

*Quantum Chromodynamics at the LHC  
Lecture II: Hard scattering cross sections*

*Frascati Spring School: Bruno Touschek  
May, 2006*

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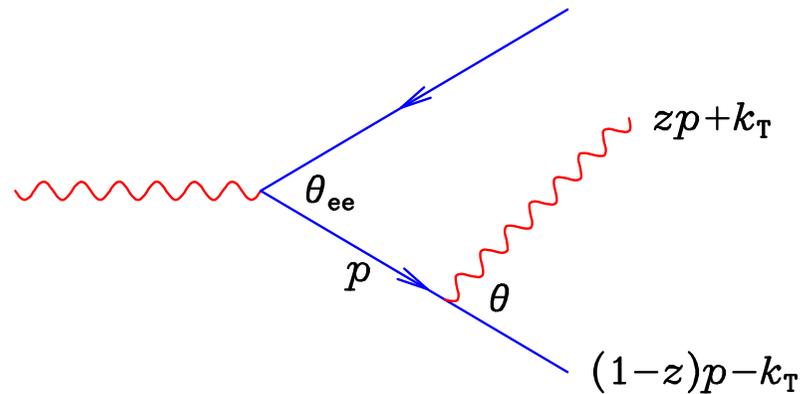
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# *Hard scattering cross sections*

- Angular ordering (left over from lecture 1)
- $W$  production
  - ★ DY cross section
  - ★ Subtraction method
- $W$  + jet production
- $t\bar{t}$  and single top production
- Combining NLO corrections and parton showers

# Chudakov effect

- Angular ordering is coherence effect common to all gauge theories. In QED it causes Chudakov effect – suppression of soft bremsstrahlung from  $e^+e^-$  pairs, which has simple explanation in old-fashioned (time-ordered) perturbation theory.



- Consider emission of soft photon at angle  $\theta$  from electron in pair with opening angle  $\theta_{ee} < \theta$ . For simplicity assume  $\theta_{ee}, \theta \ll 1$ .
- Transverse momentum of photon is  $k_T \sim zp\theta$  and energy imbalance at  $e \rightarrow e\gamma$  vertex is

$$\Delta E \sim k_T^2 / zp \sim zp\theta^2 .$$

- Time available for emission is  $\Delta t \sim 1/\Delta E$ . In this time transverse separation of pair will be  $\Delta b \sim \theta_{ee}\Delta t$ .

# Chudakov effect

- For non-negligible probability of emission, photon must resolve this transverse separation of pair, so

$$\Delta b > \lambda/\theta \sim (zp\theta)^{-1}$$

where  $\lambda$  is photon wavelength.

- This implies that

$$\theta_{ee}(zp\theta^2)^{-1} > (zp\theta)^{-1} ,$$

and hence  $\theta_{ee} > \theta$ . Thus soft photon emission is suppressed at angles larger than opening angle of pair, which is angular ordering.

- Photons at larger angles cannot resolve electron and positron charges separately – they see only total charge of pair, which is zero, implying no emission.
- More generally, if  $i$  and  $j$  come from branching of parton  $k$ , with (colour) charge  $Q_k = Q_i + Q_j$ , then radiation outside angular-ordered cones is emitted coherently by  $i$  and  $j$  and can be treated as coming directly from (colour) charge of  $k$ .

# Coherent branching

- Angular ordering provides basis for coherent parton branching formalism, which includes leading soft gluon enhancements to all orders.
- In place of virtual mass-squared variable  $t$  in earlier treatment, use angular variable

$$\zeta = \frac{p_b \cdot p_c}{E_b E_c} \simeq 1 - \cos \theta$$

as evolution variable for branching  $a \rightarrow bc$ , and impose angular ordering  $\zeta' < \zeta$  for successive branchings. Iterative formula for  $n$ -parton emission becomes

$$d\sigma_{n+1} = d\sigma_n \frac{d\zeta}{\zeta} dz \frac{\alpha_S}{2\pi} \hat{P}_{ba}(z) .$$

# Coherent branching

- In place of virtual mass-squared cutoff  $t_0$ , must use angular cutoff  $\zeta_0$  for coherent branching. This is to some extent arbitrary, depending on how we classify emission as unresolvable. Simplest choice is

$$\zeta_0 = t_0/E^2$$

for parton of energy  $E$ .

- For radiation from particle  $i$  with finite mass-squared  $t_0$ , radiation function becomes

$$\omega^2 \left( \frac{p_i \cdot p_j}{p_i \cdot q p_j \cdot q} - \frac{p_i^2}{(p_i \cdot q)^2} \right) \simeq \frac{1}{\zeta} \left( 1 - \frac{t_0}{E^2 \zeta} \right) ,$$

so angular distribution of radiation is cut off at  $\zeta = t_0/E^2$ . Thus  $t_0$  can still be interpreted as minimum virtual mass-squared.

- With this cutoff, most convenient definition of evolution variable is not  $\zeta$  itself but rather

$$\tilde{t} = E^2 \zeta \geq t_0 .$$

# Coherent branching

Angular ordering condition  $\zeta_b, \zeta_c < \zeta_a$  for timelike branching  $a \rightarrow bc$  ( $a$  outgoing) becomes

$$\tilde{t}_b < z^2 \tilde{t}, \quad \tilde{t}_c < (1 - z)^2 \tilde{t}$$

where  $\tilde{t} = \tilde{t}_a$  and  $z = E_b/E_a$ . Thus cutoff on  $z$  becomes

$$\sqrt{t_0/\tilde{t}} < z < 1 - \sqrt{t_0/\tilde{t}}.$$

- Neglecting masses of  $b$  and  $c$ , virtual mass-squared of  $a$  and transverse momentum of branching are

$$t = z(1 - z)\tilde{t}, \quad p_t^2 = z^2(1 - z)^2\tilde{t}.$$

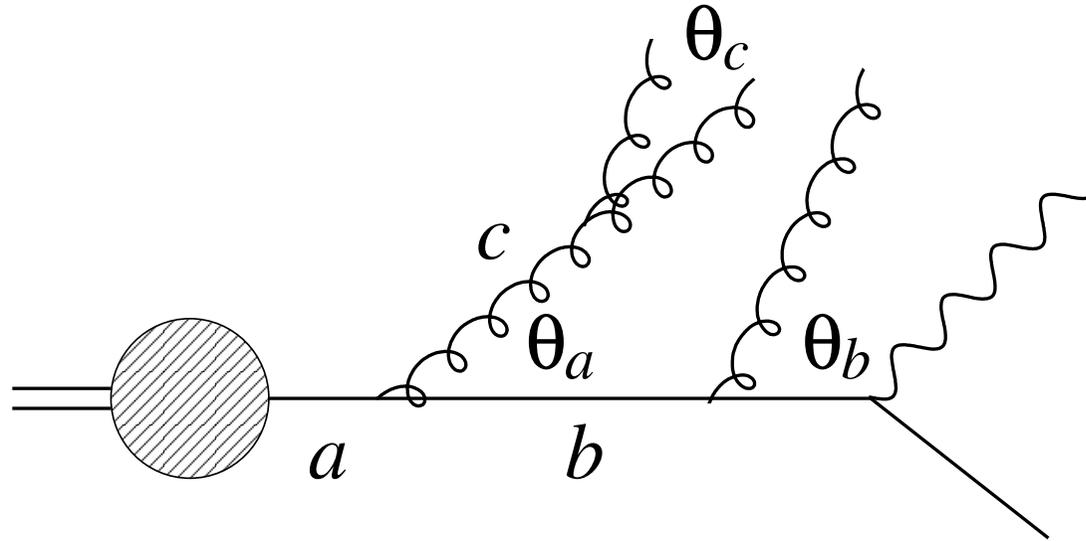
Thus for coherent branching Sudakov form factor of quark becomes

$$\tilde{\Delta}_q(\tilde{t}) = \exp \left[ - \int_{4t_0}^{\tilde{t}} \frac{dt'}{t'} \int_{\sqrt{t_0/t'}}^{1 - \sqrt{t_0/t'}} \frac{dz}{2\pi} \alpha_S(z^2(1 - z)^2 t') \hat{P}_{qq}(z) \right]$$

At large  $\tilde{t}$  this falls more slowly than form factor without coherence, due to the suppression of soft gluon emission by angular ordering.

# Coherent branching

- Note that for spacelike branching  $a \rightarrow bc$  ( $a$  incoming,  $b$  spacelike), angular ordering condition is



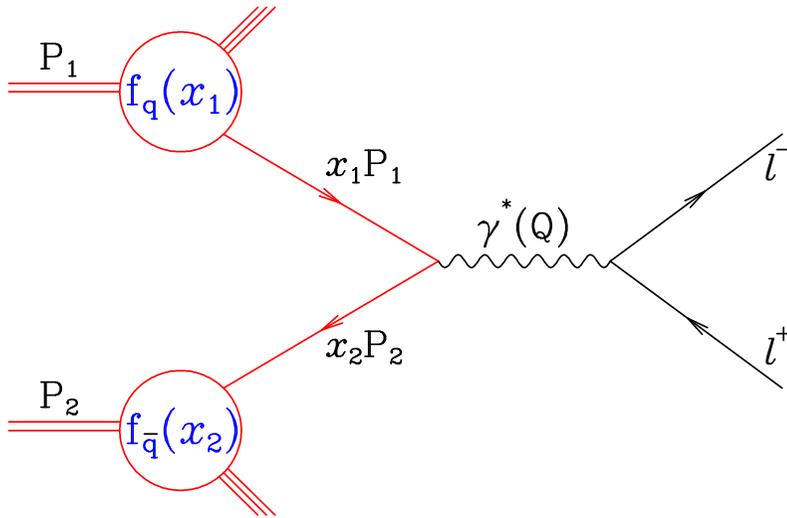
$$\theta_b > \theta_a > \theta_c ,$$

and so for  $z = E_b/E_a$  we now have

$$\tilde{t}_b > z^2 \tilde{t}_a , \quad \tilde{t}_c < (1 - z)^2 \tilde{t}_a .$$

- Thus we can have either  $\tilde{t}_b > \tilde{t}_a$  or  $\tilde{t}_b < \tilde{t}_a$ , especially at small  $z$  — spacelike branching becomes disordered at small  $x$ .

