  $\pi^\pm\pi^0$  decays

SL Tag	$e^+\nu\bar{\nu}$	$\mu^+\nu\bar{\nu}$	$\pi^+\bar{\nu}$	$\pi^+\pi^0\bar{\nu}$
Tracking	0.5	0.5	0.5	0.5
Particle Identification	2.5	3.1	0.8	1.5
$\pi^0$	–	–	–	2.9
EMC $K_L^0$	–	–	3.8	–
IFR $K_L^0$			3.3	
$E_{\text{extra}}$			3.4	
signal $B$			5.5	
tag $B$			3.6	
$N_{B\bar{B}}$			1.1	
Total			6.6	
Correction Factor	0.951	0.868	0.964	0.939

Had Tag	$e^+$	$\mu^+$	$\pi^+$	$\pi^+\pi^0$	Total
Source of systematics					
MC statistics	3.1	0.6	1.5	2.6	4.3
Particle Identification	1.5	1.3	0.2	0.2	2.0
$\pi^0$	–	–	–	1.4	1.4
Tracking	3.7	0.4	0.1	1.6	5.8
$E_{\text{extra}}$	4.7	0.6	0.9	2.6	8.8
Signal $B$					11.6
Tag $B$					3
Total					12



# B → τν: Experimental Status

## BaBar

semileptonic tag, 383 M BB, PRD-RC 77, 011107 (2008):

$$(0.9 \pm 0.6 \pm 0.1) \times 10^{-4}$$

hadronic tag, 383 M BB, PRD 76, 05200 (2007):

$$(1.8_{-0.9}^{+1.0} \pm 0.3) \times 10^{-4}$$

combined

$$(1.2 \pm 0.4_{stat} \pm 0.3_{bkg} \pm 0.2_{syst}) \times 10^{-4}$$

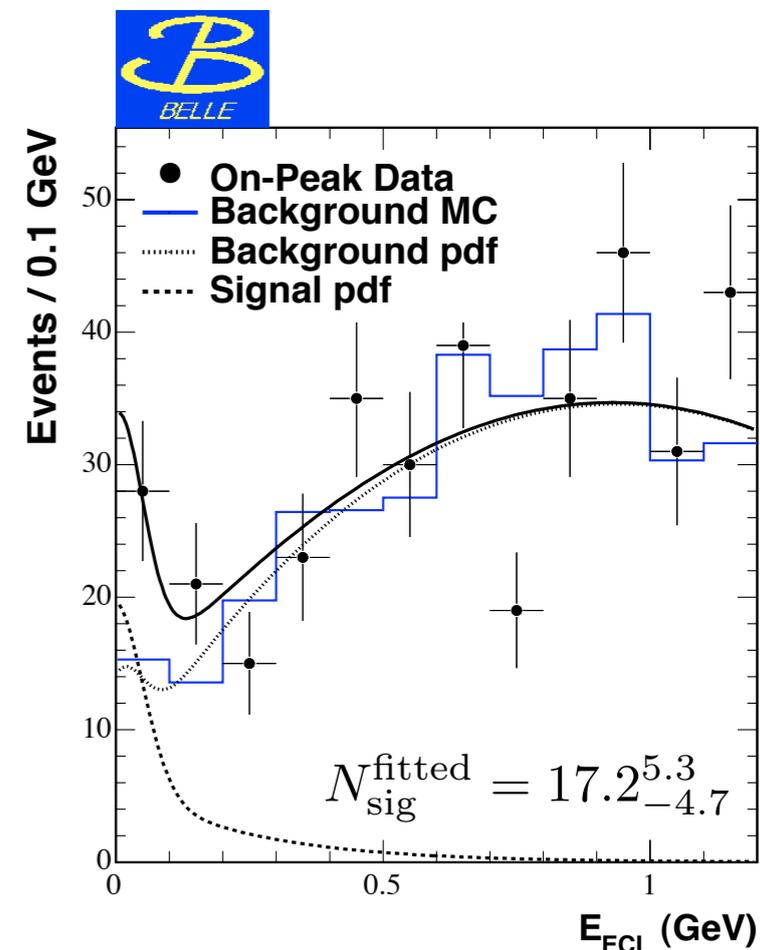
## Belle

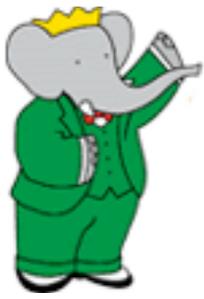
hadronic tag, 447 M BB, PRL 97, 251802 (2006):

$$(1.79_{-0.49-0.51}^{+0.56+0.46}) \times 10^{-4}$$

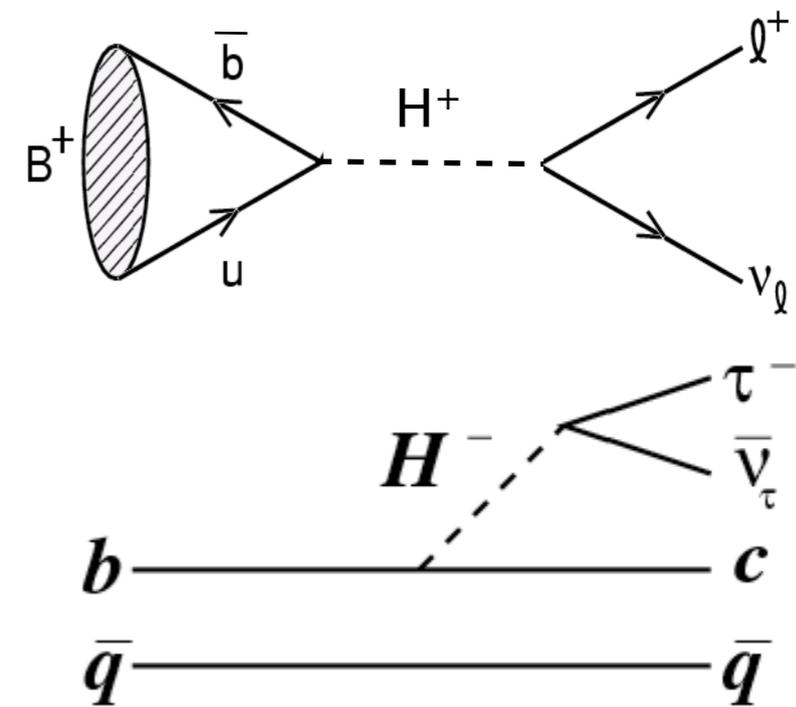
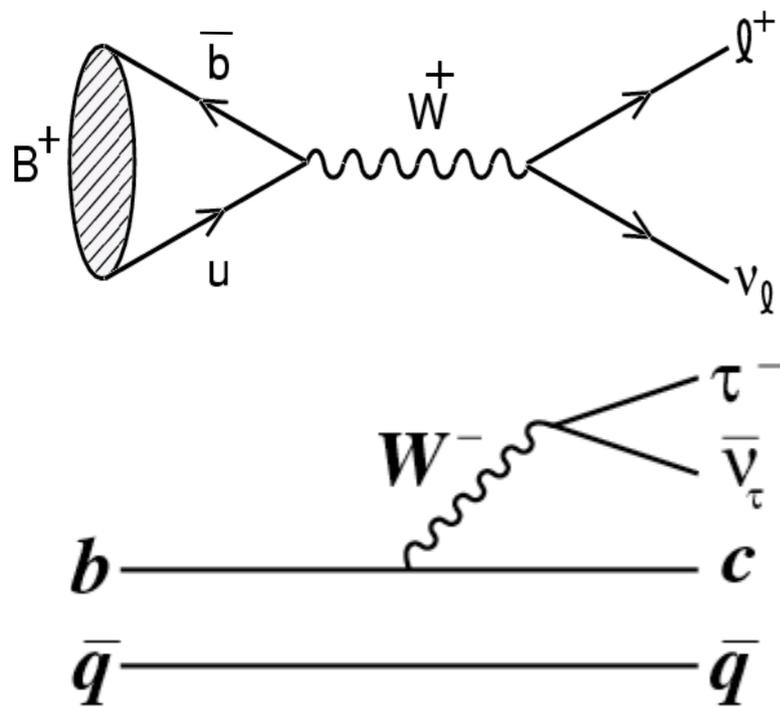
World Average (HFAG, August 2007)

$$(1.41_{-0.42}^{+0.43}) \times 10^{-4}$$





# $B \rightarrow D^{(*)} \tau \nu$ : Motivation



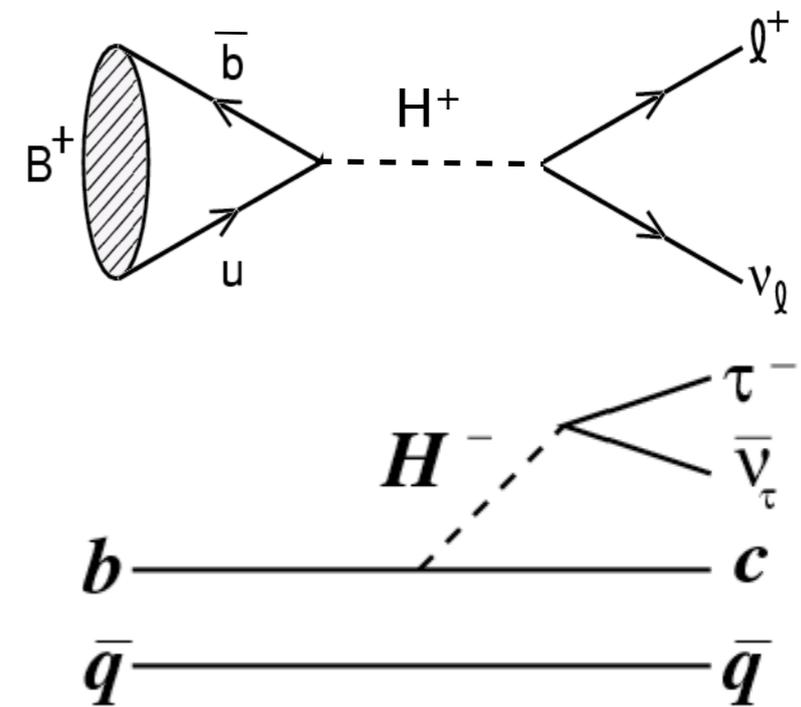
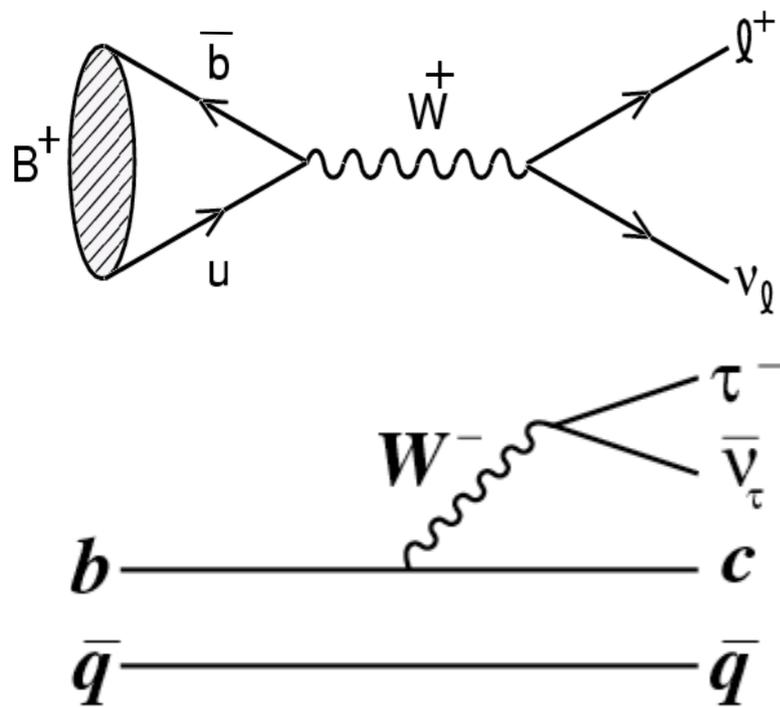
from  $B \rightarrow \tau \nu$  to  $B \rightarrow D^{(*)} \tau \nu$

go from annihilation to exchange diagram (charged + neutral channels)

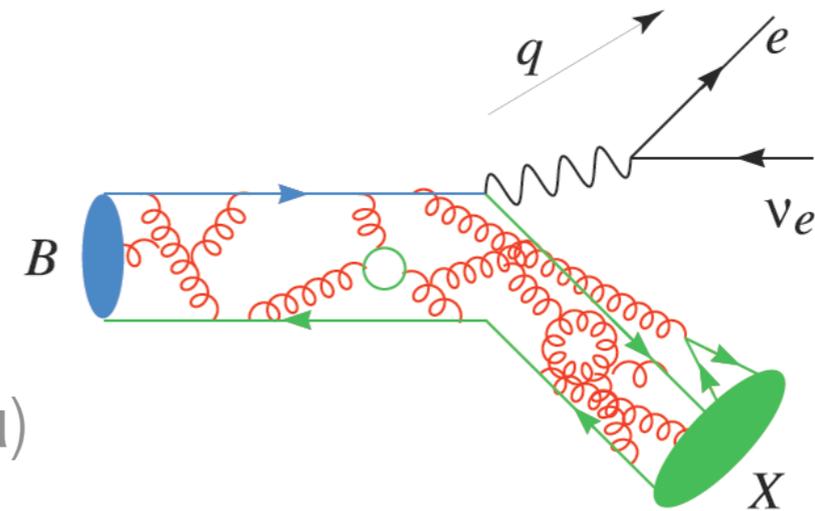
go from  $V_{ub}$  to  $V_{cb}$

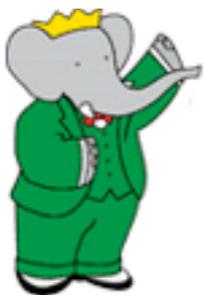


# $B \rightarrow D^{(*)} \tau \nu$ : Motivation



- ⬢ add a spectator quark
- ⬢ complicates theoretical prediction
- ⬢ but can look at ratio  $B \rightarrow D^{(*)} \tau \nu / B \rightarrow D^{(*)} l \nu$  ( $l=e, \mu$ )

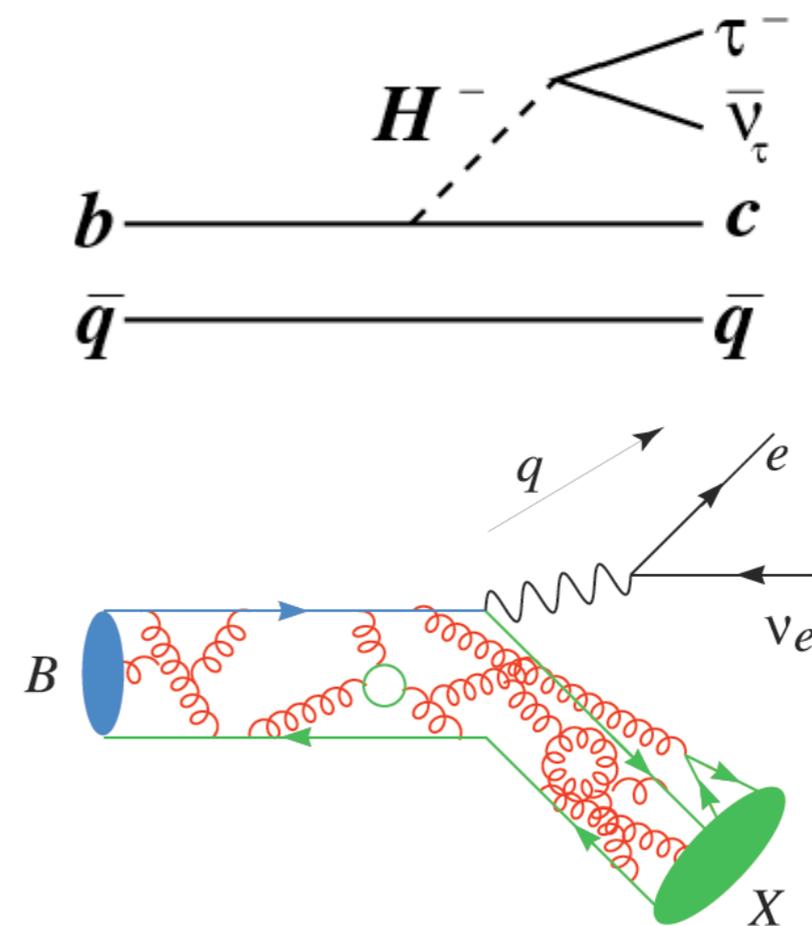


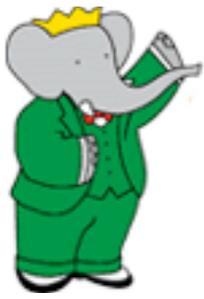


# $B \rightarrow D^{(*)} \tau \nu$ : Motivation (II)

- ratio  $B \rightarrow D^{(*)} \tau \nu / B \rightarrow D^{(*)} l \nu$ 
  - phase space reduced
  - additional helicity for W
    - two form factors for D, four for  $D^*$
    - HQET relates these extra FF to “light lepton” FF’s
- spin 0 Higgs does not couple to all helicity states
  - effect on D and  $D^*$  different