# Lepton-pair production



- Mechanism for Lepton pair production,  $W$ -production,  $Z$ -production, Vector-boson pairs, ...
- Collectively known as the Drell-Yan process.
- Color average  $1/N$ .

$$\frac{d\hat{\sigma}}{dQ^2} = \frac{\sigma_0}{N} Q_q^2 \delta(\hat{s} - Q^2), \quad \sigma_0 = \frac{4\pi\alpha^2}{3Q^2}, \quad \text{cf } e^+e^- \text{ annihilation.}$$

In the CM frame of the two hadrons, the momenta of the incoming partons are

$$p_1 = \frac{\sqrt{s}}{2}(x_1, 0, 0, x_1), \quad p_2 = \frac{\sqrt{s}}{2}(x_2, 0, 0, -x_2).$$

The square of the  $q\bar{q}$  collision energy  $\hat{s}$  is related to the overall hadron-hadron collision energy by  $\hat{s} = (p_1 + p_2)^2 = x_1 x_2 s$ . The parton-model cross section for this process is:

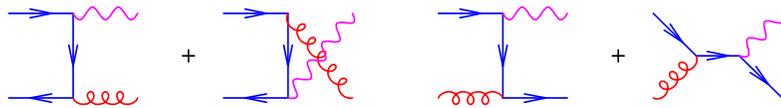
$$\begin{aligned} \frac{d\sigma}{dM^2} &= \int_0^1 dx_1 dx_2 \sum_q \{f_q(x_1) f_{\bar{q}}(x_2) + (q \leftrightarrow \bar{q})\} \frac{d\hat{\sigma}}{dM^2}(q\bar{q} \rightarrow l^+ l^-) \\ &= \frac{\sigma_0}{Ns} \int_0^1 \frac{dx_1}{x_1} \frac{dx_2}{x_2} \delta(1-z) \left[ \sum_q Q_q^2 \{f_q(x_1) f_{\bar{q}}(x_2) + (q \leftrightarrow \bar{q})\} \right]. \end{aligned}$$

- For later convenience we have introduced the variable  $z = \frac{Q^2}{\hat{s}} = \frac{Q^2}{x_1 x_2 s}$ .
- The sum here is over quarks only and the  $\bar{q}q$  contributions are indicated explicitly.

# Next-to-leading order



(a)



(b)

(c)

- The contribution of the real diagrams (in four dimensions) is

$$|M|^2 \sim g^2 C_F \left[ \frac{u}{t} + \frac{t}{u} + \frac{2Q^2 s}{ut} \right] = g^2 C_F \left[ \left( \frac{1+z^2}{1-z} \right) \left( \frac{-s}{t} + \frac{-s}{u} \right) - 2 \right]$$

where  $z = Q^2/s$ ,  $s + t + u = Q^2$ .

- Note that the real diagrams contain collinear singularities,  $u \rightarrow 0$ ,  $t \rightarrow 0$  and soft singularities,  $z \rightarrow 1$ .
- The coefficient of the divergence is the unregulated branching probability  $\hat{P}_{qq}(z)$ .
- Ignore for simplicity the diagrams with incoming gluons.

- Control the divergences by continuing the dimensionality of space-time,  $d = 4 - 2\epsilon$ , (technically this is dimensional reduction). Performing the phase space integration, the total contribution of the real diagrams is

$$\begin{aligned} \sigma_R = & \frac{\alpha_S}{2\pi} C_F \left( \frac{\mu^2}{Q^2} \right)^\epsilon c_\Gamma \left[ \left( \frac{2}{\epsilon^2} + \frac{3}{\epsilon} - \frac{\pi^2}{3} \right) \delta(1-z) - \frac{2}{\epsilon} P_{qq}(z) \right. \\ & \left. - 2(1-z) + 4(1+z^2) \left[ \frac{\ln(1-z)}{1-z} \right]_+ - 2 \frac{1+z^2}{(1-z)} \ln z \right] \end{aligned}$$

with  $c_\Gamma = (4\pi)^\epsilon / \Gamma(1-\epsilon)$ .

- The contribution of the virtual diagrams is

$$\sigma_V = \delta(1-z) \left[ 1 + \frac{\alpha_S}{2\pi} C_F \left( \frac{\mu^2}{Q^2} \right)^\epsilon c'_\Gamma \left( -\frac{2}{\epsilon^2} - \frac{3}{\epsilon} - 6 + \pi^2 \right) \right]$$

$$c'_\Gamma = c_\Gamma + O(\epsilon^3)$$

- Adding it up we get in dim-reduction

$$\begin{aligned} \sigma_{R+V} &= \frac{\alpha_S}{2\pi} C_F \left( \frac{\mu^2}{Q^2} \right)^\epsilon c_\Gamma \left[ \left( \frac{2\pi^2}{3} - 6 \right) \delta(1-z) - \frac{2}{\epsilon} P_{qq}(z) - 2(1-z) \right. \\ &\quad \left. + 4(1+z^2) \left[ \frac{\ln(1-z)}{1-z} \right]_+ - 2 \frac{1+z^2}{(1-z)} \ln z \right] \end{aligned}$$

- The divergences, proportional to the branching probability, are universal.
- We will factorize them into the parton distributions. We perform the mass factorization by subtracting the counterterm

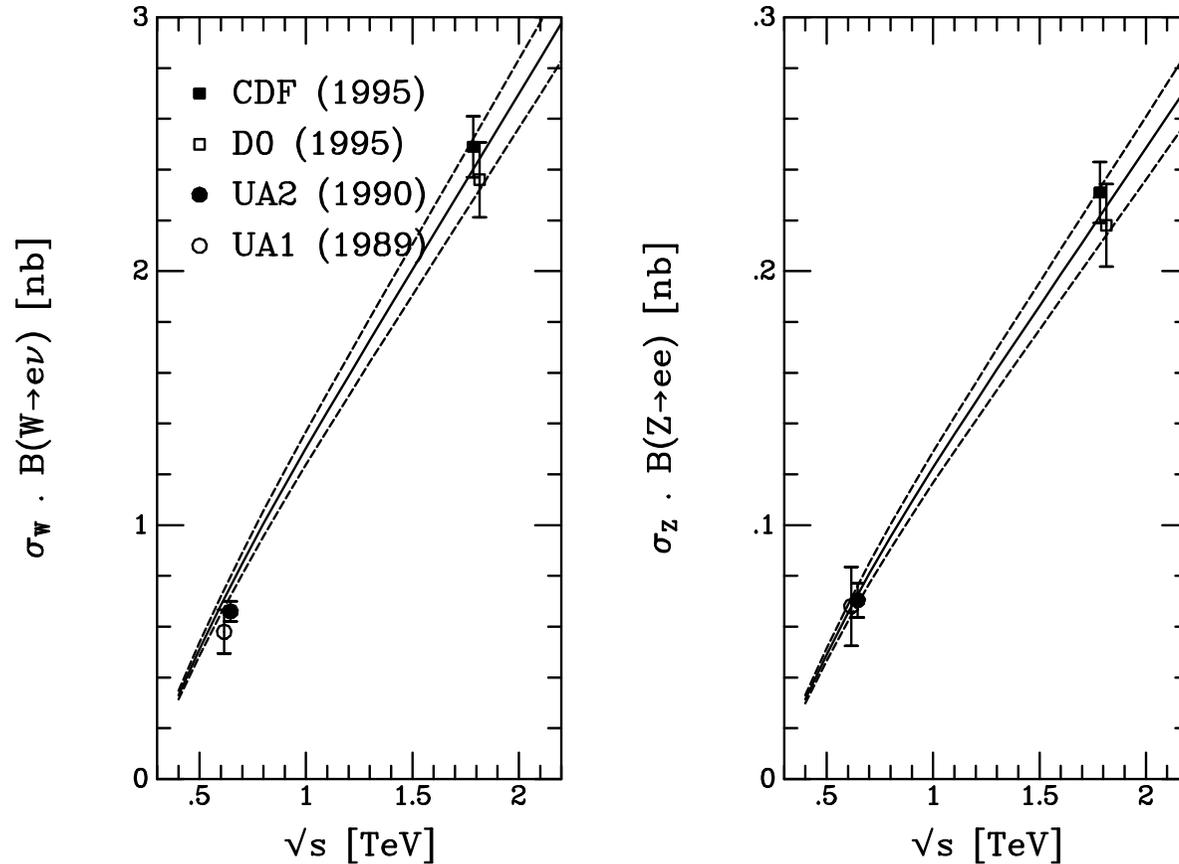
$$2 \frac{\alpha_S}{2\pi} C_F \left[ \frac{-c_\Gamma}{\epsilon} P_{qq}(z) - (1-z) + \delta(1-z) \right]$$

(The finite terms are necessary to get us to the  $\overline{MS}$ -scheme).

$$\hat{\sigma} = \frac{\alpha_S}{2\pi} C_F \left[ \left( \frac{2\pi^2}{3} - 8 \right) \delta(1-z) + 4(1+z^2) \left[ \frac{\ln(1-z)}{1-z} \right]_+ - 2 \frac{1+z^2}{(1-z)} \ln z + 2 P_{qq}(z) \ln \frac{Q^2}{\mu^2} \right]$$

- Similar correction for incoming gluons.

# Application to $W, Z$ production



- Agreement with NLO theory is good (three curves estimate theoretical error).
- LO curves (not shown) lie about 25% too low.

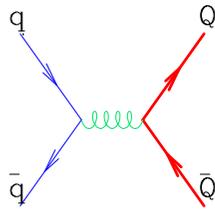
# Heavy quark production, leading order

The leading-order processes for the production of a heavy quark  $Q$  of mass  $m$  in hadron-hadron collisions

$$(a) \quad q(p_1) + \bar{q}(p_2) \rightarrow Q(p_3) + \bar{Q}(p_4)$$

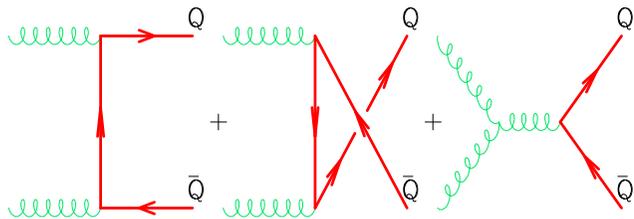
$$(b) \quad g(p_1) + g(p_2) \rightarrow Q(p_3) + \bar{Q}(p_4)$$

where the four-momenta of the partons are given in brackets.



(a)

Process	$\overline{\sum}  \mathcal{M} ^2 / g^4$
$q \bar{q} \rightarrow Q \bar{Q}$	$\frac{4}{9} \left( \tau_1^2 + \tau_2^2 + \frac{\rho}{2} \right)$
$g g \rightarrow Q \bar{Q}$	$\left( \frac{1}{6\tau_1\tau_2} - \frac{3}{8} \right) \left( \tau_1^2 + \tau_2^2 + \rho - \frac{\rho^2}{4\tau_1\tau_2} \right)$



(b)

$\overline{\sum}$  indicates averaged (summed) over initial (final) colours and spins

We have introduced the following notation for the ratios of scalar products:

$$\tau_1 = \frac{2p_1 \cdot p_3}{\hat{s}}, \quad \tau_2 = \frac{2p_2 \cdot p_3}{\hat{s}}, \quad \rho = \frac{4m^2}{\hat{s}}, \quad \hat{s} = (p_1 + p_2)^2.$$

- The short-distance cross section is obtained from the invariant matrix element in the usual way:

$$d\hat{\sigma}_{ij} = \frac{1}{2\hat{s}} \frac{d^3 p_3}{(2\pi)^3 2E_3} \frac{d^3 p_4}{(2\pi)^3 2E_4} (2\pi)^4 \delta^4(p_1 + p_2 - p_3 - p_4) \overline{\sum} |\mathcal{M}_{ij}|^2.$$

The first factor is the flux factor for massless incoming particles. The other terms come from the phase space for  $2 \rightarrow 2$  scattering.

- In terms of the rapidity  $y = \frac{1}{2} \ln((E + p_z)/(E - p_z))$  and transverse momentum,  $p_T$ , the relativistically invariant phase space volume element of the final-state heavy quarks is

$$\frac{d^3 p}{E} = dy d^2 p_T .$$

The result for the invariant cross section may be written as

$$\frac{d\sigma}{dy_3 dy_4 d^2 p_T} = \frac{1}{16\pi^2 \hat{s}^2} \sum_{ij} x_1 f_i(x_1, \mu^2) x_2 f_j(x_2, \mu^2) \overline{\sum} |\mathcal{M}_{ij}|^2.$$

$x_1$  and  $x_2$  are fixed if we know the transverse momenta and rapidity of the outgoing heavy quarks. In the centre-of-mass system of the incoming hadrons we may write

$$\begin{aligned} p_1 &= \frac{1}{2} \sqrt{s} (x_1, 0, 0, x_1) \\ p_2 &= \frac{1}{2} \sqrt{s} (x_2, 0, 0, -x_2) \\ p_3 &= (m_T \cosh y_3, p_T, 0, m_T \sinh y_3) \\ p_4 &= (m_T \cosh y_4, -p_T, 0, m_T \sinh y_4). \end{aligned}$$

Applying energy and momentum conservation, we obtain

$$x_1 = \frac{m_T}{\sqrt{s}} (e^{y_3} + e^{y_4}), x_2 = \frac{m_T}{\sqrt{s}} (e^{-y_3} + e^{-y_4}), \hat{s} = 2m_T^2 (1 + \cosh \Delta y).$$

The quantity  $m_T = \sqrt{(m^2 + p_T^2)}$  is the transverse mass of the heavy quarks and  $\Delta y = y_3 - y_4$  is the rapidity difference between them.

In these variables the leading order cross section is

$$\frac{d\sigma}{dy_3 dy_4 d^2 p_T} = \frac{1}{64\pi^2 m_T^4 (1 + \cosh(\Delta y))^2} \sum_{ij} x_1 f_i(x_1, \mu^2) x_2 f_j(x_2, \mu^2) \overline{\sum} |\mathcal{M}_{ij}|^2.$$

Expressed in terms of  $m$ ,  $m_T$  and  $\Delta y$ , the matrix elements for the two processes are

$$\overline{\sum} |\mathcal{M}_{q\bar{q}}|^2 = \frac{4g^4}{9} \left( \frac{1}{1 + \cosh(\Delta y)} \right) \left( \cosh(\Delta y) + \frac{m^2}{m_T^2} \right),$$

$$\overline{\sum} |\mathcal{M}_{gg}|^2 = \frac{g^4}{24} \left( \frac{8 \cosh(\Delta y) - 1}{1 + \cosh(\Delta y)} \right) \left( \cosh(\Delta y) + 2 \frac{m^2}{m_T^2} - 2 \frac{m^4}{m_T^4} \right).$$

- As the rapidity separation  $\Delta y$  between the two heavy quarks becomes large

$$\overline{\sum} |\mathcal{M}_{q\bar{q}}|^2 \sim \text{constant}, \quad \overline{\sum} |\mathcal{M}_{gg}|^2 \sim \exp \Delta y.$$

- The cross section is damped at large  $\Delta y$  and heavy quarks produced by  $q\bar{q}$  annihilation are more closely correlated in rapidity those produced by  $gg$  fusion.

# Applicability of perturbation theory?

- Consider the propagators in the diagrams.

$$\begin{aligned}(p_1 + p_2)^2 &= 2p_1 \cdot p_2 = 2m_T^2 (1 + \cosh \Delta y) , \\(p_1 - p_3)^2 - m^2 &= -2p_1 \cdot p_3 = -m_T^2 (1 + e^{-\Delta y}) , \\(p_2 - p_3)^2 - m^2 &= -2p_2 \cdot p_3 = -m_T^2 (1 + e^{\Delta y}) .\end{aligned}$$

Note that the propagators are all off-shell by a quantity of least of order  $m^2$ .

- Thus for a sufficiently heavy quark we expect the methods of perturbation theory to be applicable. It is the mass  $m$  (which by supposition is very much larger than the scale of the strong interactions  $\Lambda$ ) which provides the large scale in heavy quark production. We expect corrections of order  $\Lambda/m$
- This does not address the issue of whether the charm or bottom mass is large enough to be adequately described by perturbation theory.

# Heavy quark production in $O(\alpha_S^3)$

In NLO heavy quark production  $m$  is the heavy quark mass.

$$\sigma(S) = \sum_{i,j} \int dx_1 dx_2 \hat{\sigma}_{ij}(x_1 x_2 S, m^2, \mu^2) F_i(x_1, \mu^2) F_j(x_2, \mu^2)$$

$$\hat{\sigma}_{i,j}(\hat{s}, m^2, \mu^2) = \sigma_0 c_{ij}(\hat{\rho}, \mu^2)$$

where  $\hat{\rho} = 4m^2/\hat{s}$ ,  $\bar{\mu}^2 = \mu^2/m^2$ ,  $\sigma_0 = \alpha_S^2(\mu^2)/m^2$  and  $\hat{s}$  is the parton total c-of-m energy squared. The coupling satisfies

$$\frac{d\alpha_S}{d \ln \mu^2} = -b_0 \frac{\alpha_S^2}{2\pi} + O(\alpha_S^3), \quad b_0 = \frac{11N - 2n_f}{6}$$

$$c_{ij}\left(\rho, \frac{\mu^2}{m^2}\right) = c_{ij}^{(0)}(\rho) + 4\pi\alpha_S(\mu^2) \left[ c_{ij}^{(1)}(\rho) + \bar{c}_{ij}^{(1)}(\rho) \ln\left(\frac{\mu^2}{m^2}\right) \right] + O(\alpha_S^2)$$

The lowest-order functions  $c_{ij}^{(0)}$  are obtained by integrating the lowest order matrix elements

$$c_{q\bar{q}}^{(0)}(\rho) = \frac{\pi\beta\rho}{27} \left[ (2 + \rho) \right] ,$$

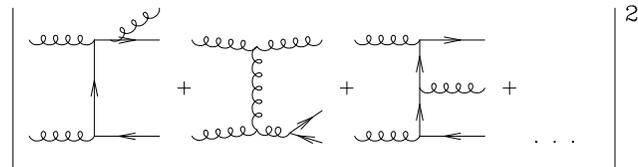
$$c_{gg}^{(0)}(\rho) = \frac{\pi\beta\rho}{192} \left[ \frac{1}{\beta} [\rho^2 + 16\rho + 16] \ln \left( \frac{1 + \beta}{1 - \beta} \right) - 28 - 31\rho \right] ,$$

$$c_{gq}^{(0)}(\rho) = c_{g\bar{q}}^{(0)}(\rho) = 0 ,$$

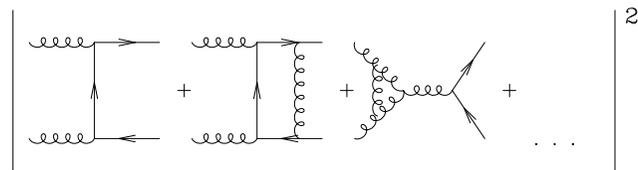
and  $\beta = \sqrt{1 - \rho}$ .

- The functions  $c_{ij}^{(0)}$  vanish both at threshold ( $\beta \rightarrow 0$ ) and at high energy ( $\rho \rightarrow 0$ ).
- Note that the quark-gluon process is zero in lowest order, but is present in higher orders.

- The functions  $c_{ij}^{(1)}$  are also known



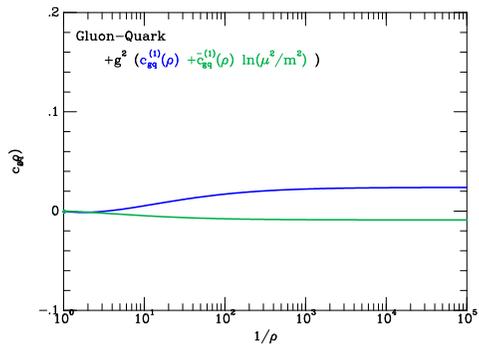
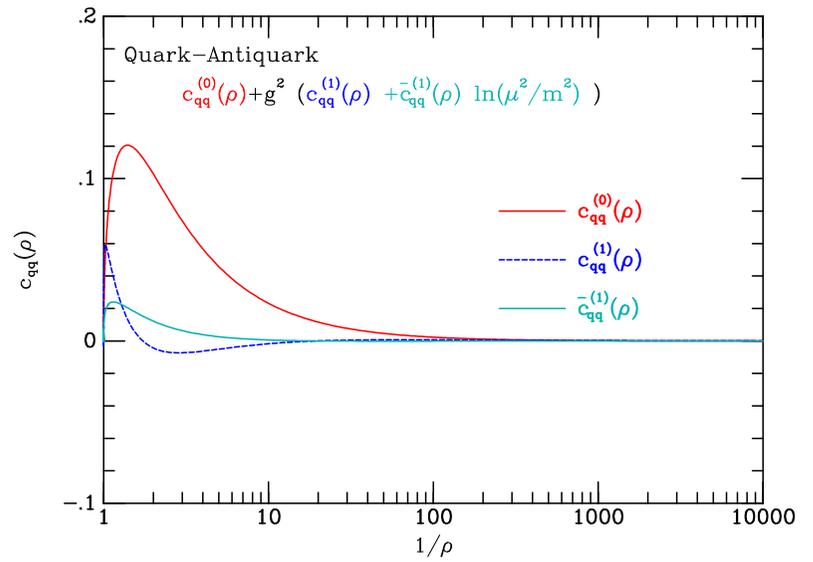
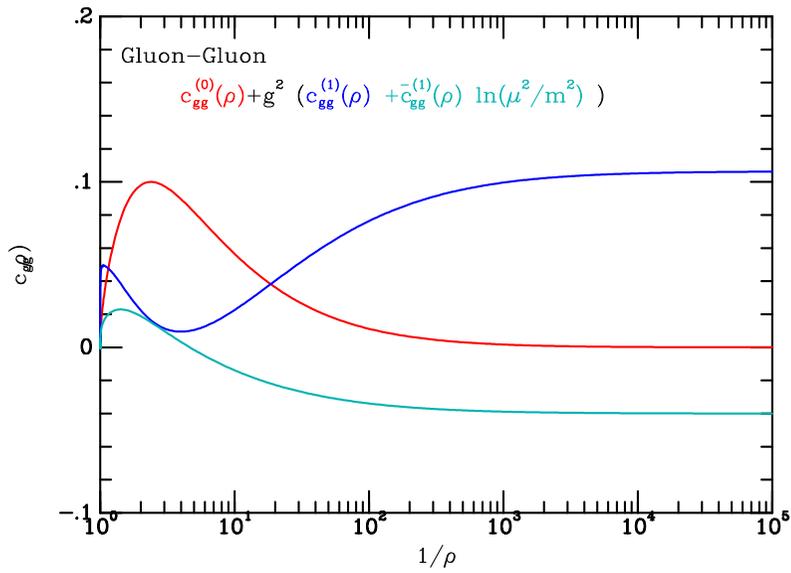
Real emission diagrams



Virtual emission diagrams

- Examples of higher-order corrections to heavy quark production.
- In order to calculate the  $c_{ij}$  in perturbation theory we must perform both renormalization and factorization of mass singularities. The subtractions required for renormalization and factorization are done at mass scale  $\mu$ .