Decay Mode	$\mathcal{B}$ (%)
$\bar{B}^0 \rightarrow D^- \tau^- \bar{\nu}_\tau$	$0.69 \pm 0.04$
$\bar{B}^0 \rightarrow D^{*-} \tau^- \bar{\nu}_\tau$	$1.41 \pm 0.07$
$B \rightarrow X_c \tau^- \bar{\nu}_\tau$	$2.3 \pm 0.25$



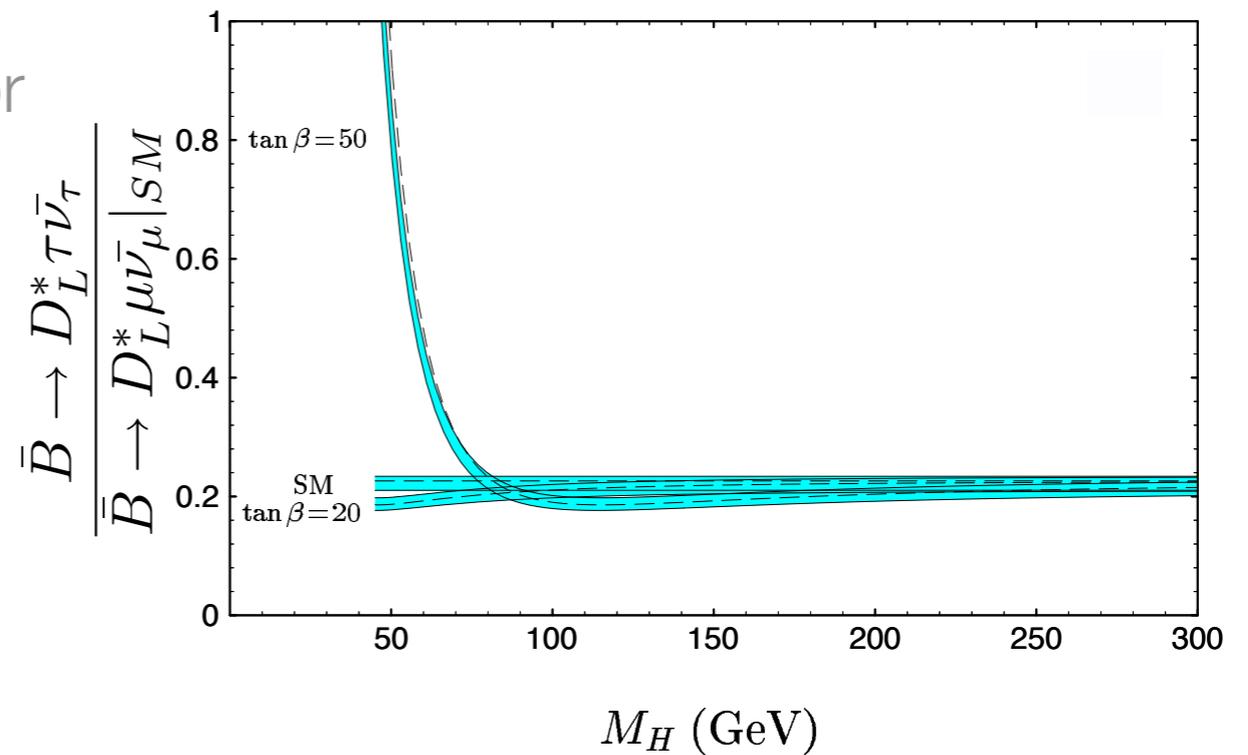
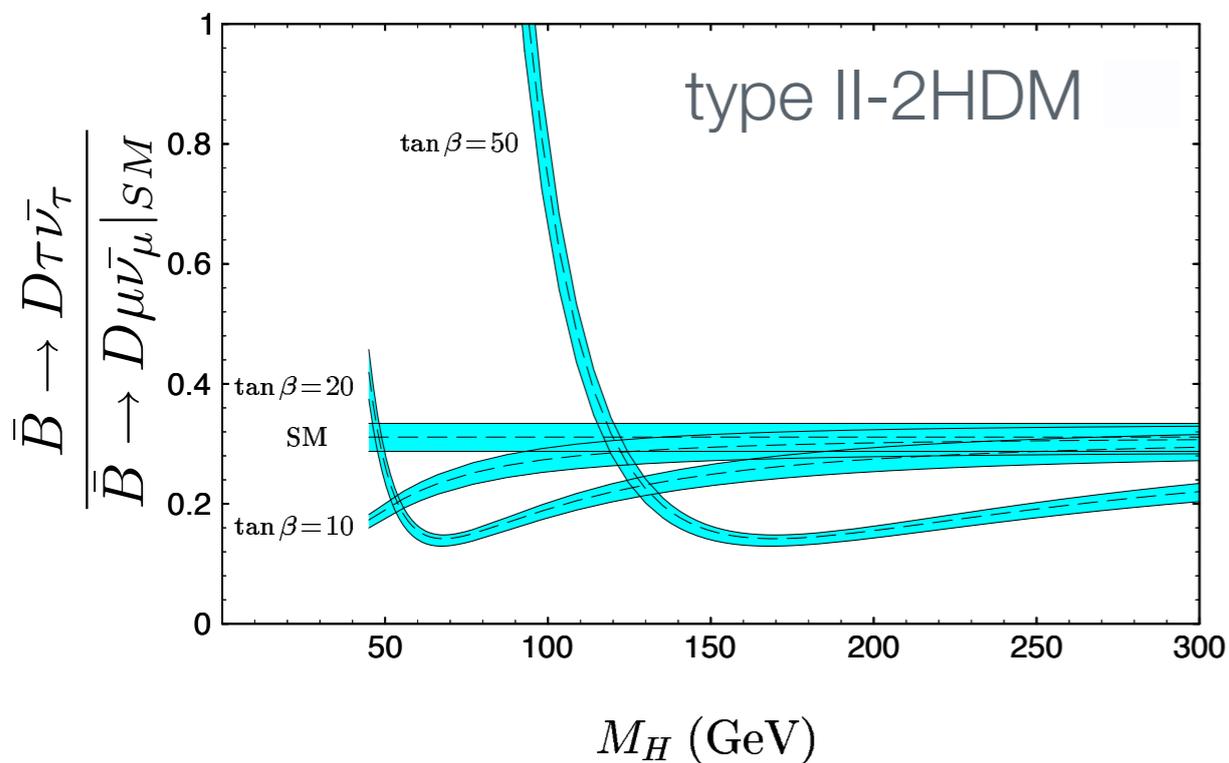


# $B \rightarrow D^{(*)} \tau \bar{\nu}_\tau$ : Motivation (III)

presence of Higgs changes branching fraction

here shown for 2HDM

other models show similar behavior



plots from Tanaka,  
Z Phys C67 321 (1995)



# $B \rightarrow D^{(*)} \tau \nu$ : Analysis Outline

- use a hadronic Tag-B
- reconstruct a  $D^{(*)} l$  candidate from recoil particles
  - $l = e$  or  $\mu$ , lepton could be primary or from  $\tau$ -decay
- event selection
  - require  $p_{\text{Miss}} > 200 \text{ MeV}$  and  $q^2 > 4 \text{ GeV}^2$ , all tracks belong to either Tag or Signal B
- $m^2_{\text{Miss}}$  is zero for primary decays, signal events form tail out to  $8 \text{ (GeV}/c^2)^2$
- lepton momentum of primary leptons is higher
  - do a combined fit of  $m_{\text{Miss}}$  and the lepton momentum



# $B \rightarrow D^{(*)} \tau \nu$ : Cross-feeds and Backgrounds

  $D^*$  events can feed down to  $D$  events if soft  $\gamma$  or  $\pi^0$  is not reconstructed (well)

 true for both background and signal modes

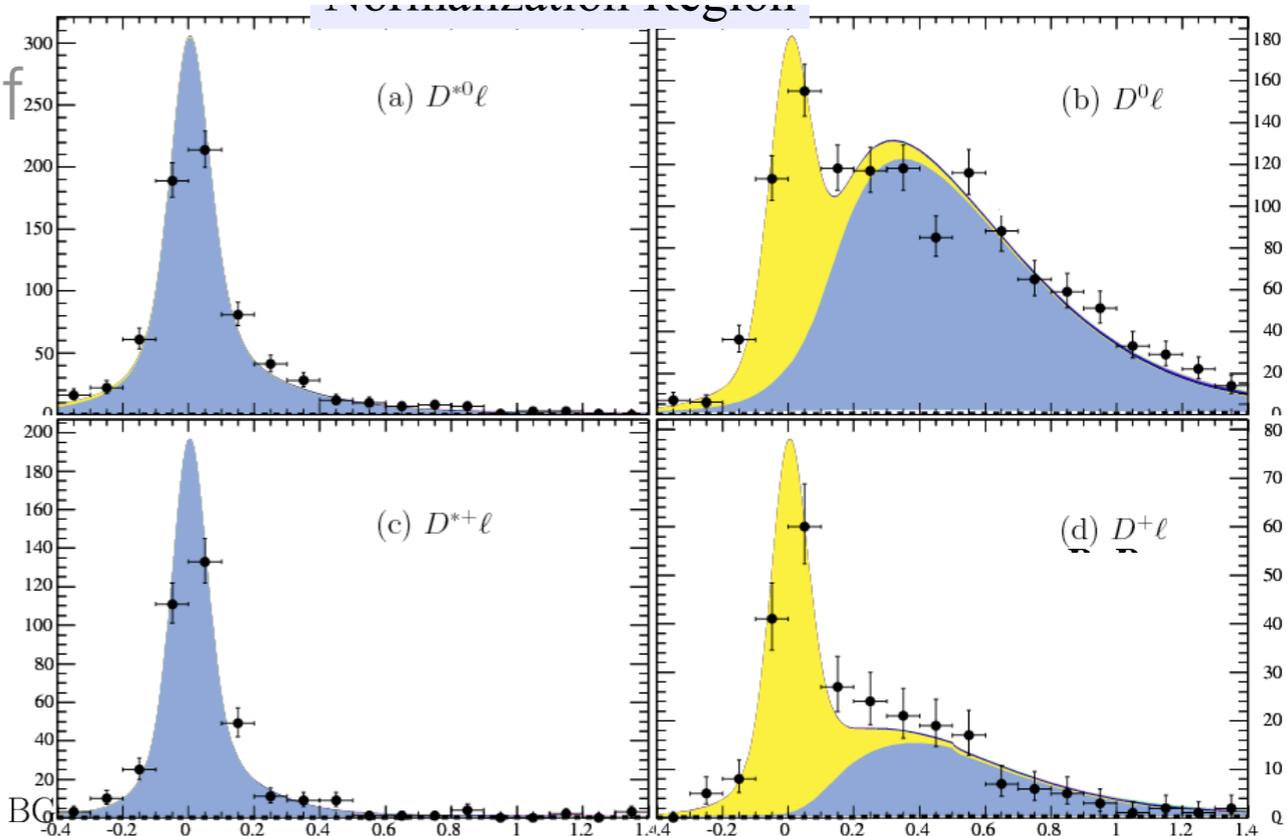
 smaller feed-up components are present

 other backgrounds

  $D^{**}$ ,  $D^{(*)}$   $D_s^{(*)}$ , charge cross-feed

 construct  $D^{**}$  control sample by requiring an extra  $\pi^0$

  $D^* \tau \nu$   
  $D \tau \nu$   
  $D^* \ell \nu$   
  $D \ell \nu$   
  $D^{**} \ell \nu$   
 Comb. BG



PRL 100, 021801 (2008)

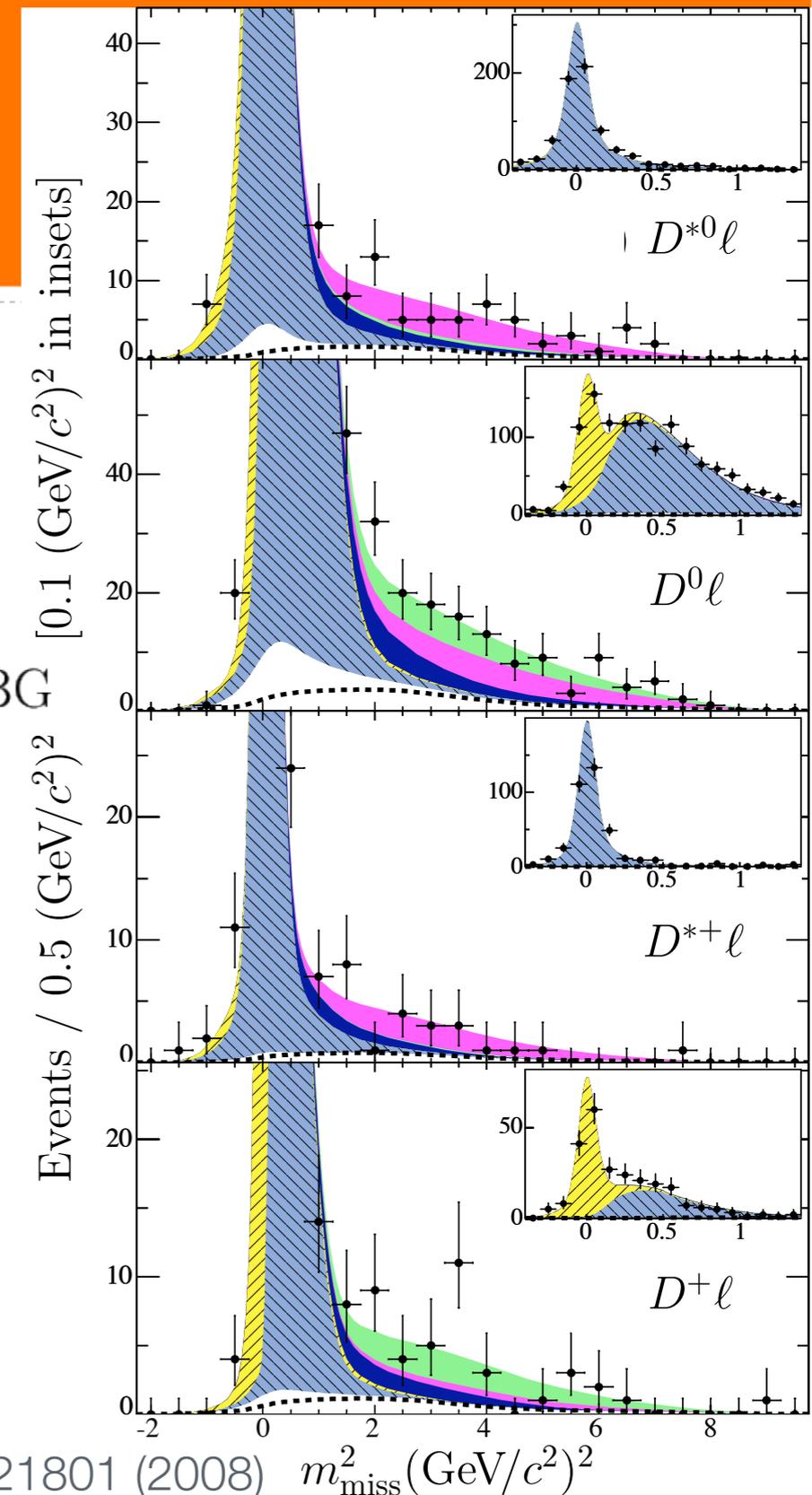
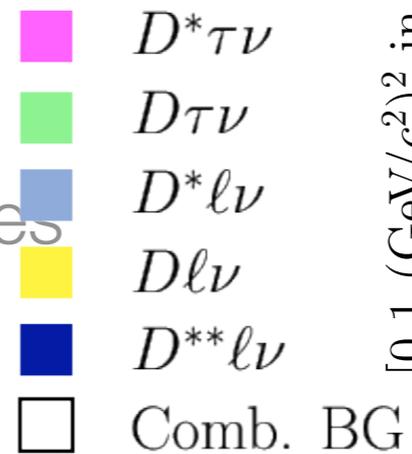
$m^2_{\text{Miss}} \sim 0$ : normalization region