# Higher order results, $c_{ij}^{(1)}$



## $\mu$ dependence

$\mu$  is an unphysical parameter. The physical predictions should be invariant under changes of  $\mu$  at the appropriate order in perturbation theory. If we have performed a calculation to  $O(\alpha_S^3)$ , variations of the scale  $\mu$  will lead to corrections of  $O(\alpha_S^4)$ ,

$$\mu^2 \frac{d}{d\mu^2} \sigma = O(\alpha_S^4).$$

- The term  $\bar{c}^{(1)}$ , which controls the  $\mu$  dependence of the higher-order perturbative contributions, is fixed in terms of the lower-order result  $c^{(0)}$ :

$$\begin{aligned} \bar{c}_{ij}^{(1)}(\rho) &= \frac{1}{8\pi^2} \left[ 4\pi b c_{ij}^{(0)}(\rho) - \int_{\rho}^1 dz_1 \sum_k c_{kj}^{(0)}\left(\frac{\rho}{z_1}\right) P_{ki}^{(0)}(z_1) \right. \\ &\quad \left. - \int_{\rho}^1 dz_2 \sum_k c_{ik}^{(0)}\left(\frac{\rho}{z_2}\right) P_{kj}^{(0)}(z_2) \right]. \end{aligned}$$

In obtaining this result we have used the renormalization group equation for the running coupling

$$\mu^2 \frac{d}{d\mu^2} \alpha_S(\mu^2) = -b\alpha_S^2 + \dots$$

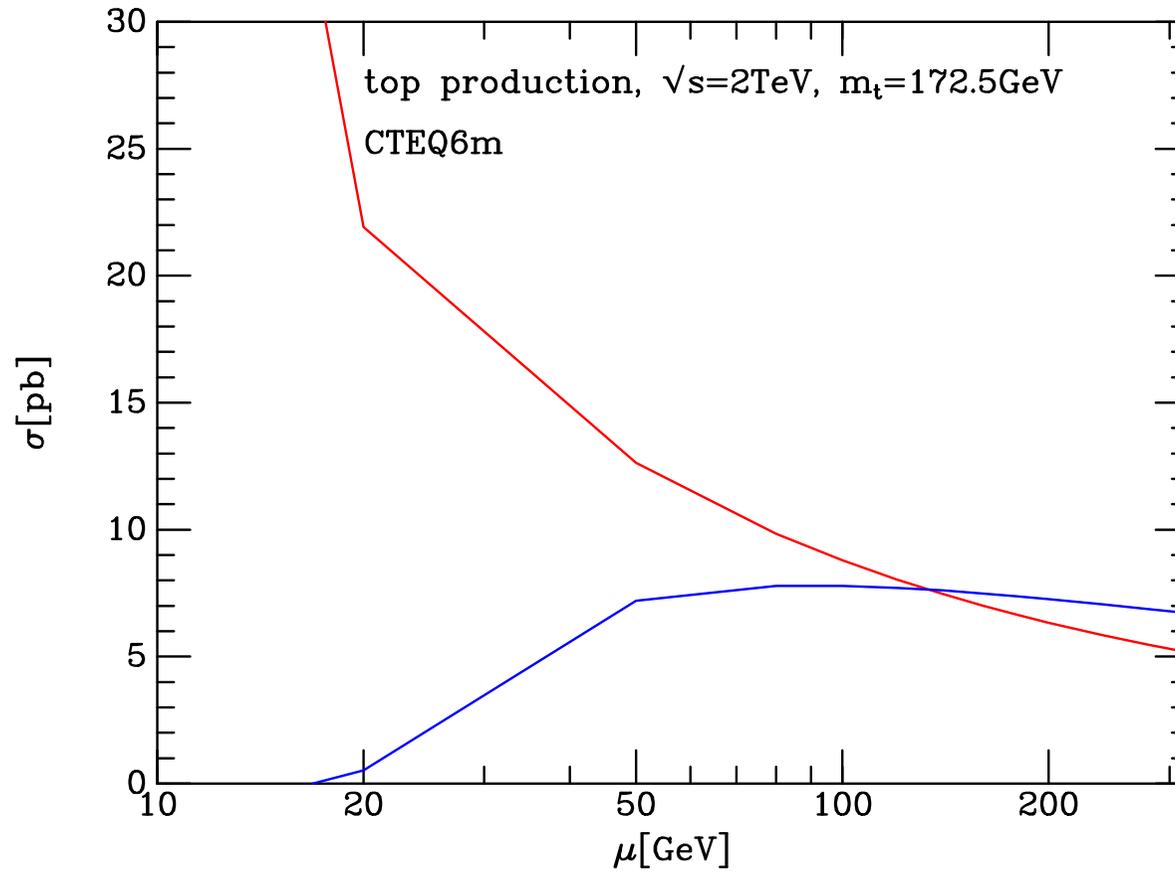
and the lowest-order form of the GLAP equation

$$\mu^2 \frac{d}{d\mu^2} f_i(x, \mu^2) = \frac{\alpha_S(\mu^2)}{2\pi} \sum_k \int_x^1 \frac{dz}{z} P_{ik}^{(0)}(z) f_k\left(\frac{x}{z}, \mu^2\right) + \dots$$

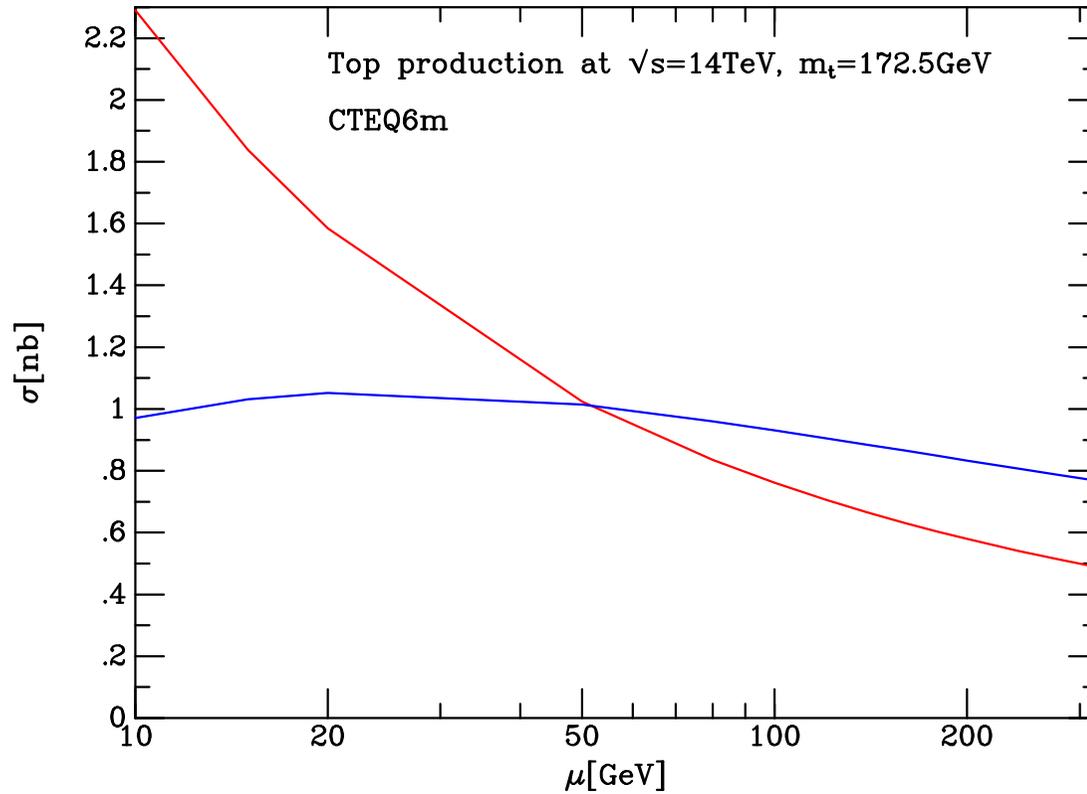
This illustrates an important point which is a general feature of renormalization group improved perturbation series in QCD. The coefficient of the perturbative correction depends on the choice made for the scale  $\mu$ , but the scale dependence changes the result in such a way that the physical result is independent of that choice. Thus the scale dependence is formally small because it is of higher order in  $\alpha_S$ . This does not assure us that the scale dependence is actually *numerically* small for all series. A pronounced dependence on the scale  $\mu$  is a signal of an untrustworthy perturbation series.

# Scale dependence in top production

- Inclusion of the higher order terms leads to a stabilization of the top cross section.



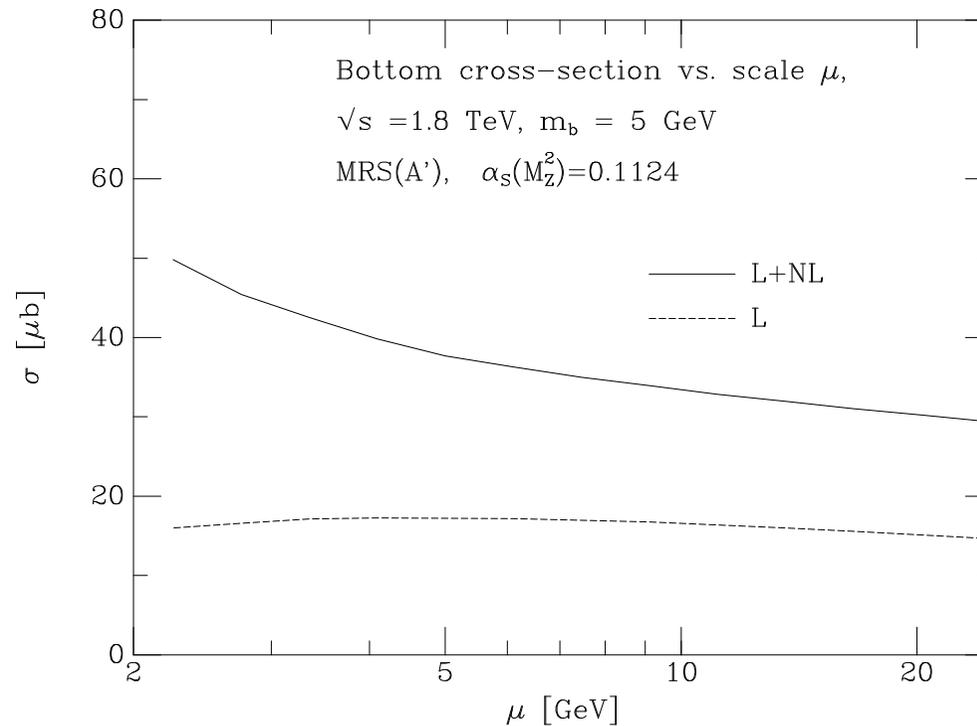
# Top production at LHC



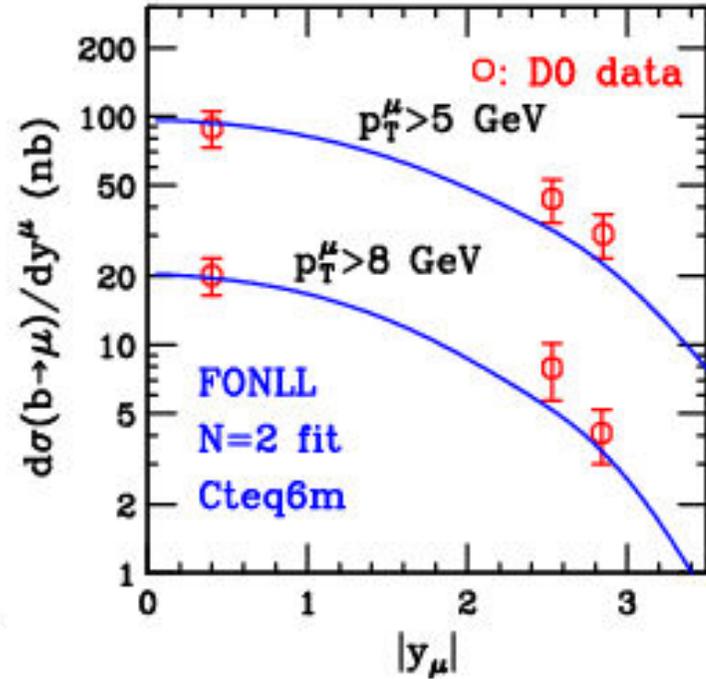
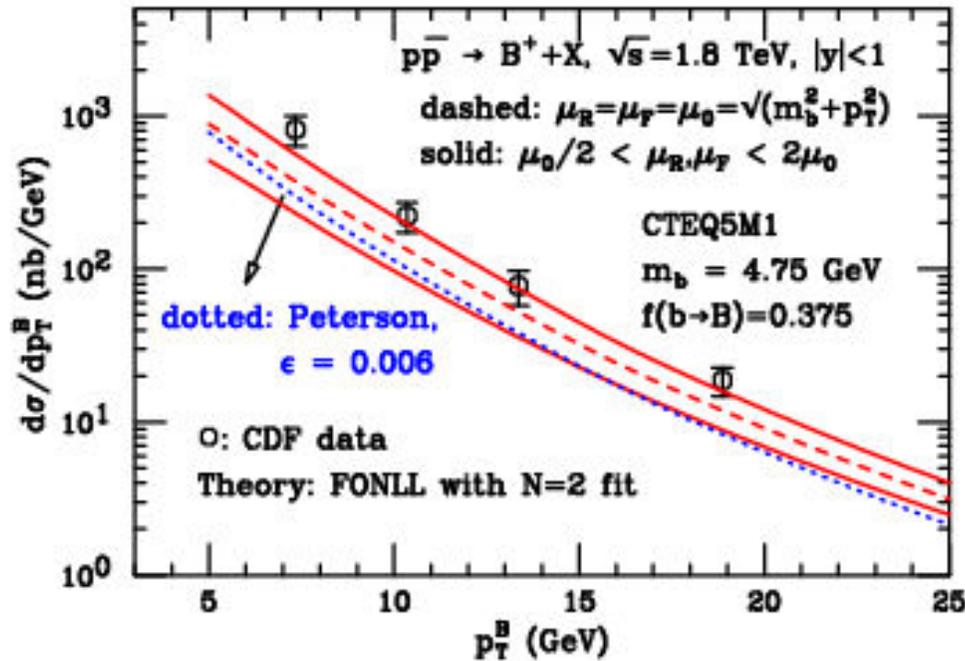
- At LHC top cross section is more than 100 times bigger than at Tevatron.

# Scale dependence in bottom production

- The perturbation series for bottom quark production is not well behaved.
- The lowest order cross section is almost  $\mu$  independent because of an accidental cancellation between the fall-off of  $\alpha_S$  and the increase of the gluon distributions with increasing  $\mu$ .



# Beauty production at CDF

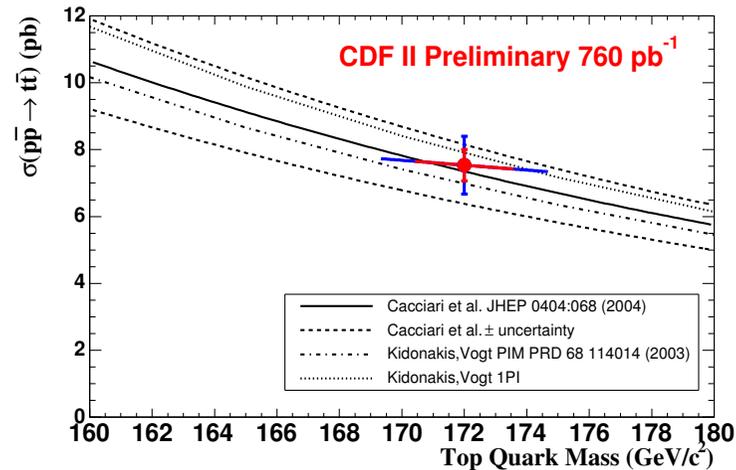


- To compare the  $b$ -quark production prediction with the experimental data on  $B$ -meson production, we need to include a fragmentation function.
- The data agrees with the upper range of the theoretical prediction. Given the status of the perturbation theory for  $b$ -quark production, this is a positive outcome.

# Top production

- All the information on the top quark is still rather limited and crude
- Within errors agreement between three generation theory and experiment

	Experiment	Theory
$m_t$	$172.5 \pm 2.3 \text{ GeV}$	$178.9 + 12.0 - 9.0 \text{ GeV}$
$BR(t \rightarrow Wb)/BR(t \rightarrow Wq)$	$1.11^{+0.21}_{-0.26}$	$\approx 1$
$BR(t \rightarrow W_0b)$	$0.74^{+0.22}_{-0.34}$	$\approx 0.7$
$BR(t \rightarrow W_+b)$	$< 0.27 (95\%cl)$	$\approx 0$



# Single Top production

- CDF has measured

$$\frac{BR(t \rightarrow Wb)}{BR(t \rightarrow Wq)} = \frac{|V_{tb}|^2}{|V_{td}|^2 + |V_{ts}|^2 + |V_{tb}|^2} = 1.11^{+0.21}_{-0.26}$$

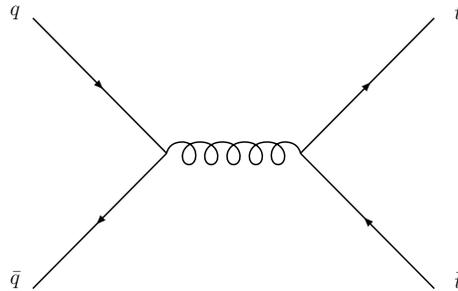
If we assume just three generations of quarks, unitarity of the CKM matrix implies that the denominator is equal to one, so that we can extract

$$|V_{tb}| = 1.11^{+0.10}_{-0.13}$$

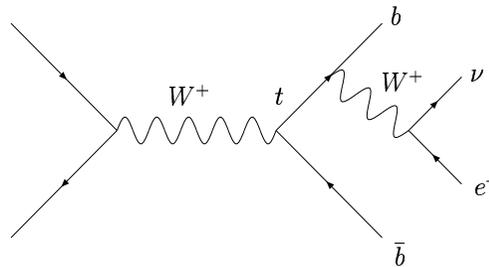
- But assuming unitarity we know already that  $V_{tb} = 0.9990 - -0.9993$ .
- The current CDF measurement shows that  $|V_{tb}| \gg |V_{td}|, |V_{ts}|$ .
- For a real measurement of  $V_{tb}$  we must look at the electroweak production of a top quark.

# Producing the top quark

- The top quark was discovered in Run I of the Tevatron by producing it in pairs:

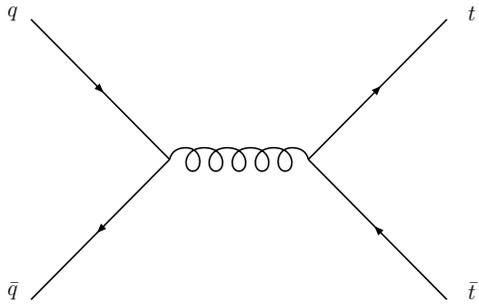


- However, it should also be possible to produce it singly in Run II, for example:

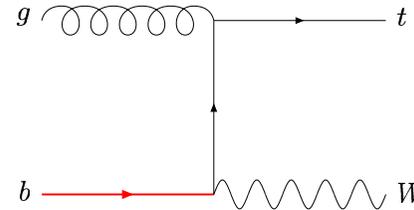


- This is especially interesting since it would yield information about the weak interaction of top quarks ( $V_{tb}$ ).

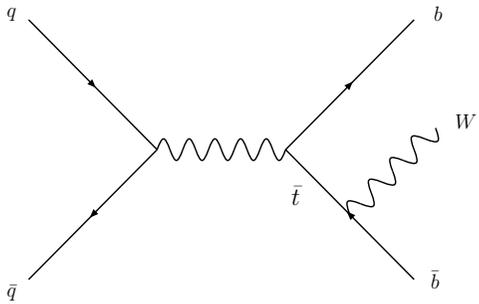
# Top production rates



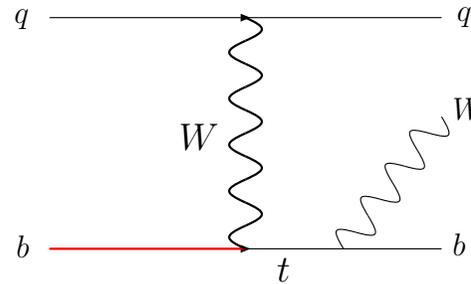
6 pb  
720 pb



0.14 pb  
66 pb



0.8 pb  
10 pb

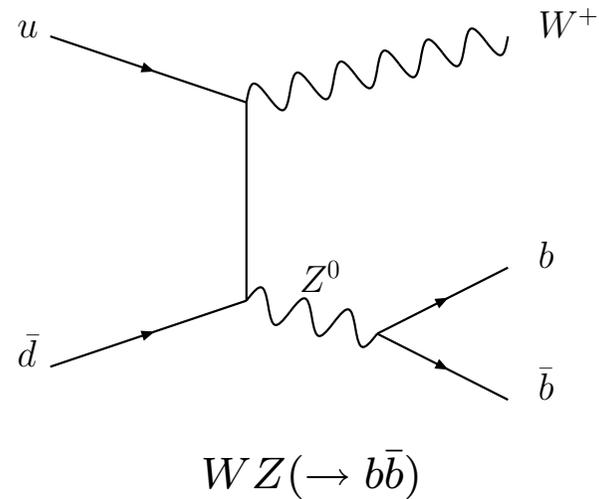
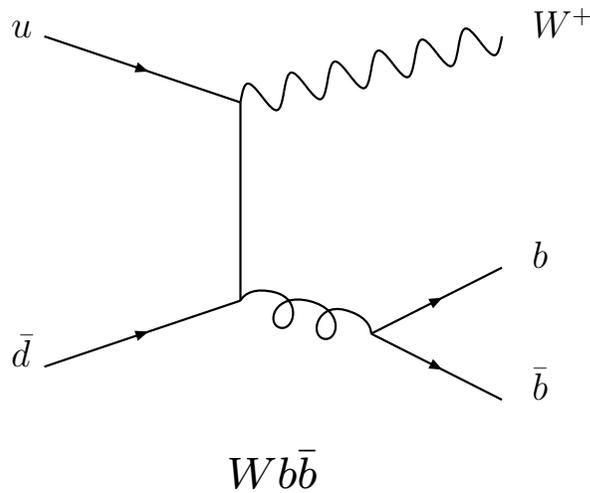


1.8 pb  
240 pb

- All cross-sections are known to NLO (Tevatron / LHC)
- The total single top cross-section is smaller than the  $t\bar{t}$  rate by about a factor of two, at both machines