- charm resonances heavier than  $D^*(2010)$
- non-resonant  $D^* n \pi$  ( $n \geq 1$ )



# $B \rightarrow D^{(*)} \tau \nu$

- ⬢ fit all 4 channels simultaneously
- ⬢ use 7 components (dashed line indicates charge cross-feed)
- ⬢ parameters of most important feed-down component is floated in the fit
- ⬢ fit includes  $D^{**}$  samples
  - ⬢ less sensitivity to details of prod. and decay
- ⬢ perform two fits, one with the ratios  $R(D^+) = R(D^0)$  and  $R(D^{*+}) = R(D^{*0})$ 
  - ⬢ latter fit is shown on left





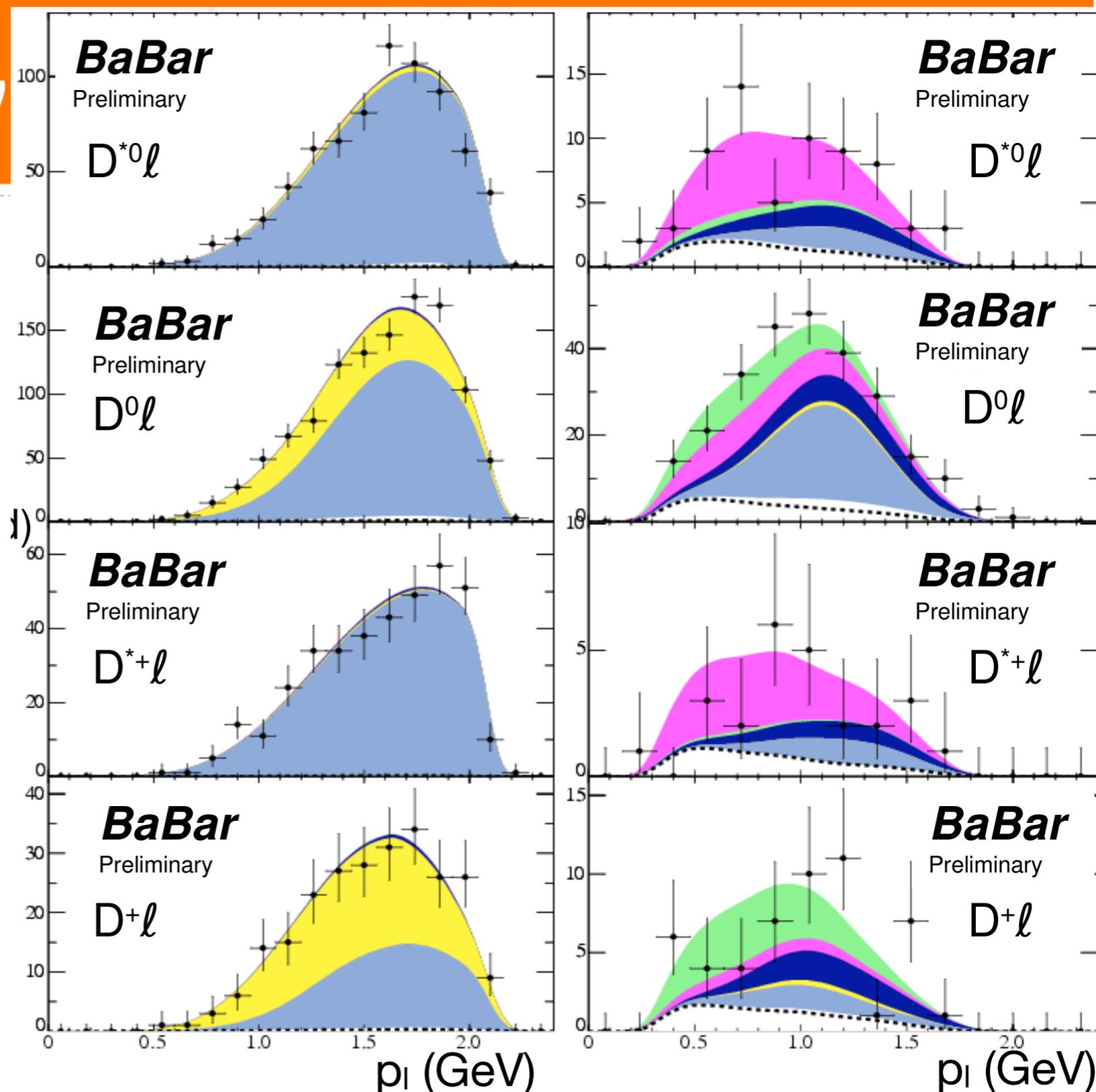
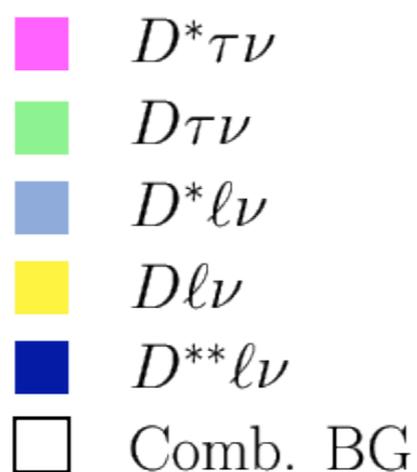
# $B \rightarrow D^{(*)} \tau \nu$

left: Normalization Region

low missing invariant mass squared

right: Signal Region

high missing invariant mass squared





# $B \rightarrow D^{(*)} \tau \nu$ : Systematics and Final Result

- many systematics (tracking, particle identification) mostly cancel in ratio
- uncertainties on fit estimated by ensembles of fits
  - PDF parametrization (2-12%)
  - composition of combinatoric background (2-11%)
  - $D^{**}$  composition (0.3-6%)
  - $\pi^0$  efficiency (effect on feed-down)
  - B  $D^{(*)}$  form factors (<2%)
  - $m_{\text{Miss}}$  resolution

Mode	$\mathcal{B}$ [%]	$\sigma_{\text{tot}}$ ( $\sigma_{\text{stat}}$ )
$B^- \rightarrow D^0 \tau^- \bar{\nu}_\tau$	$0.67 \pm 0.37 \pm 0.11 \pm 0.07$	1.8 (1.8)
$B^- \rightarrow D^{*0} \tau^- \bar{\nu}_\tau$	$2.25 \pm 0.48 \pm 0.22 \pm 0.17$	5.3 (5.8)
$\bar{B}^0 \rightarrow D^+ \tau^- \bar{\nu}_\tau$	$1.04 \pm 0.35 \pm 0.15 \pm 0.10$	3.3 (3.6)
$\bar{B}^0 \rightarrow D^{*+} \tau^- \bar{\nu}_\tau$	$1.11 \pm 0.51 \pm 0.04 \pm 0.04$	2.7 (2.7)
$B \rightarrow D \tau^- \bar{\nu}_\tau$	$0.86 \pm 0.24 \pm 0.11 \pm 0.06$	3.6 (4.0)
$B \rightarrow D^* \tau^- \bar{\nu}_\tau$	$1.62 \pm 0.31 \pm 0.10 \pm 0.05$	6.2 (6.5)

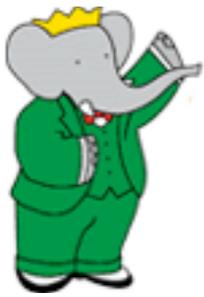
errors:

stat, sys and from normalization mode

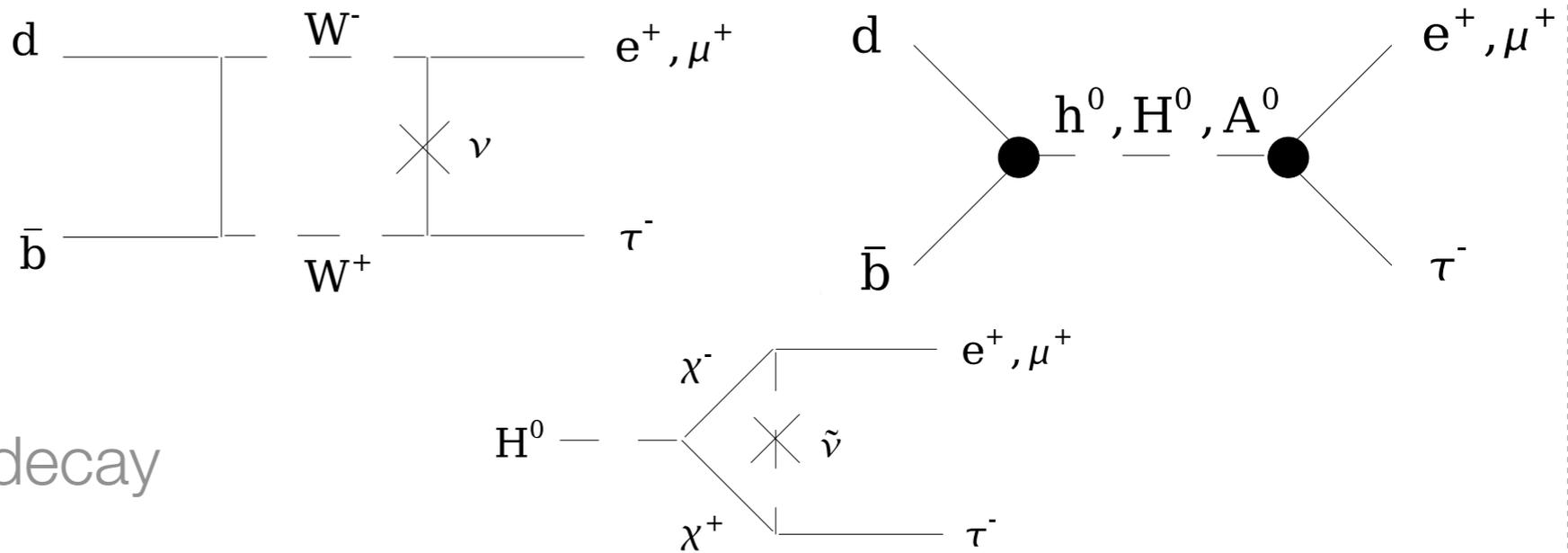
Result uses 232 M BB

Belle (535 M BB):

$$\mathcal{B}(B^0 \rightarrow D^{*-} \tau^+ \nu_\tau) = (2.02_{-0.37}^{+0.40}(\text{stat}) \pm 0.37(\text{syst}))\%$$



# $B^0 \rightarrow e/\mu \tau$ : Motivation



lepton number violating decay

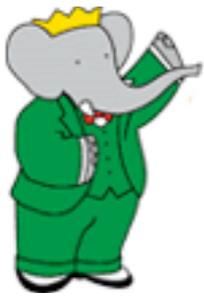
in Standard Model only allowed via neutrino oscillations

in SUSY, the LFV could be in the slepton sector

other LFV decays suppressed by  $m^2_l/m^2_\tau$  (for  $B^0 \rightarrow e\mu$  factor is  $\sim 0.0036$ )

theoretical prediction in flavor-universal MSSM  $\sim 2 \times 10^{-10}$

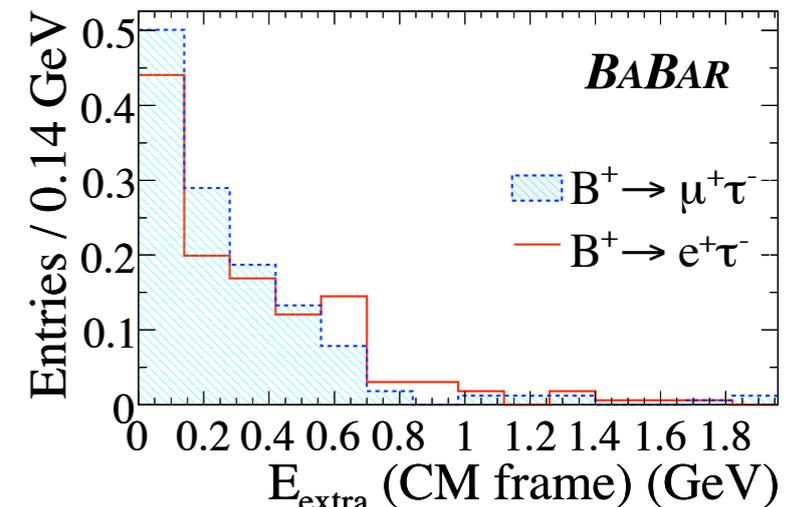
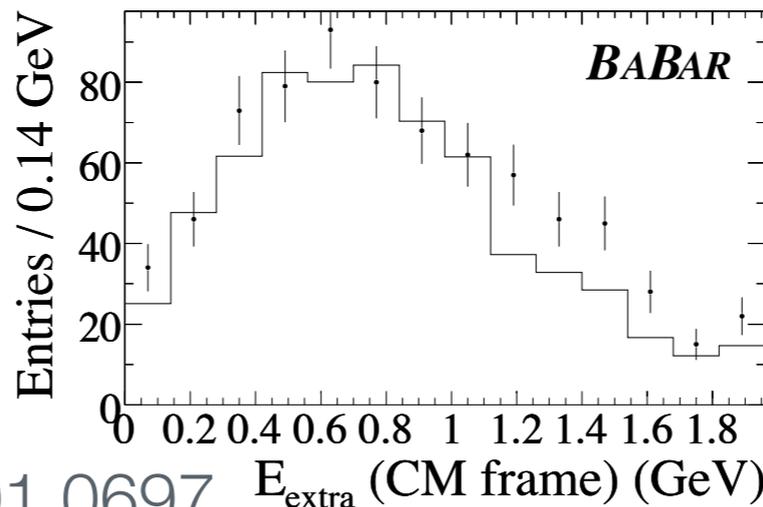
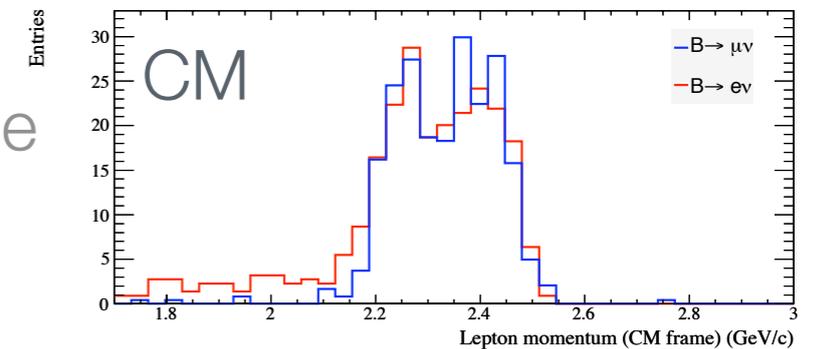
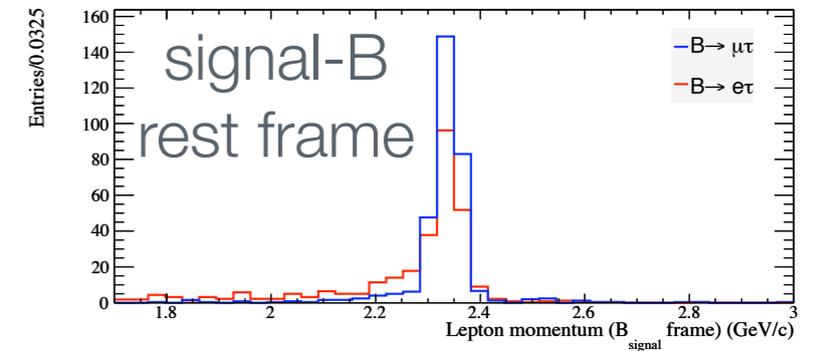
previous best limits set by CLEO:  $\mathcal{B}^{90\%C.L.}(B \rightarrow e/\mu \tau) < 11/3.8 \times 10^{-5} \quad (10 \text{ fb}^{-1})$



# $B^0 \rightarrow e/\mu \tau$ : Measurement

- ❏ work in the recoil of a (neutral) hadronic Tag-B
- ❏ in this sample, the signal-B rest frame is fully determined
  - ❏ lepton momentum (of  $e/\mu$ ) peaks in signal-B rest frame (2-body decay)
- ❏ use presence of lepton +  $\tau$ -daughters and nothing else in the recoil to select event
- ❏ fit the lepton momentum

arXiv:0801.0697

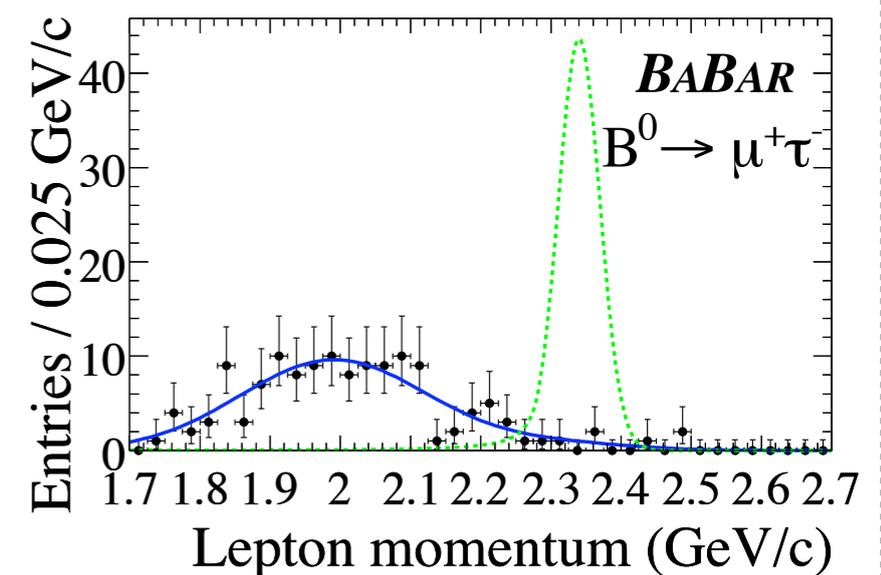
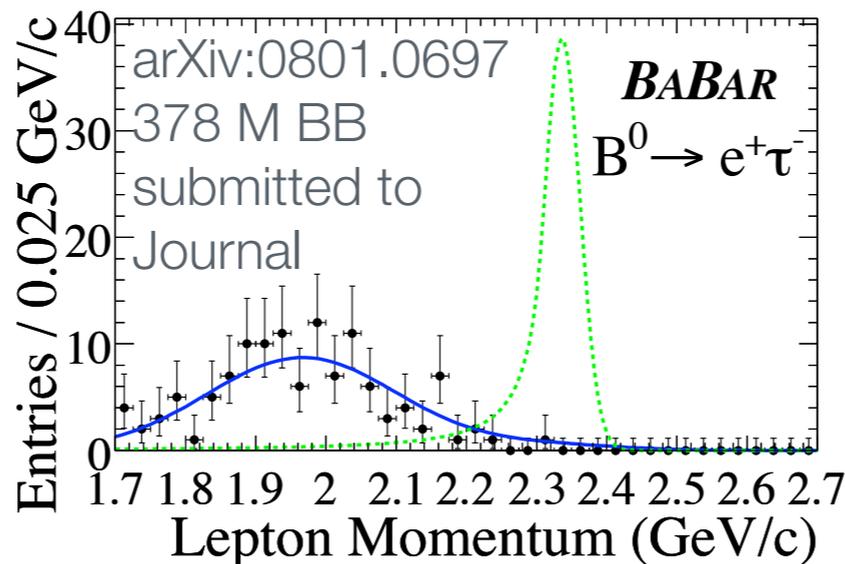


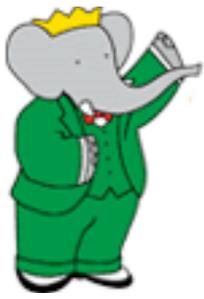


# $B^0 \rightarrow e/\mu \tau$ : Result

- unbinned maximum likelihood fit to the lepton momentum in the  $B_{\text{signal}}$  frame
- signal modeled by Crystal Ball function to account for energy loss due to bremsstrahlung, background modeled by double gaussian
- limit is extracted from events in the signal region  $2.2 \text{ GeV}/c < p^* < 2.42 \text{ GeV}/c$
- $\mathcal{B}^{90\%C.L.}(B \rightarrow e/\mu \tau) < 2.8/2.2 \times 10^{-5}$  , (CLEO:  $\mathcal{B} < 11/3.8 \times 10^{-5}$ )

Uncertainty source	$e^+ \tau^-$	$\mu^+ \tau^-$
Signal Fit	5.6	10.6
Background Fit	3.9	3.1
$B_{\text{tag}}$ efficiency	6.4	6.4
PID efficiency	5.3	5.8
MC Statistics	8.6	7.4
Tracking efficiency	1.7	1.7
$N_{B\bar{B}}$	1.1	1.1





# B → Kμτ: Motivation

Sher/Yuan PRD44, 1461 (1991)

✿ GUT introduces FCNC at tree level

✿ natural value of Yukawa couplings  $\eta_{ij} = \sqrt{m_i m_j} / m_{(\tau/b)}$

✿ quark and lepton couplings equal at GUT scale

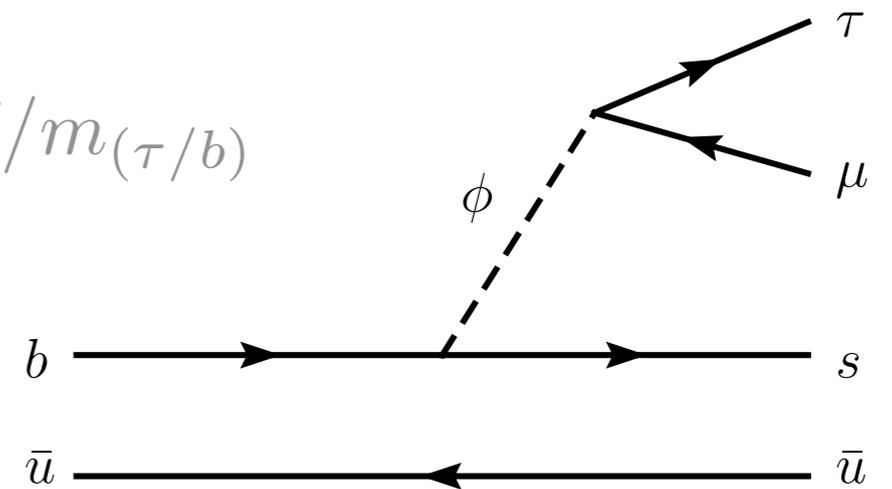
✿  $B \rightarrow K\mu\tau \propto \eta_{\mu\tau}^2 \times \eta_{\mu\tau}^2$

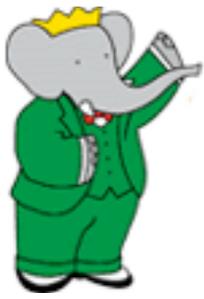
✿ K// analysis set limit on Keμ

✿ experimentally easier, but suppressed by  $\approx (m_e/m_\tau)$

✿  $B_s \rightarrow \mu\mu$  competitive  $\propto \eta_{\mu\tau}^2 \times \eta_{\mu\mu}^2$ , suppressed only by  $\approx (m_\mu/m_\tau)$

✿ Tevatron limit very low, but tests different couplings and “naturalness” might not be exact in nature





# B → Kμτ: Measurement

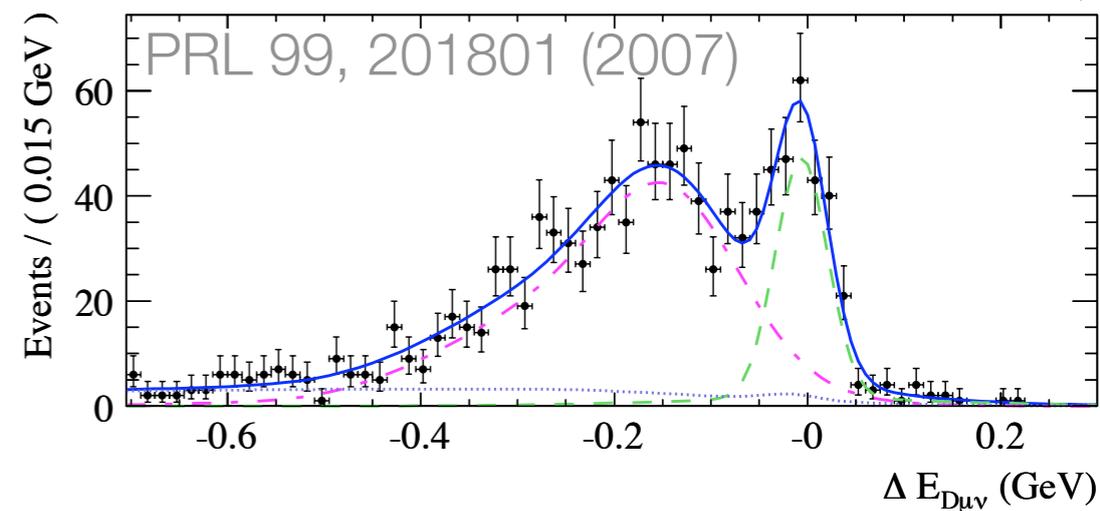
- work in the recoil of a hadronic Tag-B
- kinematics of the Signal-B are determined
- calculate τ-kinematics from Tag-B, K and μ
  - $m_\tau = \sqrt{E_\tau^2 - p_\tau^2}$  peaks at τ-mass in signal events

- require 3 tracks in the recoil,
  - one passing K and another μ PID criteria
  - the tau daughter track can be either an electron, μ or π

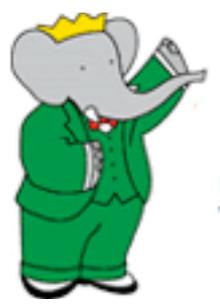
control sample from  $B \rightarrow D^{(*)0} \mu \nu_\mu$  with  $D^0 \rightarrow K^+ \pi^-$

unbinned likelihood fit for yields

---  $D^0$   
 ---  $D^{*0}$



$$\Delta E_{D\mu\nu} = E_K + E_\mu + E_\pi + p_\nu - E_{beam} = p_\nu - E_{miss}$$



# B → Kμτ: Result

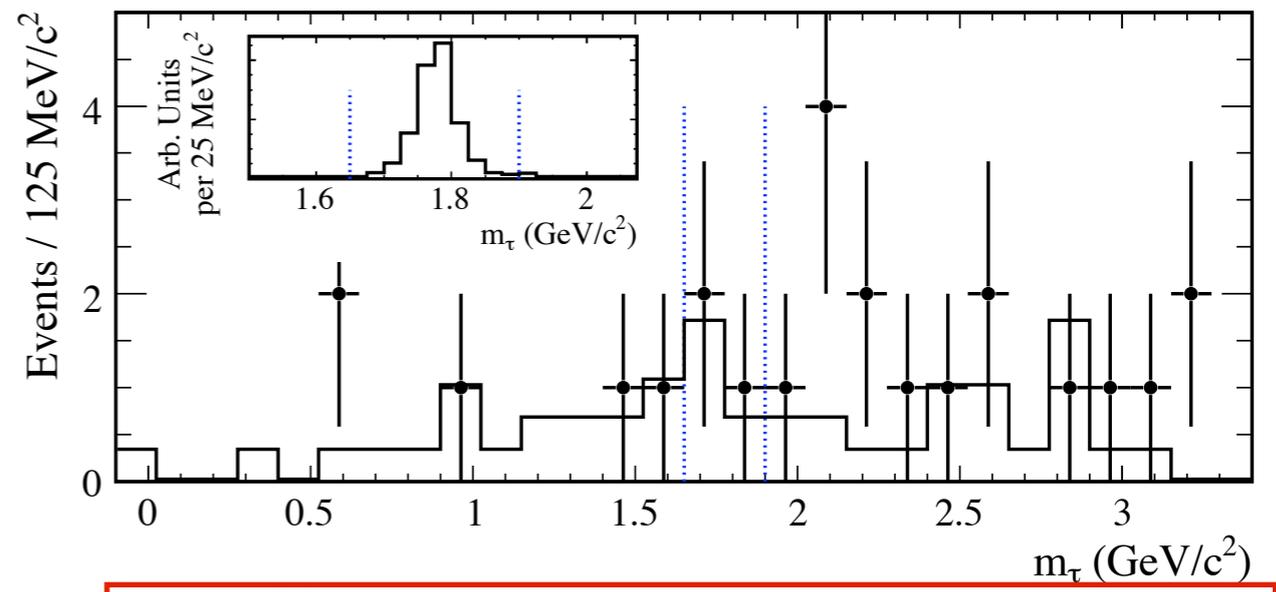
- ✦ reject events from  $B \rightarrow D^{(*)0} \mu \nu_\mu$  with  $D^0 \rightarrow K^+ \pi^-$  by cut on  $m(K\pi)$
- ✦ Charmonium veto in  $\mu$  and  $\pi$  channel
- ✦ Likelihood-variable to reject continuum background using  $E_{\text{extra}}$ , lepton-ID and  $\cos \Theta_T^*$  ( $\cos \alpha(\text{Thrust}_{\text{Tag}B}, \text{Thrust}_{\text{ROE}})$ )
- ✦ estimate background from sidebands in  $m_\tau$  PRL 99, 201801 (2007)

✦ signal branching fraction

$$\mathcal{B}_i = (n_i - b_i) / (\epsilon_i S_0)$$

$$S_0 = \frac{N_{D\mu\nu}}{\mathcal{B}_{D\mu\nu}} \left( \frac{1}{\epsilon_{D\mu\nu}} \right) \left( \frac{\epsilon_{tag}^{K\mu\nu}}{\epsilon_{tag}^{D\mu\nu}} \right) \quad \text{control sample}$$

✦ many systematics cancel in ratio

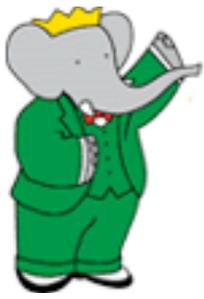


$$\mathcal{B}^{90\%C.L.}(B \rightarrow K\mu\tau) < 7.7 \times 10^{-5}$$



# Interpretation and Outlook





# $B \rightarrow \mu\tau, B \rightarrow K\mu\tau$

- ❖ motivated by neutrino oscillations, a model-independent framework for lepton flavor changing neutral current has been proposed in Black, Han, He and Sher, PRD66, 052003 (2002)
- ❖ expresses connection of BF and the energy scale of new physics operators  $\Lambda_{ij}$
- ❖  $B^0 \rightarrow e/\mu\tau$  limits most stringent to date ( $\sim 2.8/2.2 \times 10^{-5}$ )
  - ❖ moves limit for  $\Lambda > 8.2$  TeV to  $\Lambda > 11.6$  TeV for the  $\Lambda_{bd}$  operator
  - ❖ still orders of magnitude away from theoretical predictions (MSSM:  $O(10^{-10})$ )
  - ❖ in currently considered models.....
- ❖ (first)  $B^+ \rightarrow K\mu\tau$  limit  $\mathcal{B}^{90\%C.L.}(B \rightarrow K\mu\tau) < 7.7 \times 10^{-5}$ 
  - ❖ moves limit for from  $\Lambda > 2.6$  TeV to  $\Lambda > 13$  TeV for the  $\Lambda_{bs}$  operator