# Experimental signature

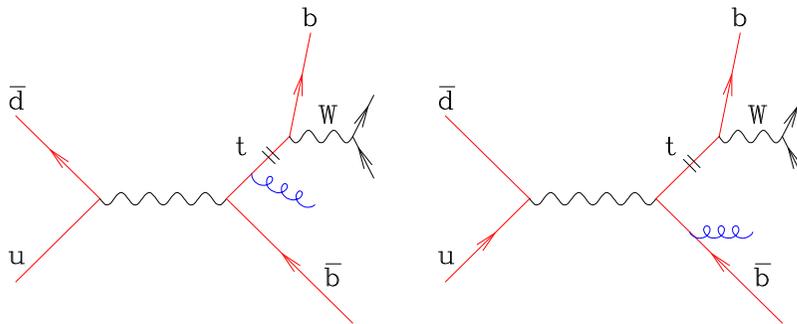
- The experimental “signature” is an event which contains a top quark – identified by the combined mass of its decay products – and which also has two jets containing  $b$ -quarks. These can be distinguished from other jets around 50% of the time.
- Observed events such as these can also be the result of other basic processes. These backgrounds include, for example:



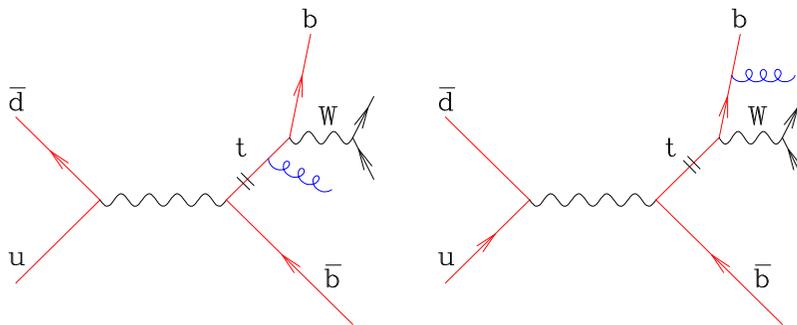
- MCFM can calculate the signal and backgrounds at NLO.

# Inclusion of decay

- Results had previously been presented without including the decay of the top quark. Without it, predictions for some quantities used in Tevatron search strategies are impossible
- Final state radiation that enters at next-to-leading order is possible in either the production or decay phase:

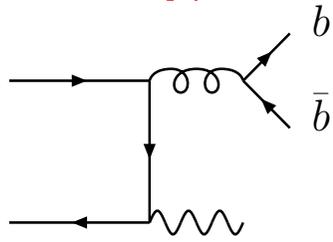


production

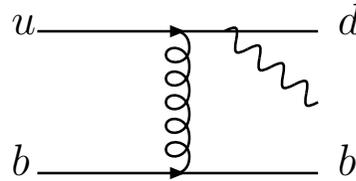


decay

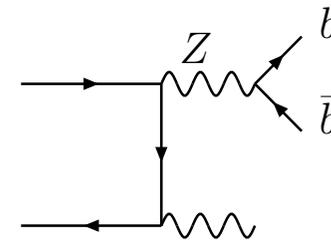
# Backgrounds



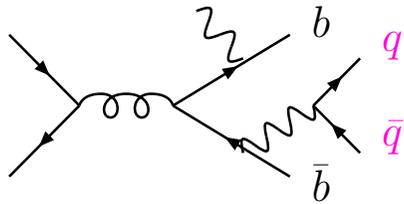
30



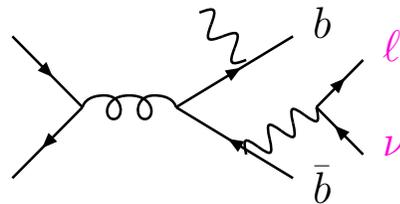
11



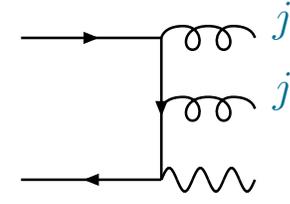
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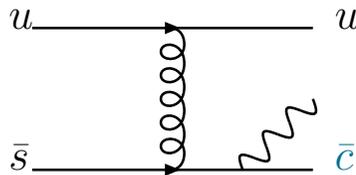
6



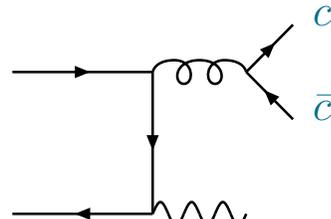
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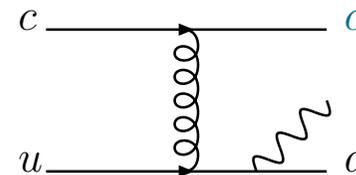
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19



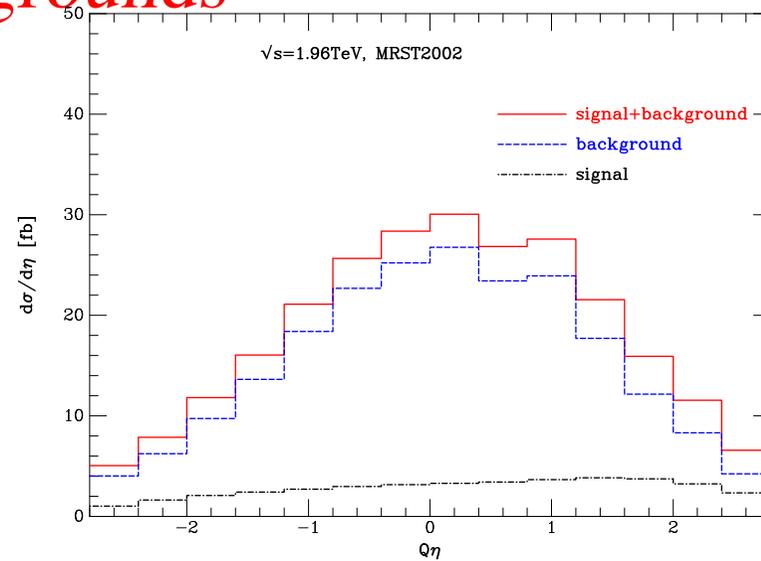
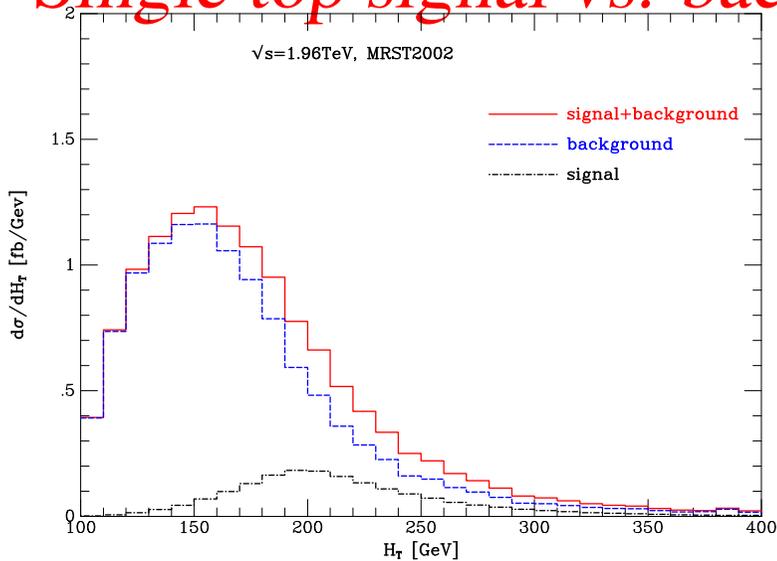
6



3

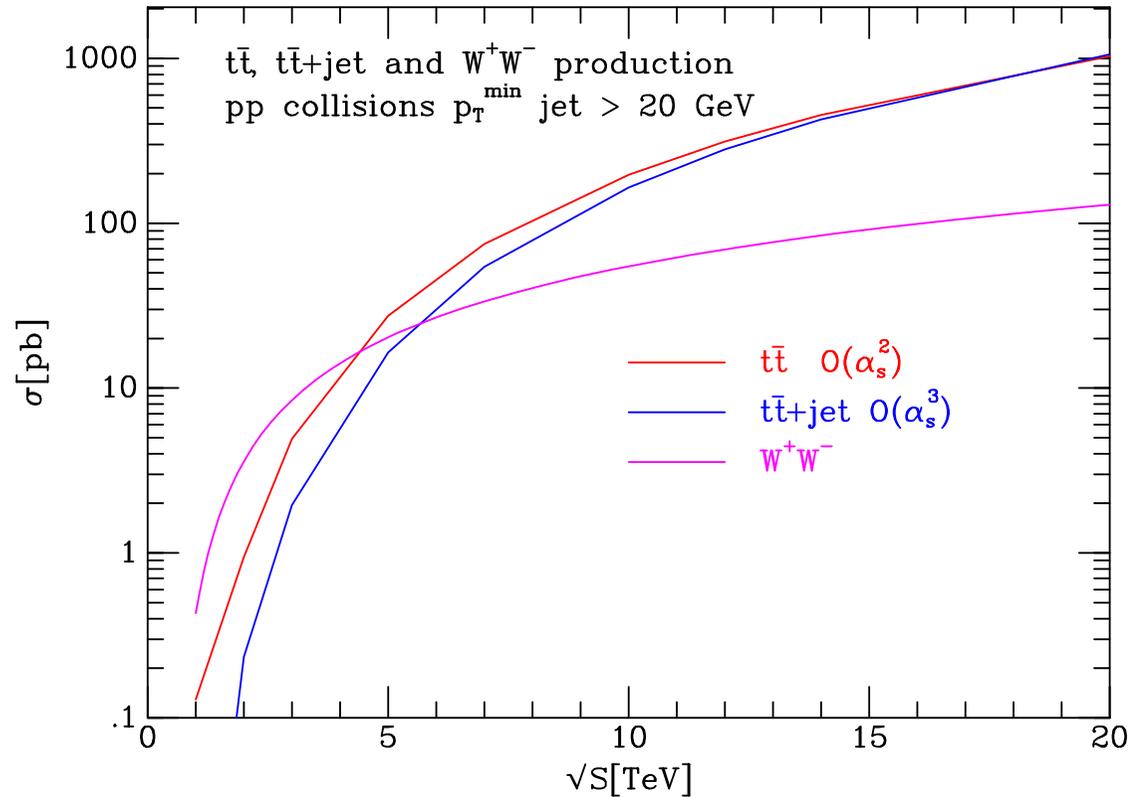
- Cross-sections in fb include nominal tagging efficiencies and mis-tagging/fake rates. Calculated with MCFM, most at NLO
- Rates are 7 fb and 11 fb for  $s$ - and  $t$ -channel signal

# Single top signal vs. backgrounds



- $H_T$  = scalar sum of jet, lepton and missing  $E_T$
- $Q_\eta$  is the product of the lepton charge and the rapidity of the untagged jet, useful for picking out the  $t$ -channel process
- Signal:Background (with our nominal efficiencies) is about 1 : 6  
– a very challenging measurement indeed. Production in this mode has not yet been observed at Fermilab.
- it will take  $1.5 \text{ fb}^{-1}$  to have evidence ( $3\sigma$ ) for single top from a single experiment at the Tevatron (Gresele, Moriond 2006).