# $B \rightarrow \tau \nu$ and Lattice QCD

use the measured branching fraction and the UTfit angle fit into a prediction of  $f_B$

$$f_B = 0.24 \pm 0.044 \text{ GeV}$$

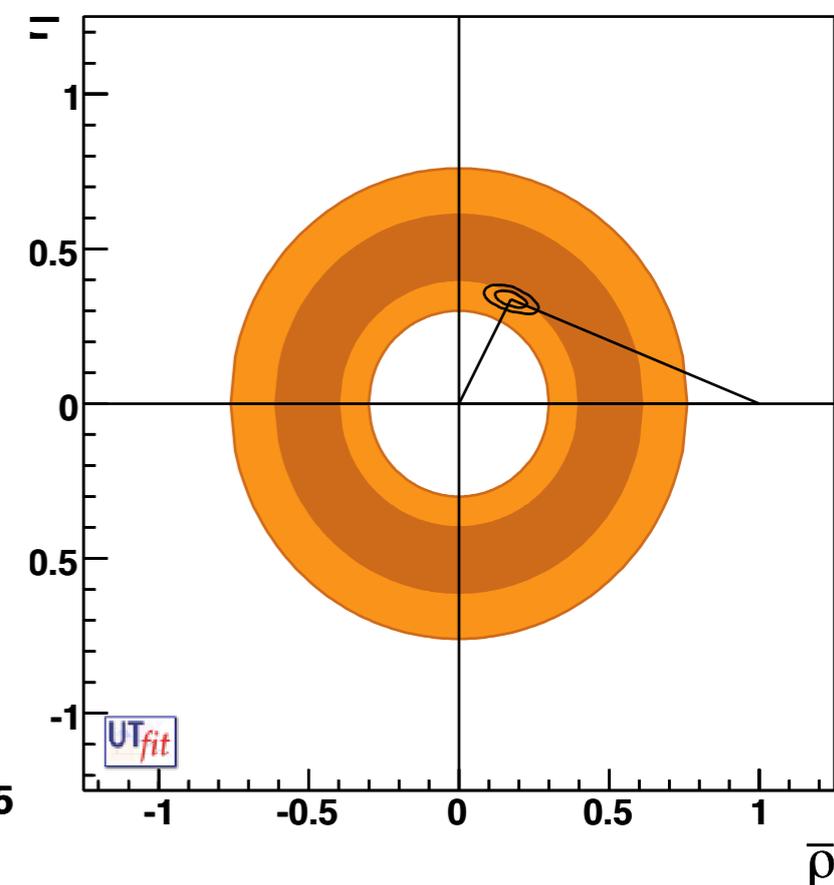
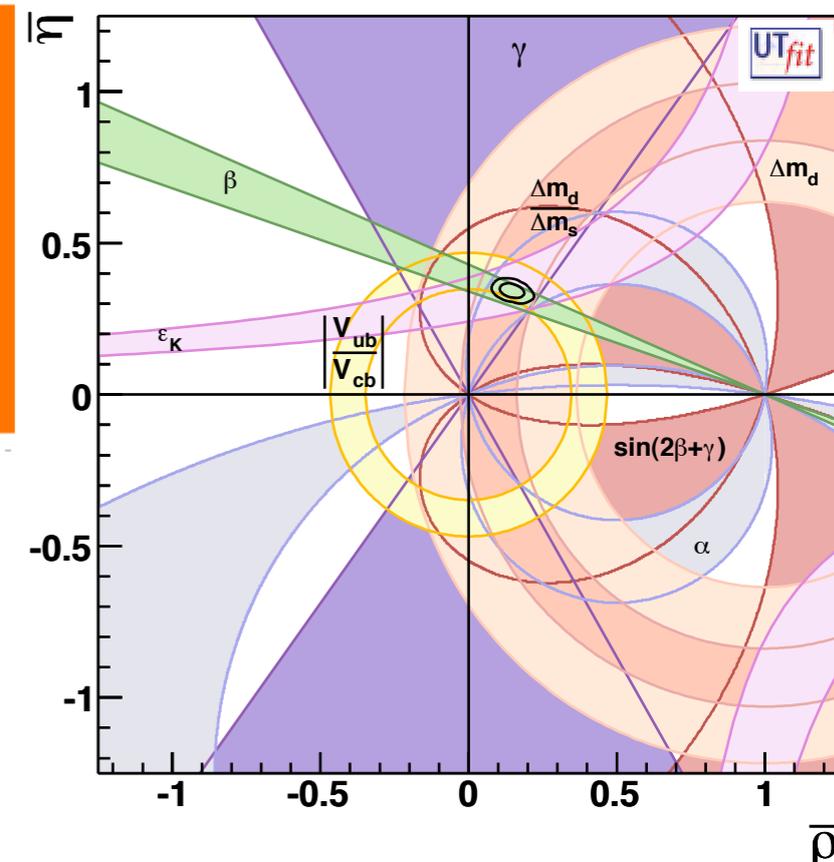
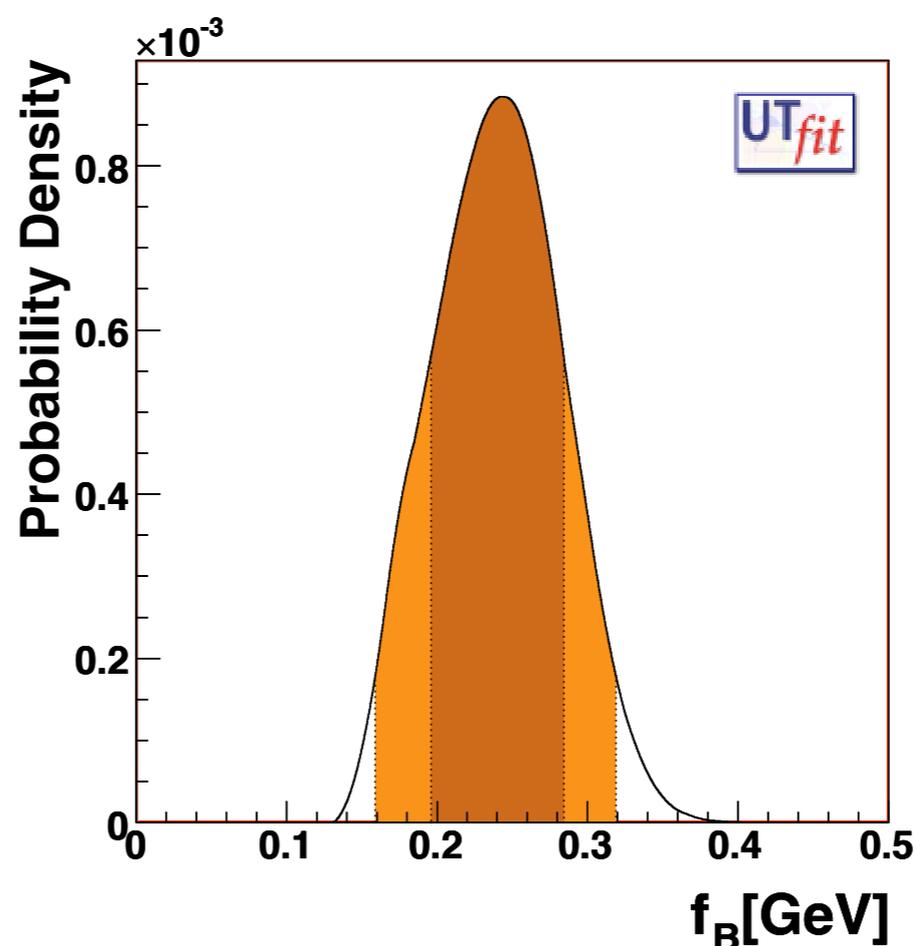
Lattice QCD

$$f_B = 0.216 \pm 0.022 \text{ GeV}$$

Phys.Rev.Lett.95:212001,'05. (HPQCD)

$$f_B = 0.189 \pm 0.027 \text{ GeV}$$

Int.J.Mod.Phys. A20:(5133-5144),'05.





# B → τν and Higgs

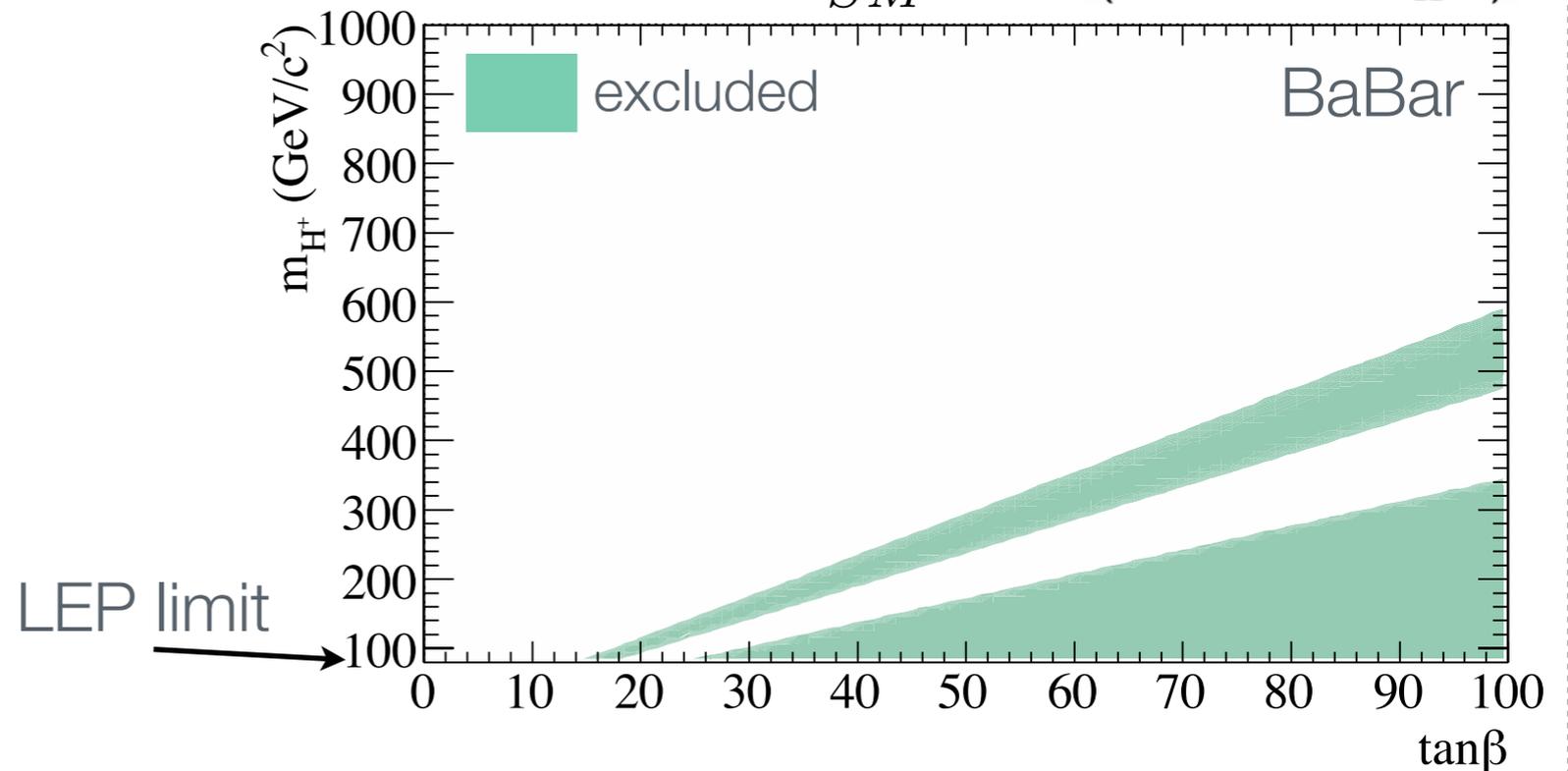
☀ BaBar

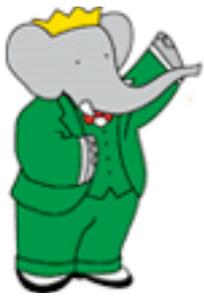
☀ semileptonic + hadronic tag combined:

$$(1.2 \pm 0.4_{stat} \pm 0.3_{bkg} \pm 0.2_{syst}) \times 10^{-4}$$

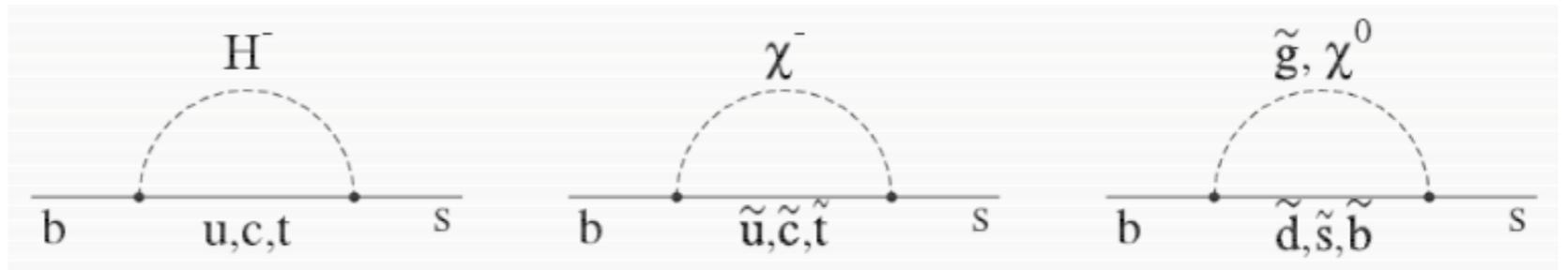
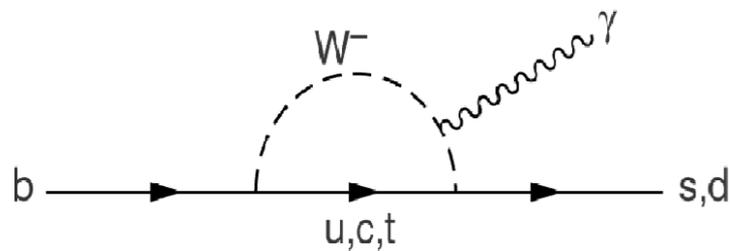
$$\frac{BF_{NP}}{BF_{SM}} = \left(1 - \tan^2 \beta \frac{m_{B^\pm}^2}{m_{H^\pm}^2}\right)^2$$

☀ add b → sγ information





# Why $b \rightarrow s \gamma$ ?



🍷 flagship of FCNC: in SM only allowed at loop-level

🍷 no GIM suppression in top-loop

🍷 experimentally accessible

🍷 with additional non-SM particles, many more loops possible

🍷 strong limit, model dependent

🍷 best current (BaBar) measurements use 88M BB events

$$BF(B \rightarrow X_s \gamma)[E_\gamma > 1.9 \text{ GeV}] = 3.67 \pm \underbrace{(0.29)}_{\text{(stat)}} \pm \underbrace{0.34}_{\text{(sys)}} \pm \underbrace{0.29}_{\text{(model)}} \times 10^{-4} \quad (\text{incl.})$$



# $B \rightarrow \tau \nu$ and $b \rightarrow s \gamma$ in the 2HDM

Theoretical prediction,

Becher and Neubert, PRL 98, 022003 (2007)

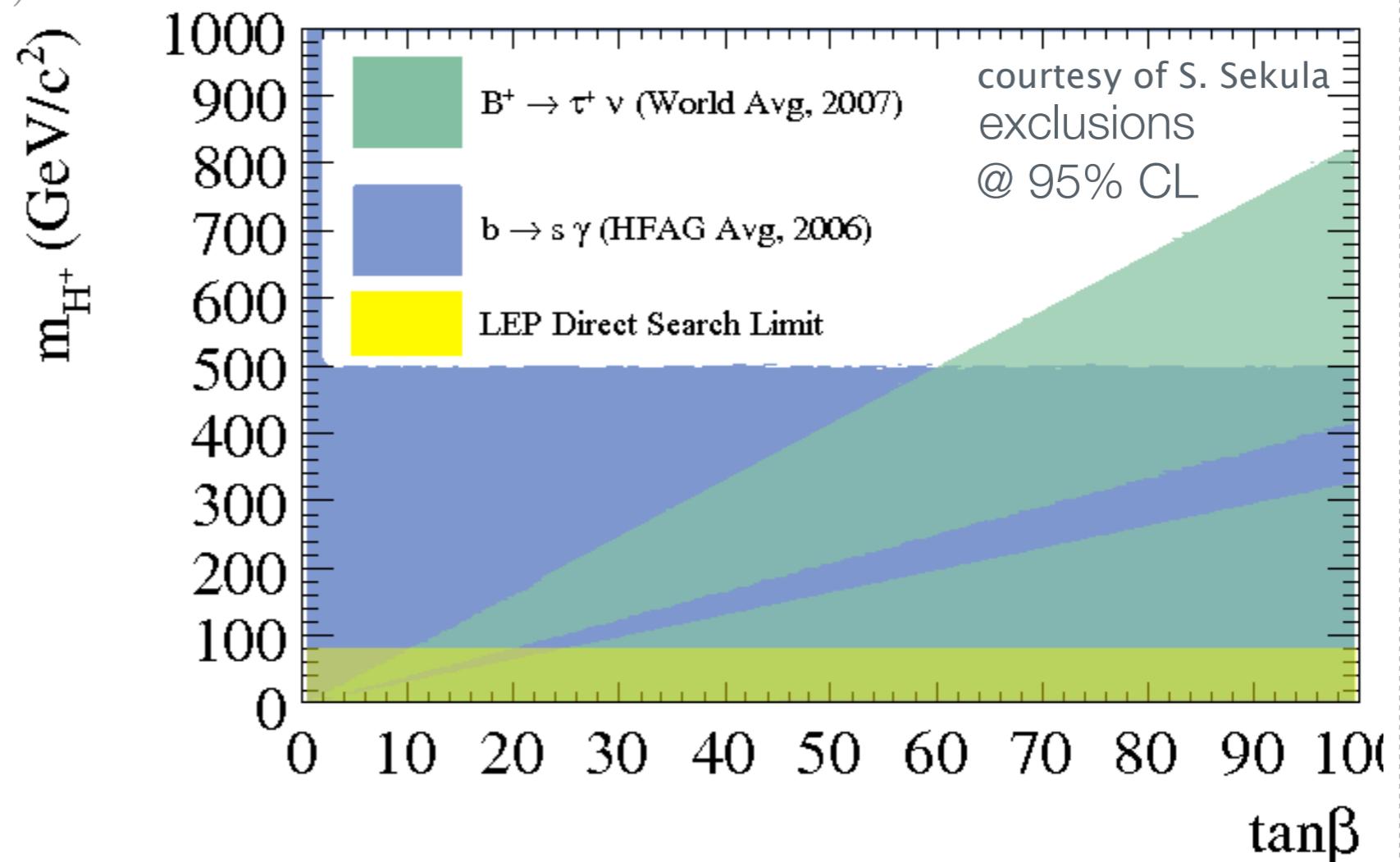
$$BF(B \rightarrow X_s \gamma) = (2.98 \pm 0.26) \times 10^{-4}$$

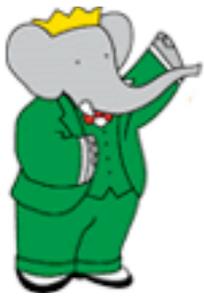
Barberio *et al*, HFAG,  
hep-ex/0603003

$$BF(B \rightarrow X_s \gamma) = (3.55 \pm 0.24^{+0.09}_{-0.1} \pm 0.02) \times 10^{-4}$$

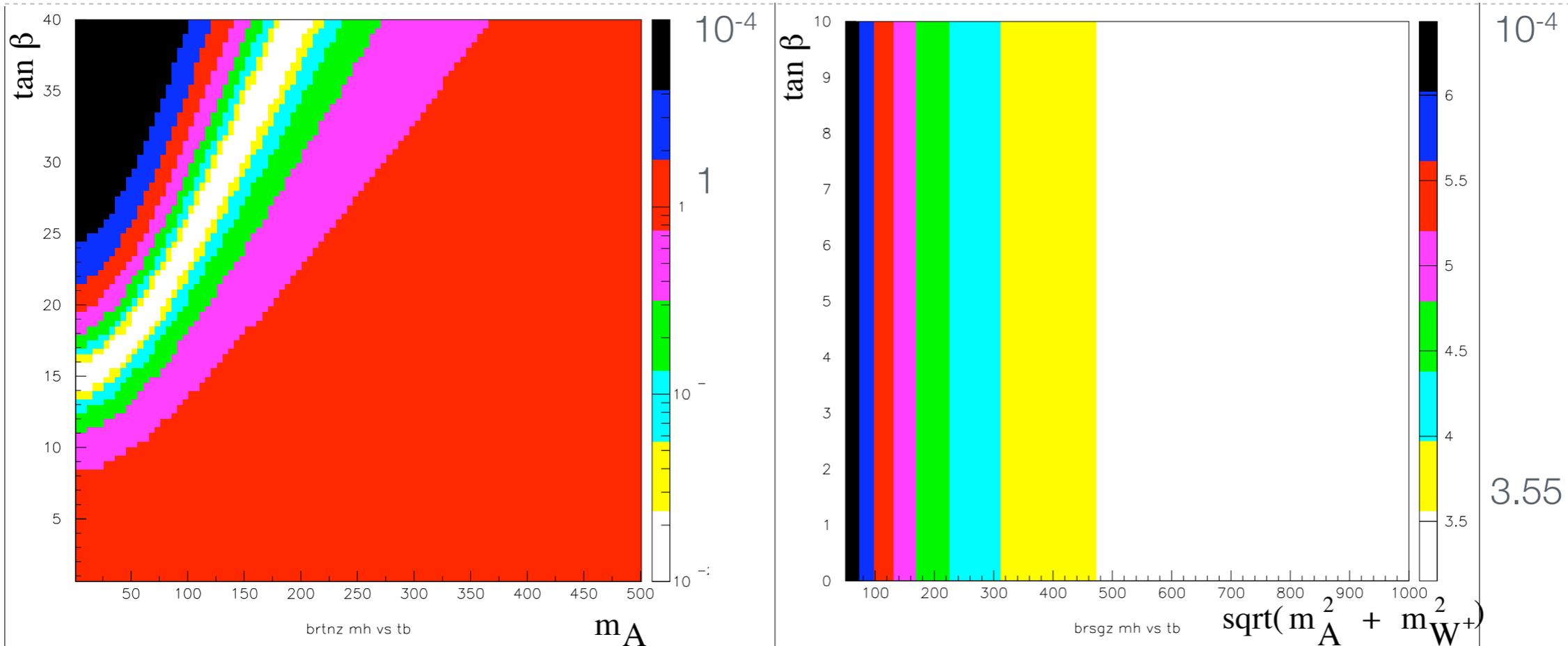
Belle (prelim,  
Mor EW 08)

$$BF(B \rightarrow X_s \gamma) = (3.31 \pm 0.16 \pm 0.37 \pm 0.01) \times 10^{-4}$$





# $B \rightarrow \tau \nu$ , $b \rightarrow s \gamma$ and model-dependence



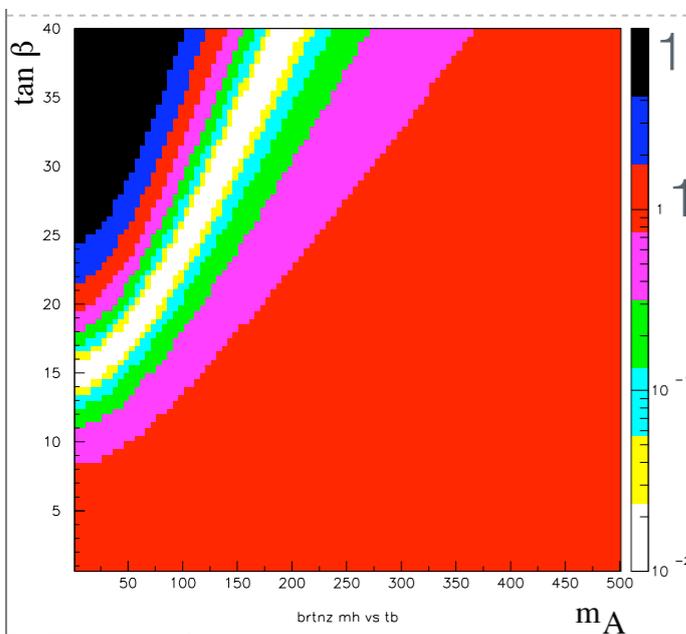
P. Bechtle w.  
SPheno

🍷 predictions for the  $B \rightarrow \tau \nu$  and  $b \rightarrow s \gamma$  BF as functions of the Higgs mass  $m_A$  and  $\tan \beta$

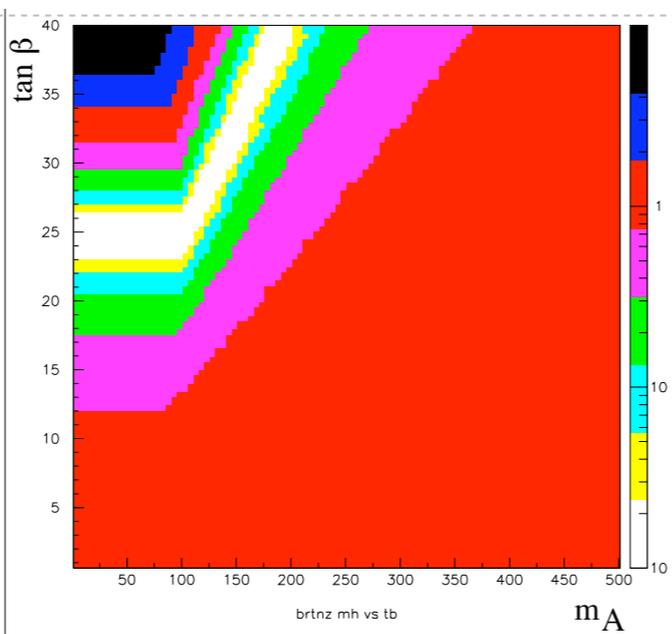
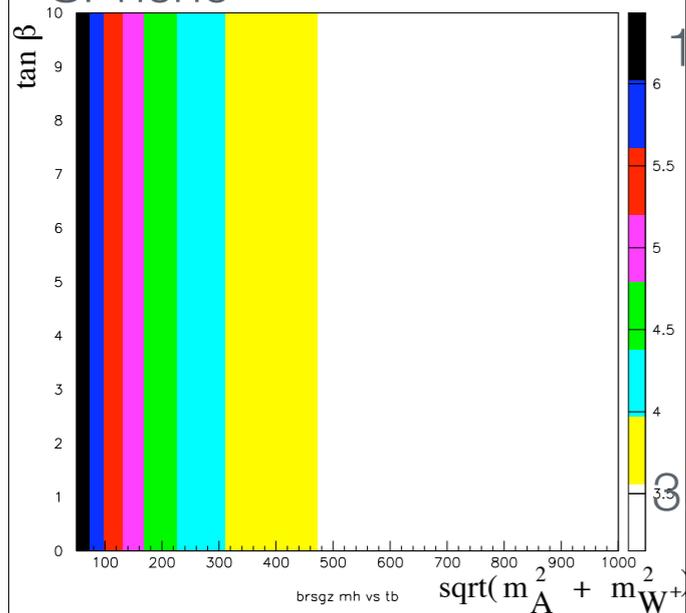
🍷 here for the 2HDM



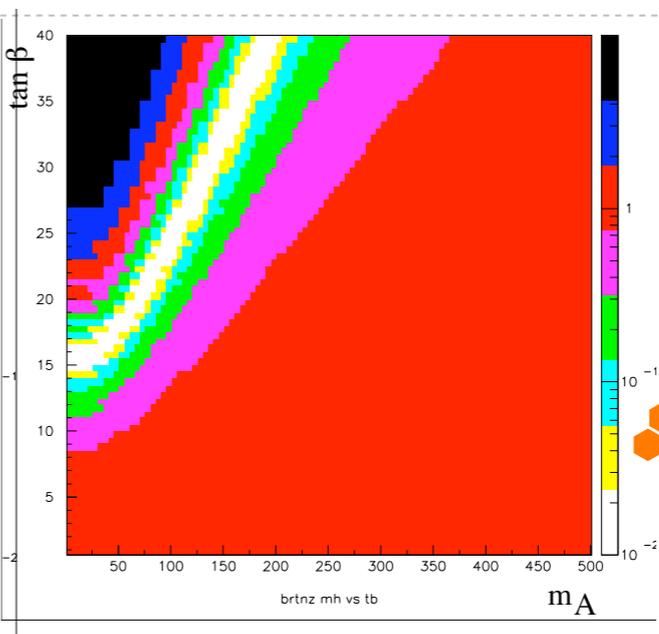
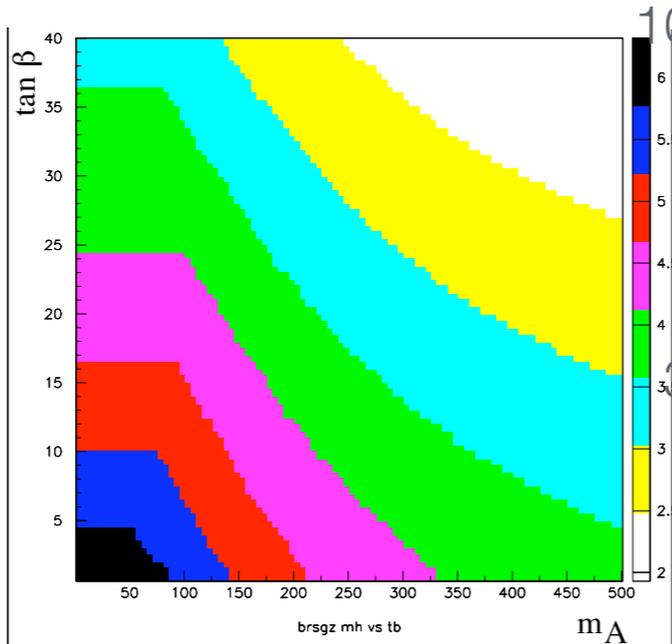
# $B \rightarrow \tau \nu$ , $b \rightarrow s \gamma$ and model-dependence