# Top +jet production at LHC



- $t\bar{t}+\text{jet}$  cross section same as  $t\bar{t}$  cross section; Radiation probability is one.
- Note that a  $p_T = 20 \text{ GeV}$  jet can be adequately described using the soft approximation.
- The  $W^+W^-$  cross section is also shown, (subject to gauge cancellation)

# General calculational method for NLO

- Direct integration is good for the total cross section, but for differential distributions, (to which we want to apply cuts), we need a Monte Carlo method.
- We use a general subtraction procedure at NLO.
- at NLO the cross section for two initial partons  $a$  and  $b$  and for  $m$  outgoing partons, is given by

$$\sigma_{ab} = \sigma_{ab}^{LO} + \sigma_{ab}^{NLO}$$

where

$$\begin{aligned}\sigma_{ab}^{LO} &= \int_m d\sigma_{ab}^B \\ \sigma_{ab}^{NLO} &= \int_{m+1} d\sigma_{ab}^R + \int_m d\sigma_{ab}^V\end{aligned}$$

the singular parts of the QCD matrix elements for real emission, corresponding to soft and collinear emission can be isolated in a process independent manner

## Computational method (cont)

- One can use this to construct a set of counterterms

$$d\sigma^{ct} = \sum_{ct} \int_m d\sigma^B \otimes \int_1 dV_{ct}$$

where  $d\sigma^B$  denotes the appropriate color and spin projection of the Born-level cross section, and the counter-terms are independent of the details of the process under consideration.

- these counterterm cancel all non-integrable singularities in  $d\sigma^R$ , so that one can write

$$\sigma_{ab}^{NLO} = \int_{m+1} [d\sigma_{ab}^R - d\sigma_{ab}^{ct}] + \int_{m+1} d\sigma_{ab}^{ct} + \int_m d\sigma_{ab}^V$$

The phase space integration in the first term can be performed numerically in four dimensions.

# Matrix element counter-event for $W$ production

In the soft limit  $p_5 \rightarrow 0$  we have

$$|M_1(p_1, p_2, p_3, p_4, p_5)|^2 = g^2 C_F \frac{p_1 \cdot p_2}{p_1 \cdot p_5 p_2 \cdot p_5} |M_0(p_1, p_2, p_3, p_4)|^2$$

- Eikonal factor can be associated with radiation from a given leg by partial fractioning

$$\frac{p_1 \cdot p_2}{p_1 \cdot p_5 p_2 \cdot p_5} = \left[ \frac{p_1 \cdot p_2}{p_1 \cdot p_5 + p_2 \cdot p_5} \right] \left[ \frac{1}{p_1 \cdot p_5} + \frac{1}{p_2 \cdot p_5} \right]$$

- including the collinear contributions, singular as  $p_1 \cdot p_5 \rightarrow 0$ , the matrix element for the counter event has the structure

$$|M_1(p_1, p_2, p_3, p_4, p_5)|^2 = \frac{g^2}{x_a p_1 \cdot p_5} \hat{P}_{qq}(x_a) |M_0(x_a p_1, p_2, \tilde{p}_3, \tilde{p}_4)|^2$$

where  $1 - x_a = (p_1 \cdot p_5 + p_2 \cdot p_5)/p_1 \cdot p_2$  and  $\hat{P}_{qq}(x_a) = C_F(1 + x^2)/(1 - x)$

# Subtraction method for NLO

- For event  $q(p_1) + \bar{q}(p_2) \rightarrow W^+(\nu(p_3) + e^+(p_4)) + g(p_5)$  with  $p_1 + p_2 = \sum_{i=3}^5 p_i$  we generate a counter event  $q(x_a p_1) + \bar{q}(p_2) \rightarrow W^+(\nu(\tilde{p}_3) + e^+(\tilde{p}_4))$  and  $x_a p_1 + p_2 = \sum_{i=3}^4 \tilde{p}_i$  with  $1 - x_a = (p_1 \cdot p_5 + p_2 \cdot p_5)/p_1 \cdot p_2$ .
- A Lorentz transformation is performed on all  $j$  final state momenta  $\tilde{p}_j = \Lambda_\nu^\mu p_j^\nu, j = 3, 4$  such that  $\tilde{p}_j^\mu \rightarrow p_j^\mu$  for  $p_5$  collinear or soft.
- The longitudinal momentum of  $p_5$  is absorbed by rescaling with  $x$ .
- The other components of the momentum,  $p_5$  are absorbed by the Lorentz transformation.
- In terms of these variables the phase space has a convolution structure,

$$d\phi^{(3)}(p_1, p_2; p_3, p_4, p_5) = \int_0^1 dx d\phi^{(2)}(p_2, xp_1; \tilde{p}_3, \tilde{p}_4)[dp_5(p_1, p_2, x)]$$

where

$$[dp_5(p_1, p_2, x_a)] = \frac{d^d p_5}{(2\pi)^3} \delta^+(p_5^2) \Theta(x) \Theta(1-x) \delta(x - x_a)$$

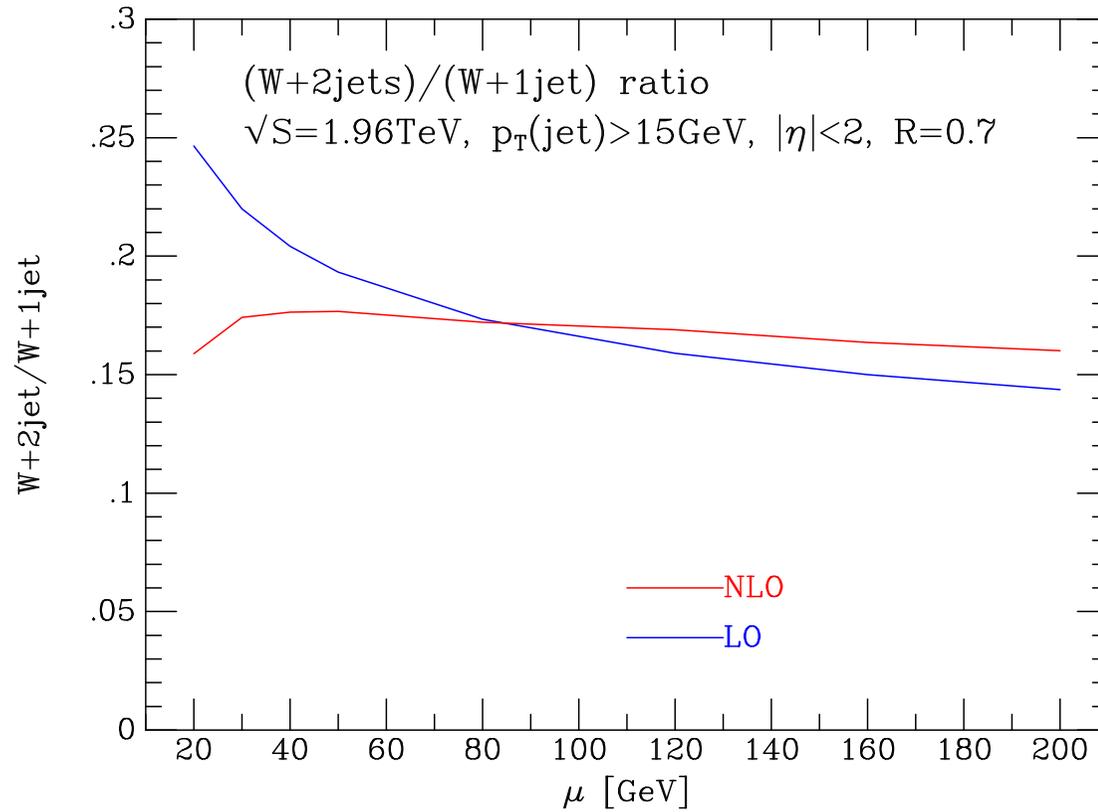
- Parton level cross-sections predicted to NLO in  $\alpha_S$

$p\bar{p} \rightarrow W^\pm / Z$	$p\bar{p} \rightarrow W^+ + W^-$
$p\bar{p} \rightarrow W^\pm + Z$	$p\bar{p} \rightarrow Z + Z$
$p\bar{p} \rightarrow W^\pm + \gamma$	$p\bar{p} \rightarrow W^\pm / Z + H$
$p\bar{p} \rightarrow W^\pm + g^* (\rightarrow b\bar{b})$	$p\bar{p} \rightarrow Zb\bar{b}$
$p\bar{p} \rightarrow W^\pm / Z + 1 \text{ jet}$	$p\bar{p} \rightarrow W^\pm / Z + 2 \text{ jets}$
$p\bar{p}(gg) \rightarrow H$	$p\bar{p}(gg) \rightarrow H + 1 \text{ jet}$
$p\bar{p}(VV) \rightarrow H + 2 \text{ jets}$	$p\bar{p} \rightarrow t + X$
$pp \rightarrow t + W$	

- ⊕ less sensitivity to  $\mu_R, \mu_F$ , rates are better normalized, fully differential distributions.
- ⊖ low particle multiplicity (no showering), no hadronization, hard to model detector effects

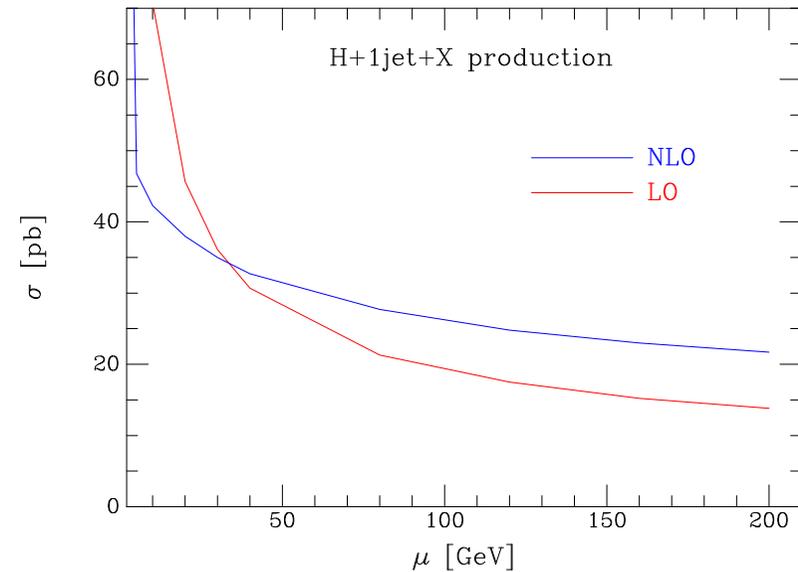
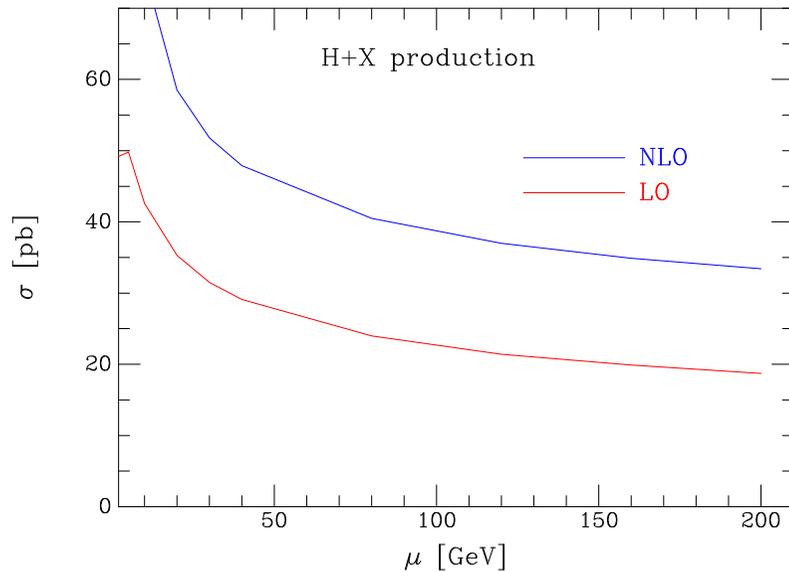
# MCFM:examples