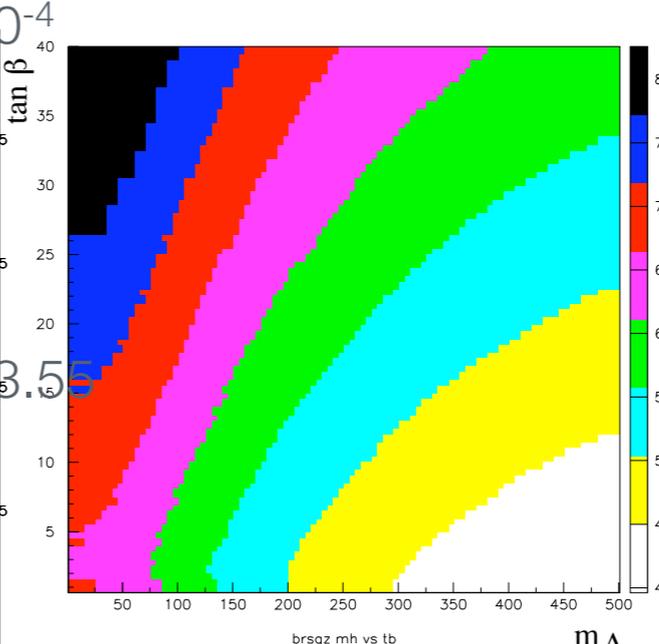
P. Bechtle w. SPheno 2HDM



MSSM: constrained  $m_h$ -max



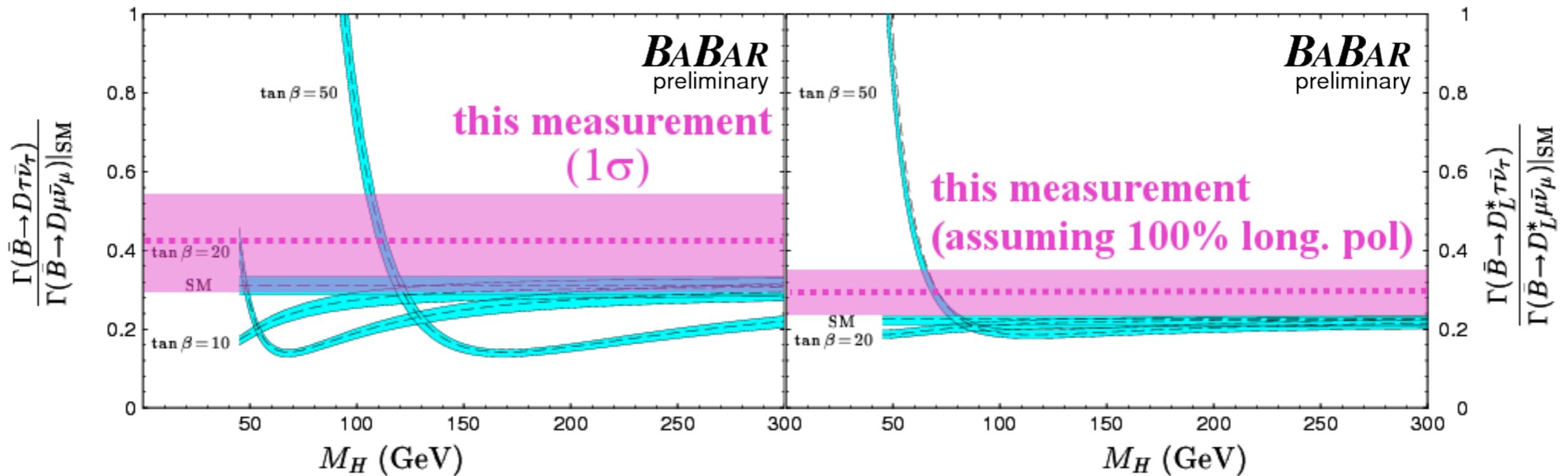
MSSM:  $m_h$ -max



these measurements combined teach us about the nature of the NP sector

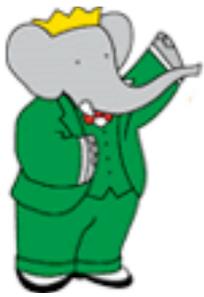


# $B \rightarrow D^{(*)} \tau \nu$ : Interpretation



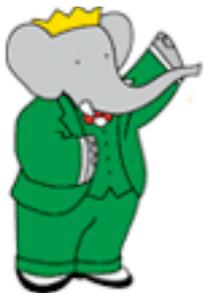
blue bands in theory prediction dominated by form factors

to be improved new measurements



# Conclusions

- 🍷 knowledge about B-decays with  $\tau$ -leptons has increased recently
  - 🍷 for both “measurement” and “search” channels
- 🍷 no deviation from the Standard Model has been found
- 🍷 leading to improvements of limits on new physics in lepton flavor violating decays
- 🍷 results in “measurement” channels can be translated in constraints on the charged Higgs
  - 🍷 will help to interpret signs of “new physics” together with other measurements such as  $b \rightarrow s\gamma$



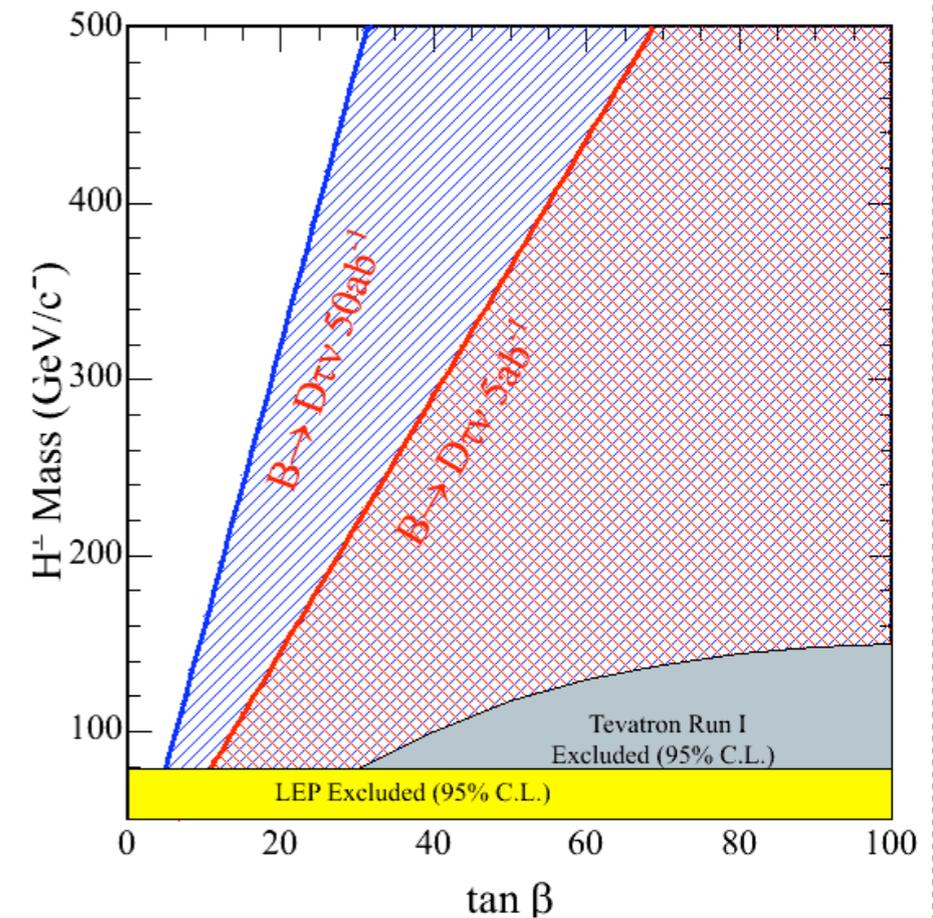
# Outlook - BaBar...

- expect updates using the final data sample for  $B \rightarrow \tau \nu$  and  $B \rightarrow D \tau \nu$
- add electron channel to  $B \rightarrow K^* \mu^* \tau$
- improvements in charged particle identification
  - in particular low momentum muons
- higher hadronic tag efficiency
- study of multivariate methods
- look forward to significant signal in  $B \rightarrow \tau \nu \dots$



# Outlook - ... and Beyond

- 🍷 Belle will continue to take data
- 🍷 KEK plans: upgrade from second half of 2008-2012 to SuperKEKB
- 🍷 SuperB factory (INFN Frascati)
- 🍷 if NP is seen directly, SuperFlavorFactory (SFF) can help to understand detailed structure of underlying model
- 🍷 sensitive to multi-TeV NP even in absence of direct observations



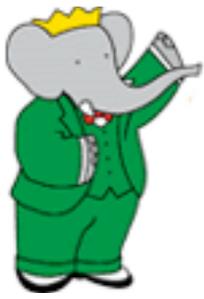
arXiv[hep-ph]:0710.3799

Observable	Super Flavour Factory sensitivity
$\mathcal{B}(B \rightarrow \tau\nu)$	3–4%
$\mathcal{B}(B \rightarrow \mu\nu)$	5–6%
$\mathcal{B}(B \rightarrow D\tau\nu)$	2–2.5%



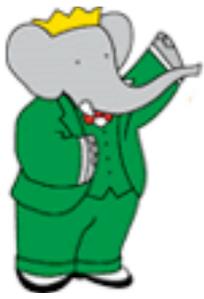
# Backup





# 2 Higgs Doublet Model

- ❏ Straight forward extension to SM
- ❏ Model I: Fermions couple to only one of the doublets
  - ❏ interesting region excluded by  $b \rightarrow s \gamma$
- ❏ Model II: One doublet gives mass to down-type quarks, second to top-type quarks
  - ❏  $v^2 = v_1^2 + v_2^2$  and  $\tan \beta = v_2/v_1$ 
    - ❏ coupling to taus enhanced for large  $\tan \beta$
  - ❏ building block for MSSM
  - ❏ 5 physical states: CP-even  $H^0$  and  $h^0$ , CP-odd  $A$  and charged  $H^\pm$



# Motivation - Why Taus?

✦ + helicity suppression in leptonic decays relieved for more massive  $\tau$  - relatively large BF

✦ + stronger coupling to Higgs-like particles

✦ look at type II 2HDM: simplest model with extended Higgs sector

✦ similar to Higgs sector in MSSM

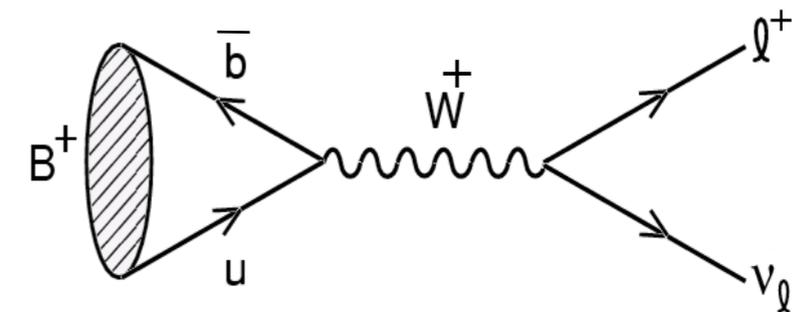
Nierste (et al), hep-ph  
arXiv:0801.4938

MSSM w. MFV

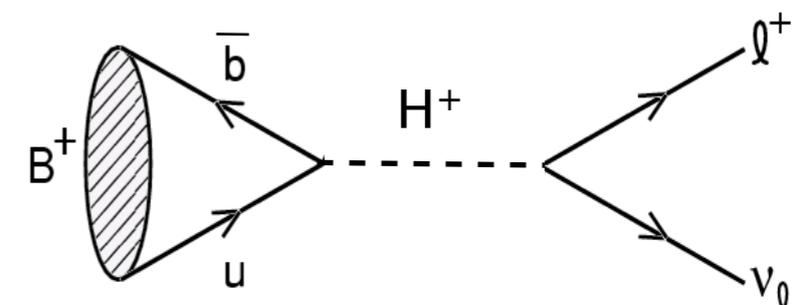
$$g_S = g_P = \frac{m_B^2}{m_{H^\pm}^2} \frac{\tan^2 \beta}{(1 + \tilde{\epsilon}_0 \tan \beta)(1 + \epsilon_\tau \tan \beta)}$$

W.-S. Hou, Phys. Rev. D.  
Brief Report 48 (1993)  
2342

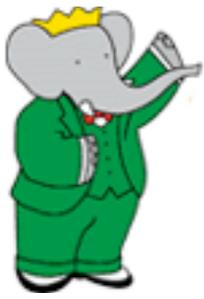
$$\times \left( 1 - \tan^2 \beta \frac{m_{B^\pm}^2}{m_{H^\pm}^2} \right)^2$$



$$\mathcal{B}(B^- \rightarrow \ell^- \bar{\nu}) = \frac{G_F^2 m_B}{8\pi} m_\ell^2 \left( 1 - \frac{m_\ell^2}{m_B^2} \right)^2 f_B^2 |V_{ub}|^2 \tau_B$$



$$\mathcal{B}(B^- \rightarrow \ell^- \bar{\nu}) = \frac{G_F^2 m_B}{8\pi} m_\ell^2 \left( 1 - \frac{m_\ell^2}{m_B^2} \right)^2 f_B^2 |V_{ub}|^2 \tau_B$$



# $b \rightarrow s \gamma$ in the 2HDM

Theoretical prediction,

Becher and Neubert, PRL 98, 022003 (2007)

$$\text{BF}(B \rightarrow X_s \gamma) = (2.98 \pm 0.26) \times 10^{-4}$$

Barberio *et al*, HFAG, ■

hep-ex/0603003

$$\text{BF}(B \rightarrow X_s \gamma) = (3.55 \pm 0.24_{-0.1}^{+0.09} \pm 0.02) \times 10^{-4}$$

Belle (prelim, ●)

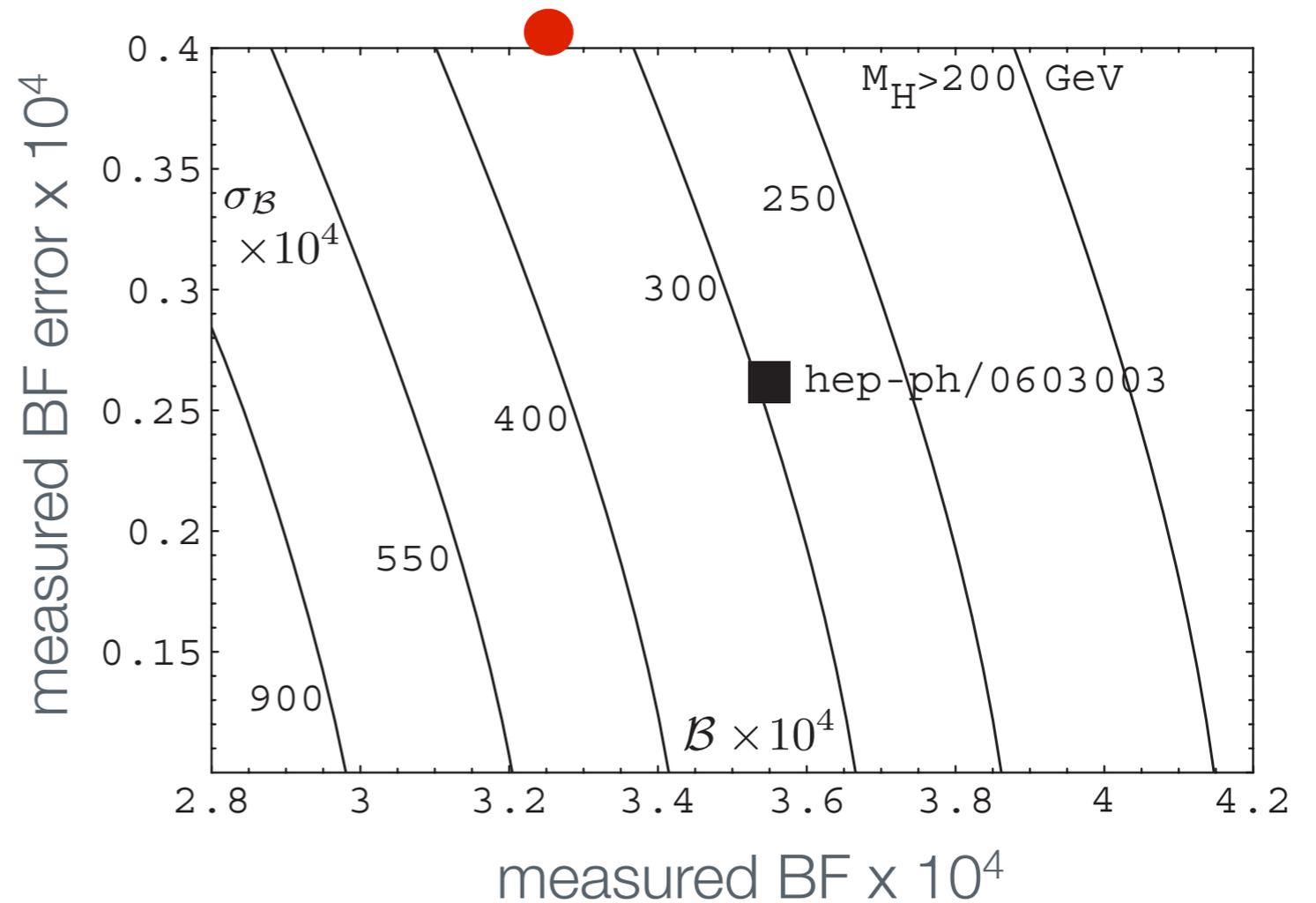
Mor EW 08)

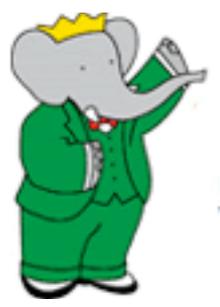
$$\text{BF}(B \rightarrow X_s \gamma) = (3.31 \pm 0.16 \pm 0.37 \pm 0.01) \times 10^{-4}$$

Theoretical prediction,

Misiak *et al*, PRL 98, 022002 (2007)