■  $(W+2 \text{ jet})/(W+1 \text{ jet})$



# MCFM examples

- Production of a  $m_H = 120$  GeV Higgs, using effective Lagrangian  $HG^{\mu\nu}G_{\mu\nu}$ , obtained in heavy top limit.
- Cross sections for Higgs+anything or Higgs+1 jet+anything are the same.
- Radiation probability is one, and NLO is clearly inadequate.
- what is needed is a combination of NLO and shower Monte-Carlo, (MC@NLO)



# NLO: Schematic description

- A schematic description of a NLO calculation is as follows.

$$\begin{aligned}\left(\frac{d\sigma}{dx}\right)_B &= B\delta(x) \\ \left(\frac{d\sigma}{dx}\right)_V &= a\left(\frac{B}{2\epsilon} + V\right)\delta(x) \\ \left(\frac{d\sigma}{dx}\right)_R &= a\frac{R(x)}{x}\end{aligned}$$

- In terms of the above the calculation of any observable  $O$  can be written as

$$\langle O \rangle = \lim_{\epsilon \rightarrow 0} \int_0^1 dx x^{-2\epsilon} O(x) \left[ \left(\frac{d\sigma}{dx}\right)_B + \left(\frac{d\sigma}{dx}\right)_V + \left(\frac{d\sigma}{dx}\right)_R \right]$$

# Subtraction method

We can isolate the divergent part of the real radiation contribution

$$\langle O \rangle_{\text{R}} = aBO(0) \int_0^1 dx \frac{x^{-2\epsilon}}{x} + a \int_0^1 dx \frac{O(x)R(x) - BO(0)}{x^{1+2\epsilon}} .$$

The second term does not contain singularities so we can set  $\epsilon = 0$

$$\langle O \rangle_{\text{R}} = -a \frac{B}{2\epsilon} O(0) + a \int_0^1 dx \frac{O(x)R(x) - BO(0)}{x} .$$

The NLO prediction using the subtraction method is

$$\langle O \rangle_{\text{sub}} = BO(0) + a \left[ VO(0) + \int_0^1 dx \frac{O(x)R(x) - BO(0)}{x} \right] .$$

# Toy Monte Carlo

- Rewrite the basic NLO formula in a different which allows simpler matching with the Monte Carlo:

$$\langle O \rangle_{\text{sub}} = \int_0^1 dx \left[ O(x) \frac{aR(x)}{x} + O(0) \left( B + aV - \frac{aB}{x} \right) \right].$$

- Introduce Sudakov form factor for the toy model

$$\Delta(x_1, x_2) = \exp \left[ -a \int_{x_1}^{x_2} dz \frac{Q(z)}{z} \right],$$

where  $Q(z)$  is a radiation function with the following general properties:

$$0 \leq Q(z) \leq 1, \quad \lim_{z \rightarrow 0} Q(z) = 1, \quad \lim_{z \rightarrow 1} Q(z) = 0.$$

If  $x_s$  is the energy of the system before the first branching occurs, then  $\Delta(x, x_s)$  is the probability that no photon be emitted with energy  $z$  such that  $x \leq z \leq x_s$ .

# Matching NLO and MC

$$\left(\frac{d\sigma}{dO}\right)_{\text{MC@LO}} = BI_{\text{MC}}(O, 1).$$

$$\left(\frac{d\sigma}{dO}\right)_{\text{naive}} = \int_0^1 dx \left[ I_{\text{MC}}(O, x_{\text{M}}(x)) \frac{aR(x)}{x} + I_{\text{MC}}(O, 1) \left( B + aV - \frac{aB}{x} \right) \right].$$

This equation suggests the following procedure:

- Pick at random  $0 \leq x \leq 1$ .
- Generate an MC event with  $x_{\text{M}}(x)$  as maximum energy available to the photon in the first branching; attach to this event the weight  $w_{\text{EV}} = aR(x)/x$ .
- Generate another MC event (a “counter-event”) with  $x_{\text{M}} = 1$ ; attach to this event the weight  $w_{\text{CT}} = B + aV - aB/x$ .
- Repeat the first three steps  $N$  times, and normalize with  $1/N$ .

This procedure fails, since the weights  $w_{\text{EV}}$  and  $w_{\text{CT}}$  diverge as  $x \rightarrow 0$ .

# Modified subtraction method

$$\left(\frac{d\sigma}{dO}\right)_{\text{msub}} = \int_0^1 dx \left[ I_{\text{MC}}(O, x_{\text{M}}(x)) \frac{a[R(x) - BQ(x)]}{x} + I_{\text{MC}}(O, 1) \left( B + aV + \frac{aB[Q(x) - 1]}{x} \right) \right].$$

- We subtract and add the quantities

$$I_{\text{MC}}(O, 1) \frac{aBQ(x)}{x}, \quad I_{\text{MC}}(O, x_{\text{M}}) \frac{aBQ(x)}{x}$$

- The two terms involving  $Q(x)$  are not identical, so this is not a subtraction in the usual sense of an NLO computation.
- The two terms do not contribute to the observable  $O$  at  $\mathcal{O}(a)$ , because they are compensated by terms in the parton shower  $BI_{\text{MC}}(O, 1)$

# Expansion to $O(\alpha_S)$

- Expansion of Monte Carlo piece is

$$I_{\text{MC}} = (1 - a \int_{x_0}^1 dt \frac{Q(t)}{t} \delta(O - O(0)) + a \int_{x_0}^1 dt \frac{Q(t)}{t} \delta(O - O(t)) + O(a^2)$$

- Insertion of this piece in the modified Monte-Carlo formula gives

$$\begin{aligned} \left( \frac{d\sigma}{dO} \right)_{\text{msub}} &= \int_0^1 dx \left[ \delta(O - O(x)) \frac{a[R(x) - BQ(x)]}{x} \right. \\ &\quad + \delta(O - O(0)) \left( B + aV - \frac{aB}{x} \right) \\ &\quad + aB\delta(O - O(0)) \left( \frac{Q(x)}{x} - \int_{x_0}^1 dt \frac{Q(t)}{t} \right) \\ &\quad \left. + aB \int_{x_0}^1 dt \delta(O - O(t)) \frac{Q(t)}{t} \right] + O(a^2). \end{aligned}$$

## Expansion (continued)

- Collecting terms we obtain the starting formula for a NLO correction, plus power suppressed terms which are anyway not controlled in the Monte Carlo

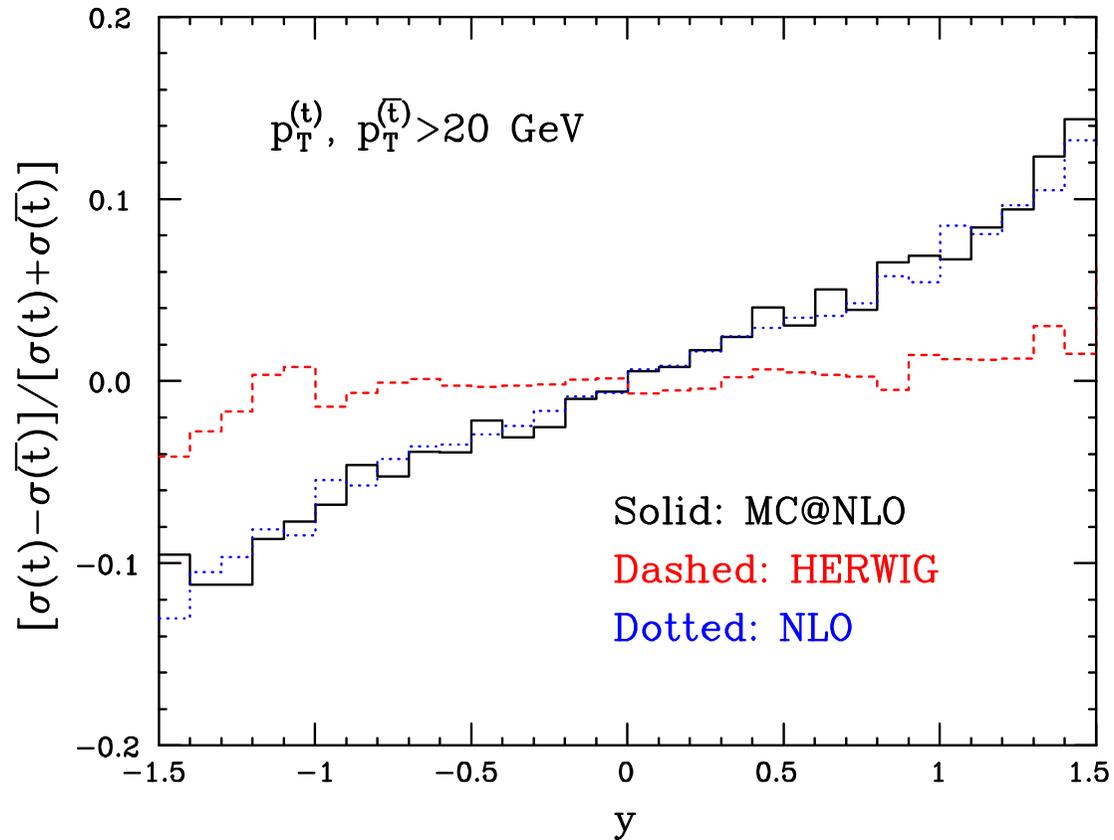
$$\begin{aligned} \left( \frac{d\sigma}{dO} \right)_{\text{msub}} &= \int_0^1 dx \left[ \delta(O - O(x)) \frac{aR(x)}{x} + \delta(O - O(0)) \left( B + aV - \frac{aB}{x} \right) \right] \\ &+ aB \int_0^{x_0} dx \frac{Q(x)}{x} \left[ \delta(O - O(0)) - \delta(O - O(x)) \right] + \mathcal{O}(a^2). \end{aligned}$$

- It can also be shown that the normal summation of branching logarithms is not compromised by this procedure.

# Asymmetry in top production

Frixione, Nason, Webber

- Example of  $t\bar{t}$ -production using MC@NLO
- NLO curve (in blue, dotted).



# Conclusions

- NLO formulation of QCD processes gives better information about normalization, and less dependence on unphysical scales.
- Matching with Monte Carlo can be implemented.
- Much remains to be done
  - ★ The NLO corrections which necessary for normalization are unknown for many of the most interesting processes.  $2 \rightarrow 2$  processes are known, some  $2 \rightarrow 3$  processes, one or two  $2 \rightarrow 4$  processes.
  - ★ MC@NLO is known only for a very limited set of processes, namely the hadroproduction of single vector and Higgs bosons, vector boson pairs, heavy quark pairs, singletop, lepton pairs, and Higgs bosons in association with a W or Z.