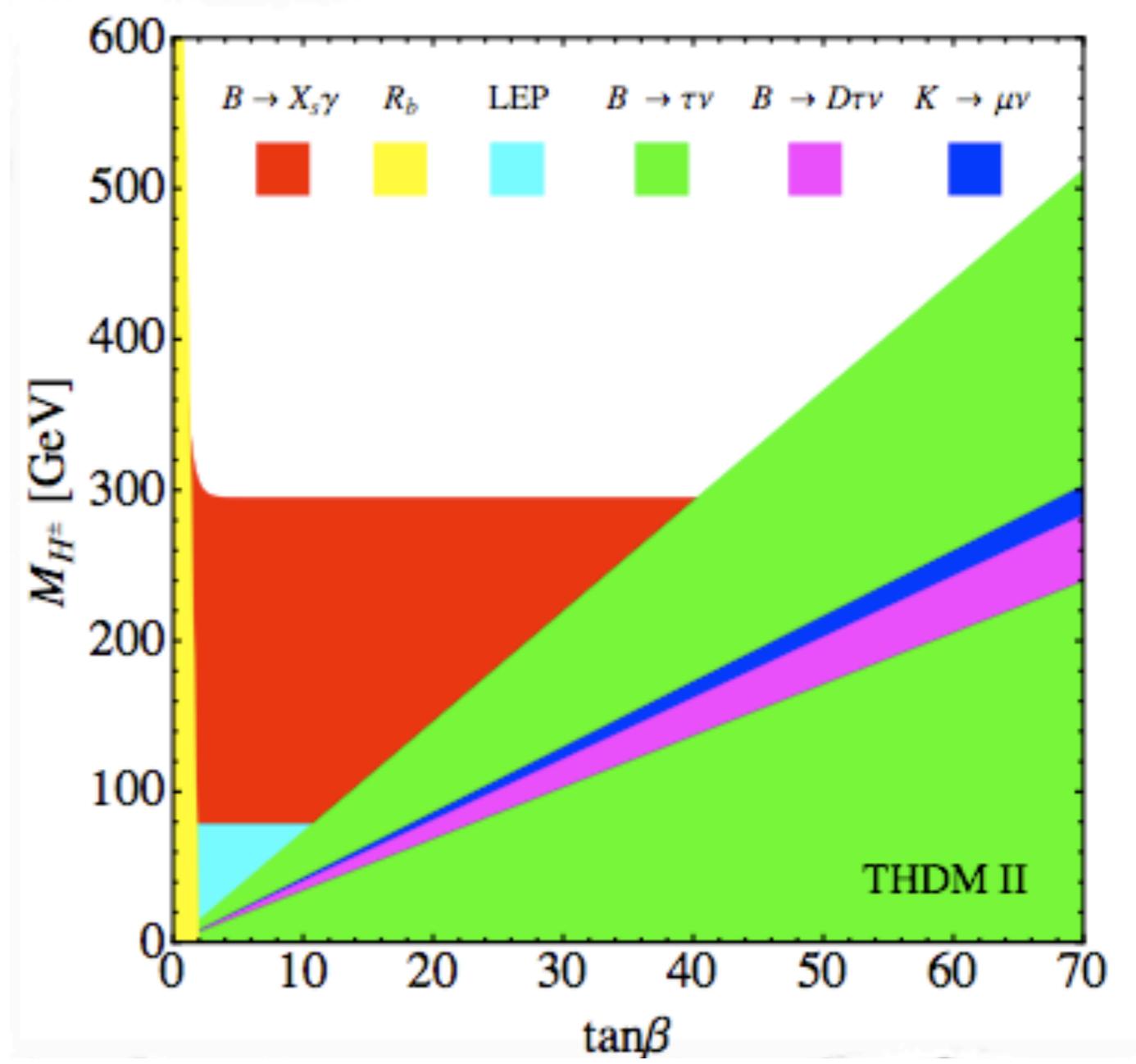
$$\text{BF}(B \rightarrow X_s \gamma) = (3.15 \pm 0.23) \times 10^{-4}$$





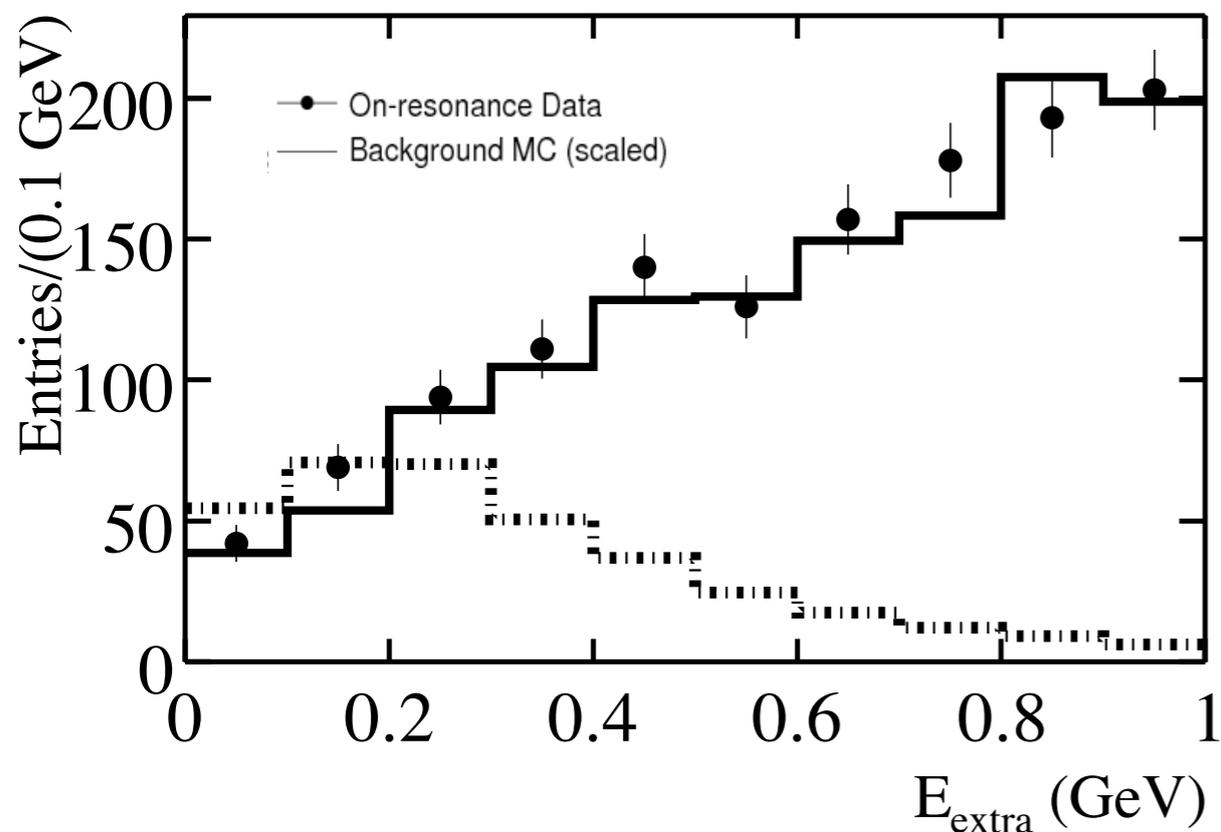
# $B \rightarrow \tau \nu$ , $B \rightarrow D^{(*)} \tau \nu$ and $b \rightarrow s \gamma$

⬢ Moriond EW '08, Haisch

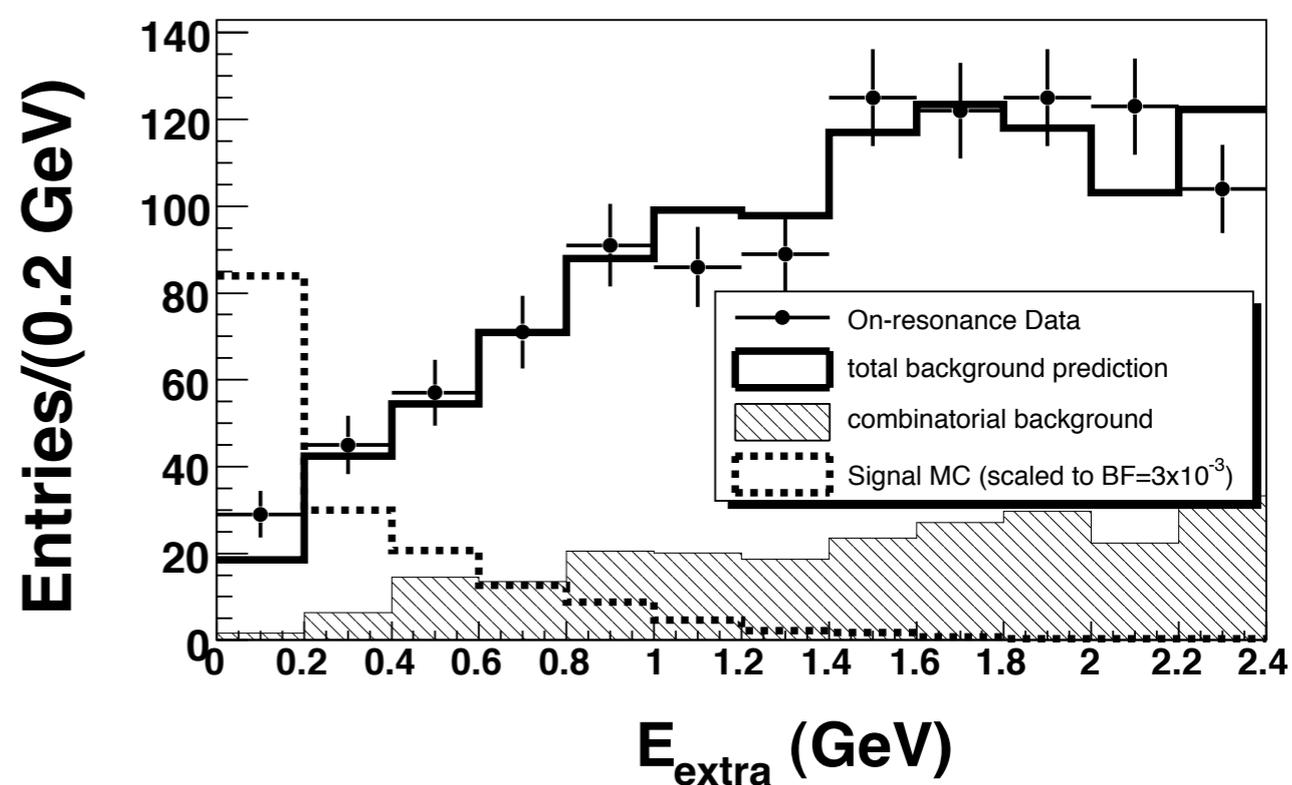




# $B \rightarrow \tau V$ : $E_{\text{extra}}$ in Data and MC



PRD 76, 052002 (2007)  
semileptonic tag



PRD-RC 77, 011107(2008)  
hadronic tag

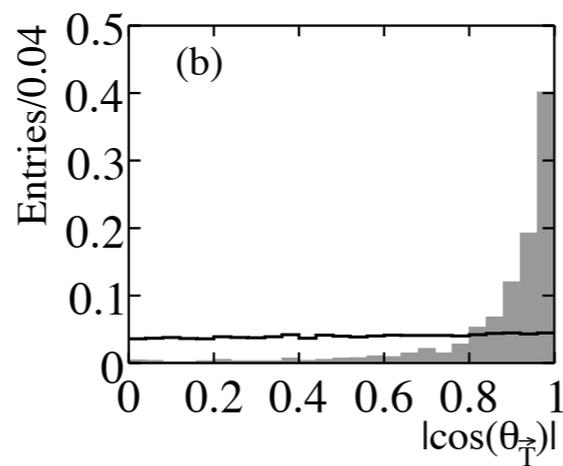
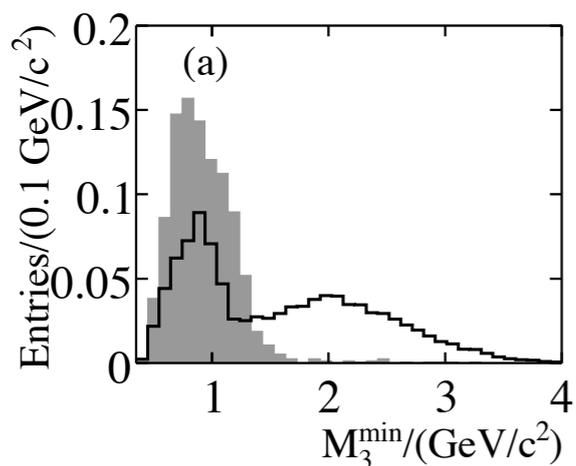


# B → τν: Selection (Tables)

## SL Tag

mode	$e^+$	$\mu^+$	$\pi^+$	$\pi^+\pi^0$
$M_{\text{miss}}(\text{GeV}/c^2)$	[4.6, 6.7]	[3.2, 6.1]	$\geq 1.6$	$\leq 4.6$
$p_{\text{signal}}^*(\text{GeV}/c)$	$\leq 1.5$	–	$\geq 1.6$	$\geq 1.7$
$R_{\text{cont}}$	[2.78, 4.0]	$> 2.74$	$> 2.84$	$> 2.94$
$E_{\text{extra}}(\text{GeV})$	$< 0.31$	$< 0.26$	$< 0.48$	$< 0.25$
Efficiency (%)	$4.2 \pm 0.1$	$2.4 \pm 0.1$	$4.9 \pm 0.1$	$1.2 \pm 0.1$

$$R_{\text{cont}} \equiv \sqrt{(3.7 - |\cos \theta_{\vec{T}}|)^2 + (M_3^{\text{min}}/(\text{GeV}/c^2) - 0.75)^2}.$$



## Had Tag

Variable	$e^+$	$\mu^+$	$\pi^+$	$\pi^+\pi^0$
$E_{\text{extra}}(\text{GeV})$	$< 0.160$	$< 0.100$	$< 0.230$	$< 0.290$
$\pi^0$ multiplicity	0	0	$\leq 2$	–
Track multiplicity	1	1	$\leq 2$	1
$ \cos \theta_{TB}^* $	$\leq 0.9$	$\leq 0.9$	$\leq 0.7$	$\leq 0.7$
$p_{\text{trk}}^*(\text{GeV}/c)$	$< 1.25$	$< 1.85$	$> 1.5$	–
$\cos \theta_{\text{miss}}^*$	$< 0.9$	–	$< 0.5$	$< 0.55$
$p_{\pi^+\pi^0}^*(\text{GeV}/c)$	–	–	–	$> 1.5$
$x_\rho$	–	–	–	$< 2.0$
$E_{\pi^0}(\text{GeV})$	–	–	–	$> 0.250$



# $B \rightarrow \tau \nu$ : Background predictions

## SL Tag

signal mode	Background Prediction			
	$e^+$	$\mu^+$	$\pi^+$	$\pi^+ \pi^0$
$E_{\text{extra}}$ sideband	$44.3 \pm 5.2$	$39.8 \pm 4.4$	$120.3 \pm 10.2$	$17.3 \pm 3.3$
$D^0$ sideband	$44.2 \pm 6.4$	$42.8 \pm 6.0$	$113.4 \pm 11.6$	$16.3 \pm 4.5$

$\tau$ decay mode	Expected background events	Observed events in on-resonance data
$\tau^+ \rightarrow e^+ \nu \bar{\nu}$	$44.3 \pm 5.2$	59
$\tau^+ \rightarrow \mu^+ \nu \bar{\nu}$	$39.8 \pm 4.4$	43
$\tau^+ \rightarrow \pi^+ \bar{\nu}$	$120.3 \pm 10.2$	125
$\tau^+ \rightarrow \pi^+ \pi^0 \bar{\nu}$	$17.3 \pm 3.3$	18
All modes	$221.7 \pm 12.7$	245

## Had Tag

$\tau$ decay mode	Expected background	Observed
$\tau^+ \rightarrow e^+ \nu \bar{\nu}$	$1.47 \pm 1.37$	4
$\tau^+ \rightarrow \mu^+ \nu \bar{\nu}$	$1.78 \pm 0.97$	5
$\tau^+ \rightarrow \pi^+ \bar{\nu}$	$6.79 \pm 2.11$	10
$\tau^+ \rightarrow \pi^+ \pi^0 \bar{\nu}$	$4.23 \pm 1.39$	5
All modes	$14.27 \pm 3.03$	24